



Evidence for $D^0-\bar{D}^0$ Mixing

(hep-ex/0703020, submitted to PRL)

Brian Aagaard Petersen
Stanford University

for the *BABAR* collaboration

Research Progress Meeting, LBNL
Tuesday, April 24, 2007

Outline

- ❖ Neutral meson mixing
- ❖ Charm meson mixing
- ❖ The *BABAR* experiment
- ❖ Mixing in $D^0 \rightarrow K\pi$ decays
- ❖ Comparison with other results

Neutral Meson Mixing

Neutral Meson Mixing

Mixing can occur in four neutral mesons:

$$|K^0\rangle = |d\bar{s}\rangle, \quad |\bar{K}^0\rangle = |\bar{d}s\rangle \quad \text{Mass: } \sim 0.5 \text{ GeV}/c^2$$

$$|D^0\rangle = |c\bar{u}\rangle, \quad |\bar{D}^0\rangle = |\bar{c}u\rangle \quad \text{Mass: } \sim 1.9 \text{ GeV}/c^2$$

$$|B^0\rangle = |d\bar{b}\rangle, \quad |\bar{B}^0\rangle = |\bar{d}b\rangle \quad \text{Mass: } \sim 5.3 \text{ GeV}/c^2$$

$$|B_s^0\rangle = |s\bar{b}\rangle, \quad |\bar{B}_s^0\rangle = |\bar{s}b\rangle \quad \text{Mass: } \sim 5.4 \text{ GeV}/c^2$$

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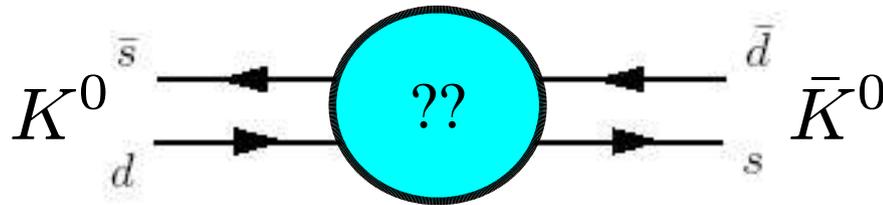
Will present mixing measurement for D^0 meson

Note: D^0 meson first discovered at SLAC

Mark-I, PRL 37, 255 (1976)

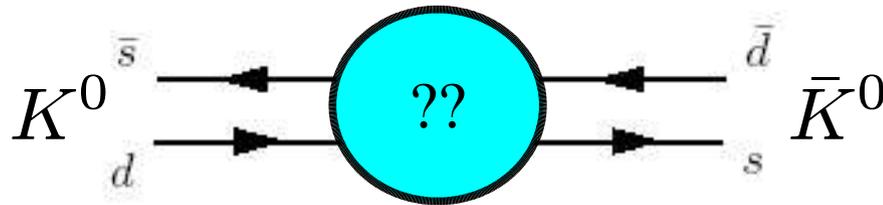
Meson Mixing

Neutral mesons have no conserved quantum number
– can have mixing between $|M^0\rangle$ and $|\bar{M}^0\rangle$



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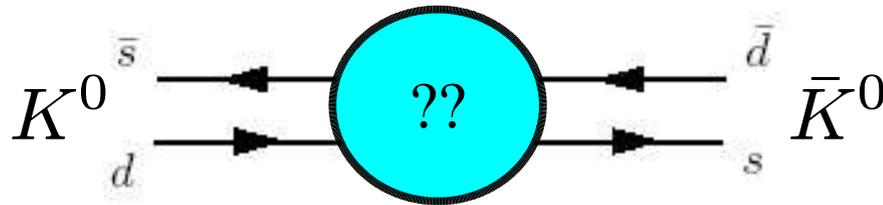
Time evolution by Schrödinger eq.:

$$i \frac{\partial}{\partial t} \begin{pmatrix} |M^0(t)\rangle \\ |\bar{M}^0(t)\rangle \end{pmatrix} = \begin{pmatrix} \text{M} & \\ & -\frac{i}{2}\Gamma \end{pmatrix} \begin{pmatrix} |M^0(t)\rangle \\ |\bar{M}^0(t)\rangle \end{pmatrix}$$

2x2 hermitian matrices

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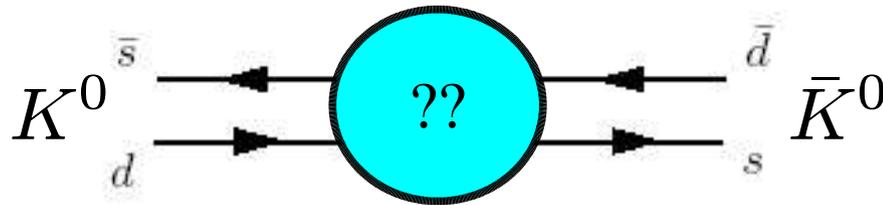
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2x2 hermitian matrices

Mesons decay!

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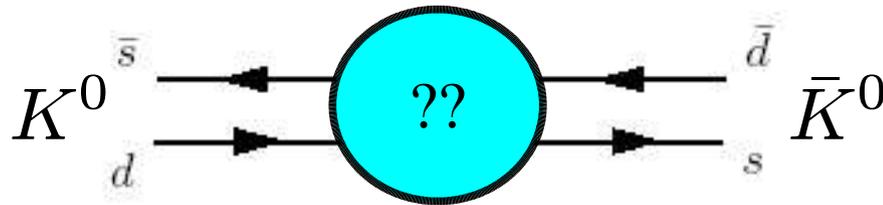
2x2 hermitian matrices Mesons decay!

Mass eigenstates:

$$|M_{1,2}\rangle = p|M^0\rangle \pm q|\bar{M}^0\rangle$$

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\swarrow \searrow \nwarrow
 2x2 hermitian matrices Mesons decay!

Mass eigenstates:

$$|M_{1,2}\rangle = p|M^0\rangle \pm q|\bar{M}^0\rangle$$

Propagate with separate mass $m_{1,2}$ and width $\Gamma_{1,2}$:

$$|M_{1,2}(t)\rangle = e^{-i(m_{1,2} - i\Gamma_{1,2}/2)t} |M_{1,2}(t=0)\rangle$$

Meson Mixing

Time evolution of $|M^0\rangle$ state is described by

$$\begin{cases} x = \frac{m_2 - m_1}{\Gamma} \\ y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma} \end{cases} \quad \Gamma = \frac{\Gamma_2 + \Gamma_1}{2}$$

General time evolution equation:

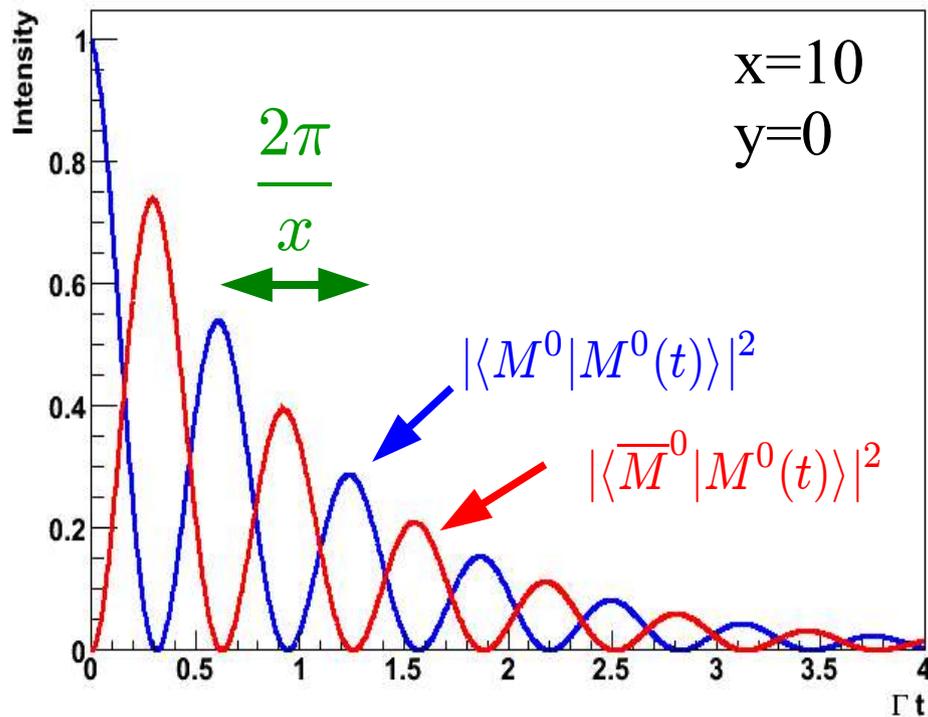
$$|M^0(t)\rangle = e^{-\bar{\gamma}t/2} \left(\cosh(\Delta\gamma t/2) |M^0\rangle - \frac{q}{p} \sinh(\Delta\gamma t/2) |\bar{M}^0\rangle \right)$$

Where $\Delta\gamma = (y + ix)\Gamma$ $\bar{\gamma} = (\Gamma_1 + \Gamma_2)/2 - i(m_1 + m_2)$

Meson Mixing

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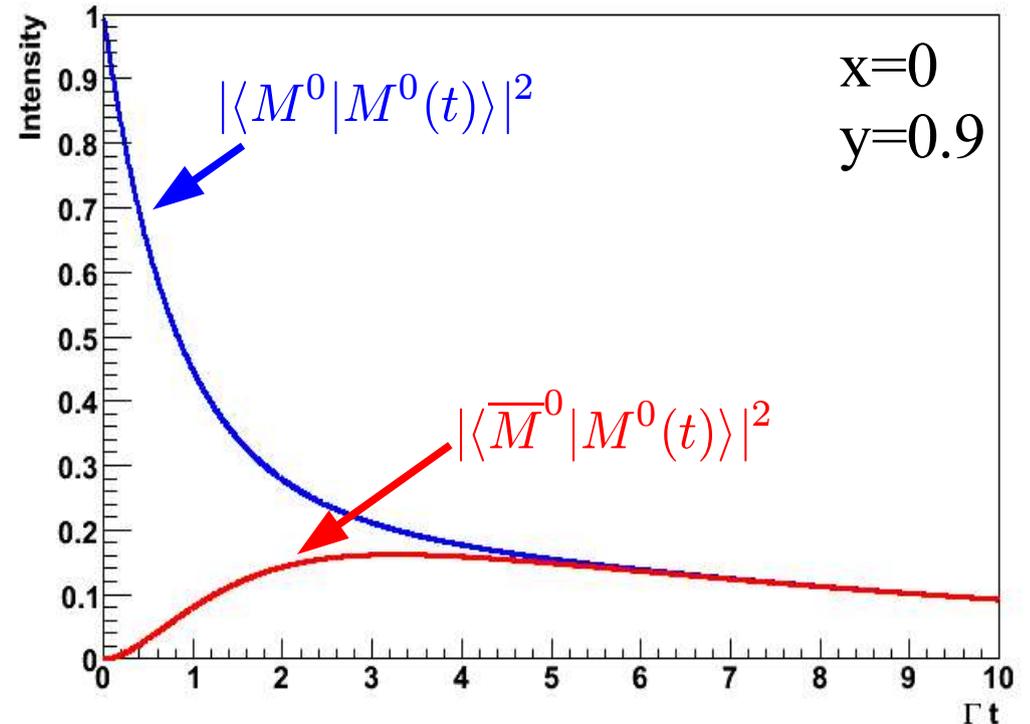
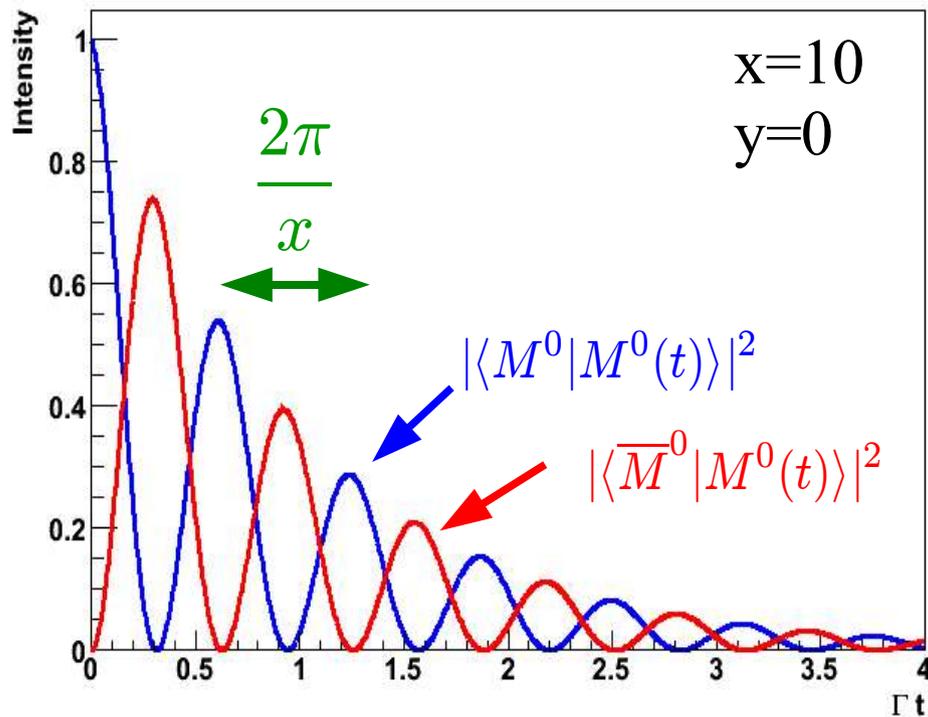
$$\begin{cases} x = \frac{m_2 - m_1}{\Gamma} \\ y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma} \end{cases} \quad \Gamma = \frac{\Gamma_2 + \Gamma_1}{2}$$



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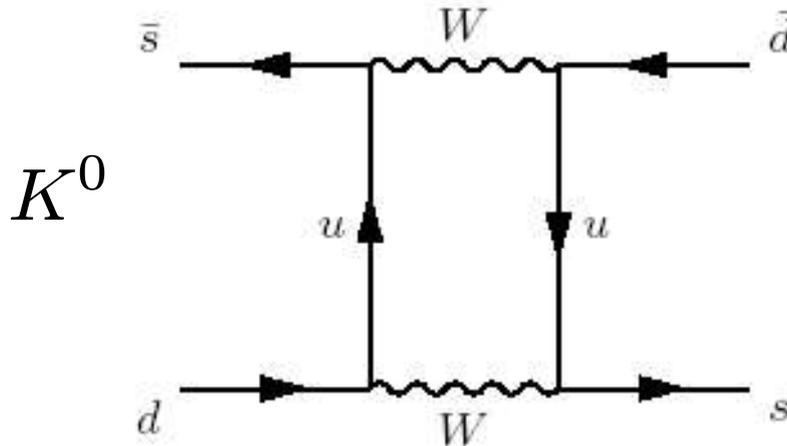
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Mixing Sources

In Standard Model, only charged weak interaction change quark flavor:

Mixing through box diagram:



K^0

\bar{K}^0

Box diagrams mainly contribute to x

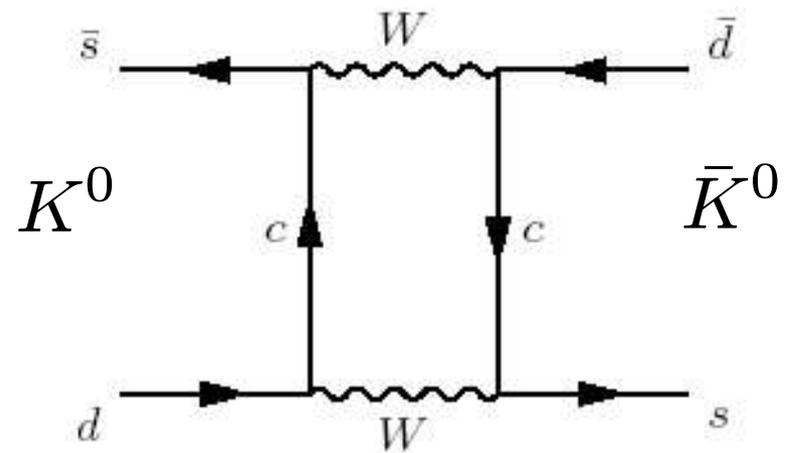
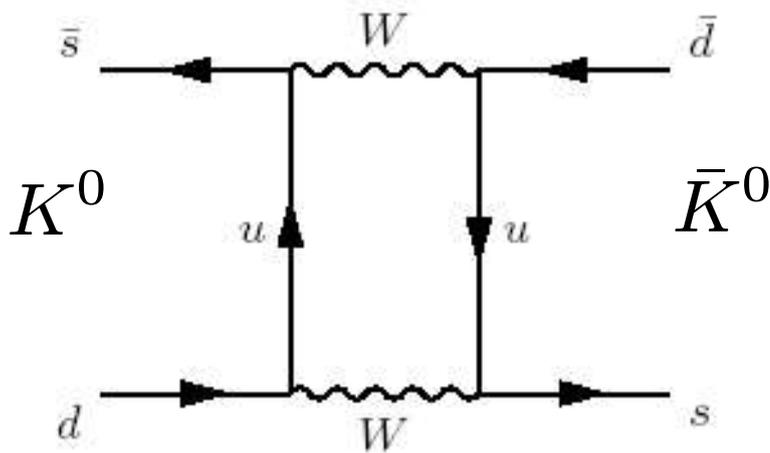
In SM, there are no tree-level flavor-changing neutral currents (FCNC)

GIM Suppression

Glashow, Iliopoulos and Maiani (1970):

FCNC calculated from single quark loop still too large

Introduce additional loop with new c quark

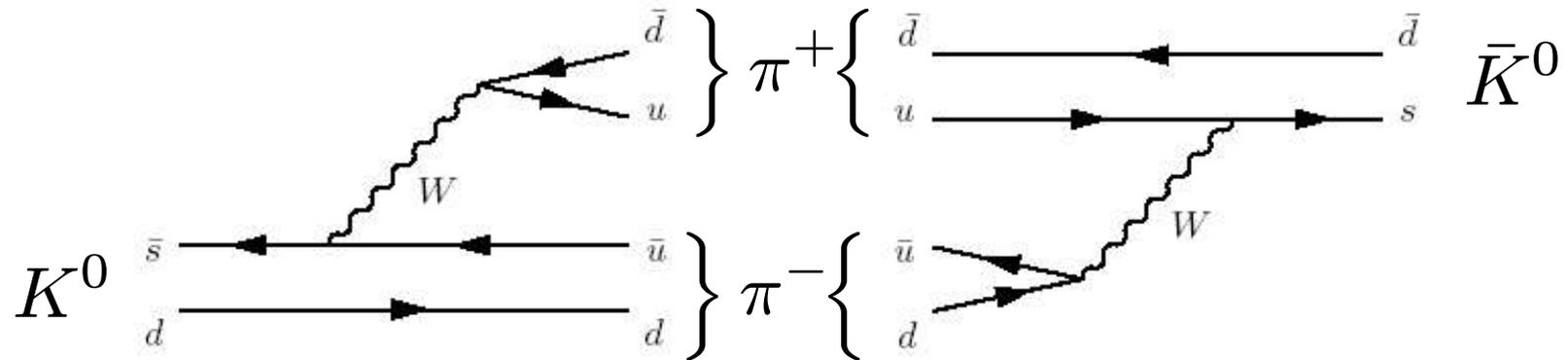


In limit of degenerate quarks, loops will cancel each other
– mass difference between quarks will give a small x

GIM predicted charm quark 4 years before observation

Long-distance Effects

Additional contribution from intermediate hadronic states common to K^0 and \bar{K}^0 :



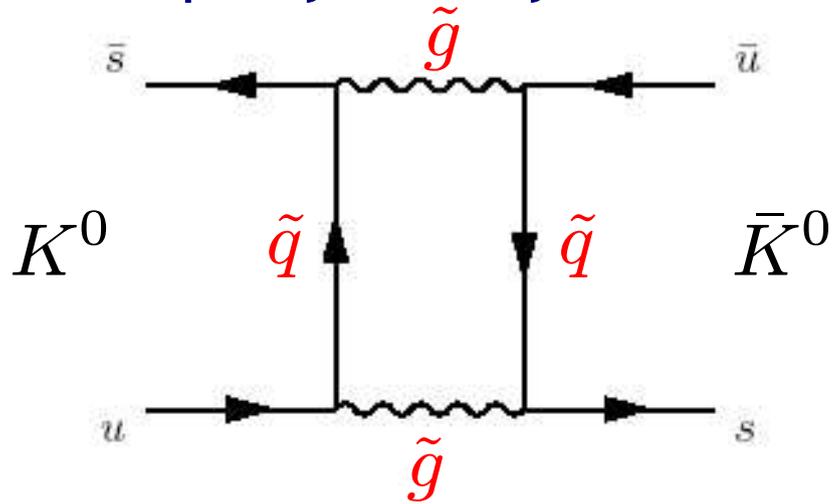
Mainly gives lifetime difference γ

Oscillations from New Physics

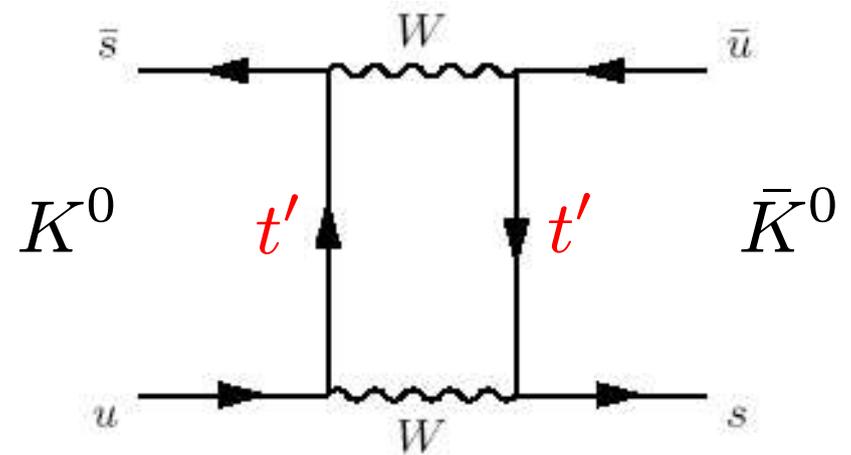
New physics can break GIM suppression

Examples:

Supersymmetry:



Fourth quark generation:

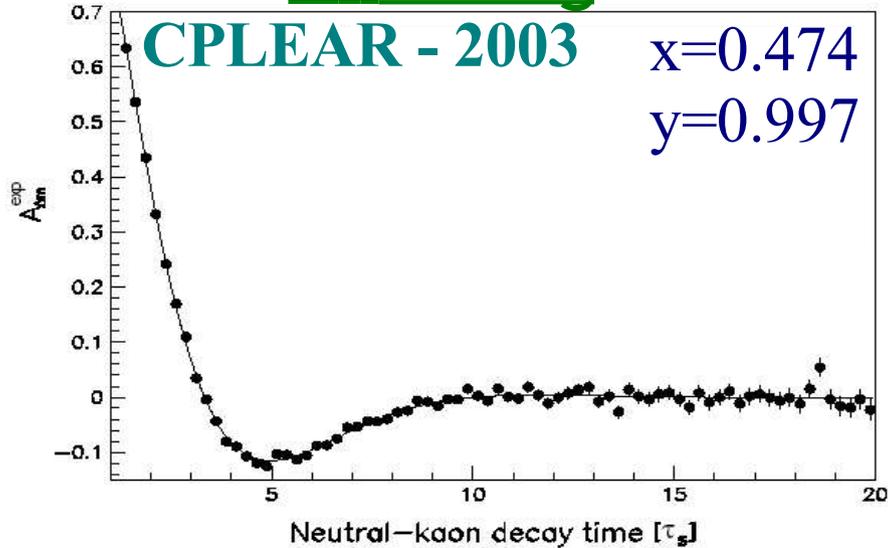


Differences between measured and predicted mixing rates would be signal for new physics

The good agreement in K and B systems with SM constrains any new physics model

Status of Neutral Meson Mixing

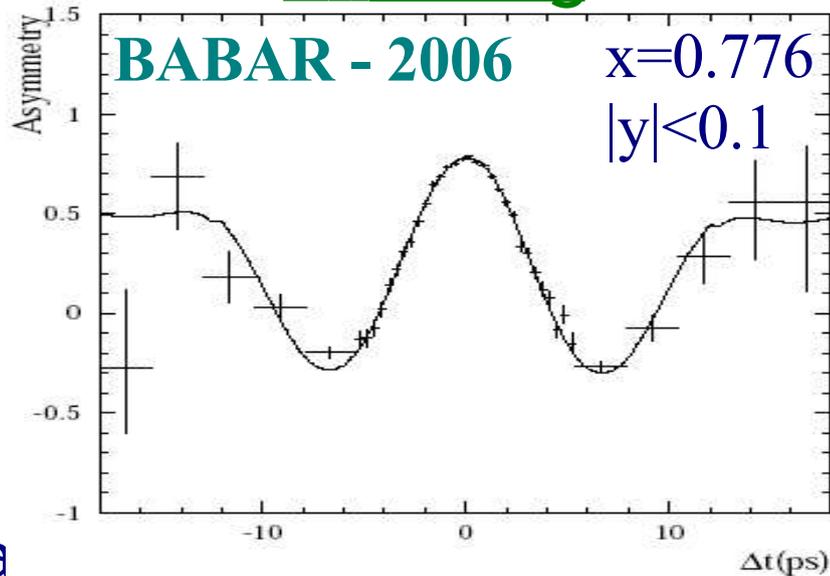
K^0 mixing



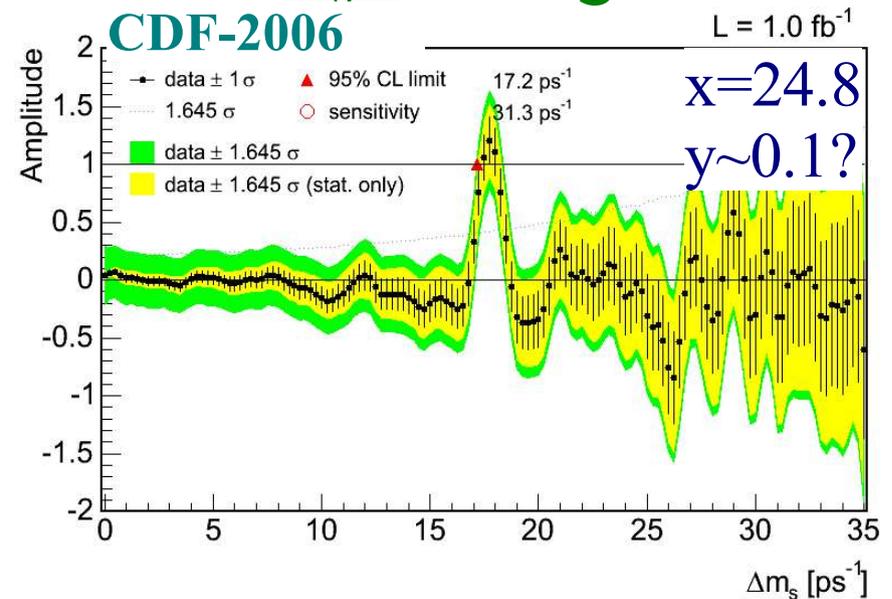
D^0 mixing

?

B^0 mixing



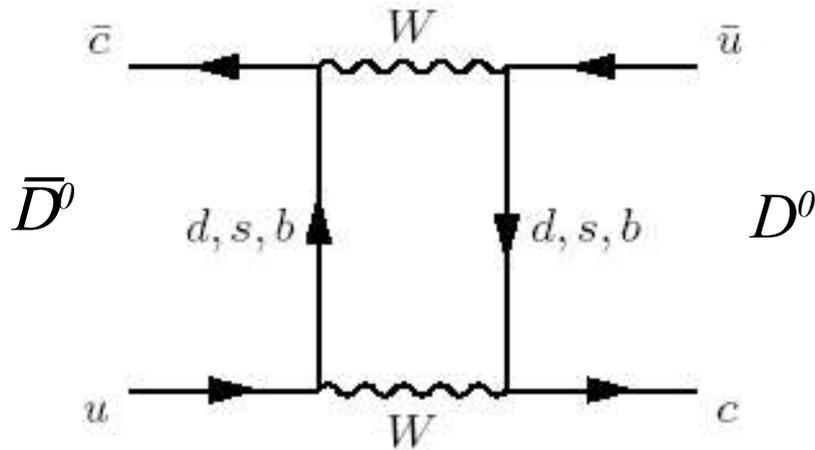
B_s^0 mixing



Mixing in Charm?

Charm Mixing

SM charm mixing box has down-type quarks in loop



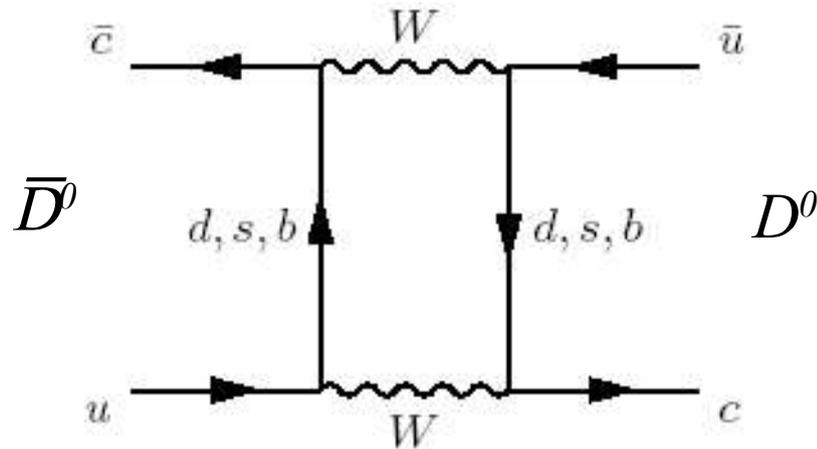
Effective GIM suppression:

$$x \propto \frac{(m_s^2 - m_d^2)^2}{m_c^2}$$

→ $x \sim 10^{-5}$ **Tiny!**

Charm Mixing

SM charm mixing box has down-type quarks in loop

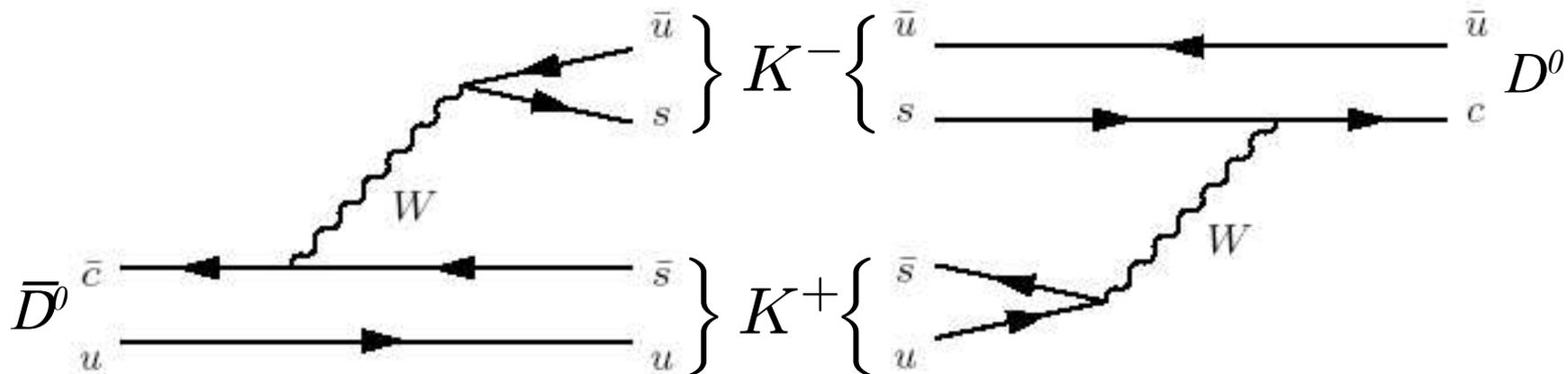


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Expect hadronic intermediate states to dominate:



Makes it difficult to predict SM expectation

Charm Mixing Predictions

Most predictions give $x, y \sim 0.001 - 0.01$
and in most cases $|x| < |y|$

$$x = \frac{m_2 - m_1}{\Gamma}$$
$$y = \frac{\Gamma_2 - \Gamma_1}{2\Gamma}$$

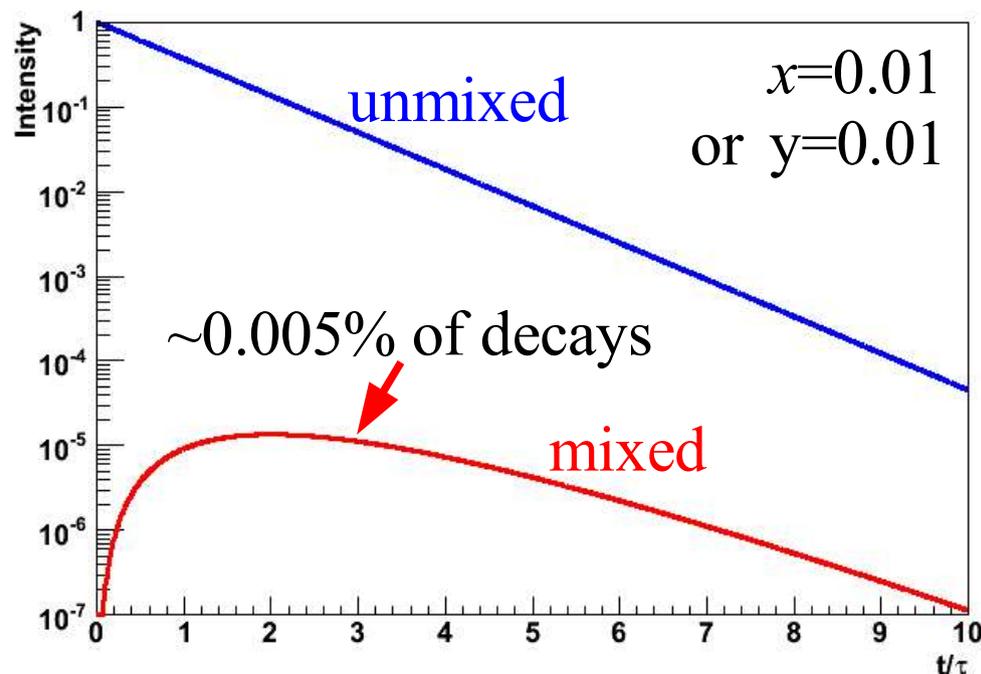
Latest estimations:

$$|y| \leq 0.01$$

PRD 65 054034 (2002)
Falk, Grossman, Ligeti
and Petrov

$$|x| \sim (0.1 \text{ to } 1) \cdot |y|$$

PRD 69 114021 (2004)
Falk, Grossman, Ligeti,
Nir and Petrov

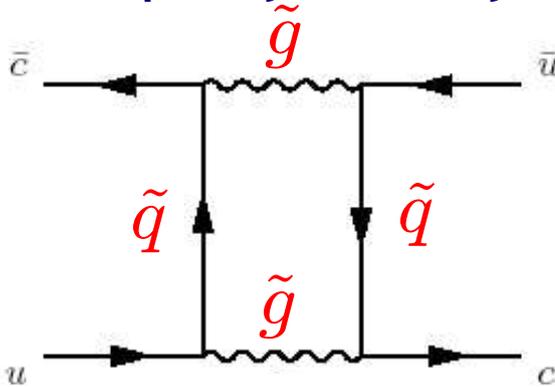


Very low rate
makes measurement
difficult

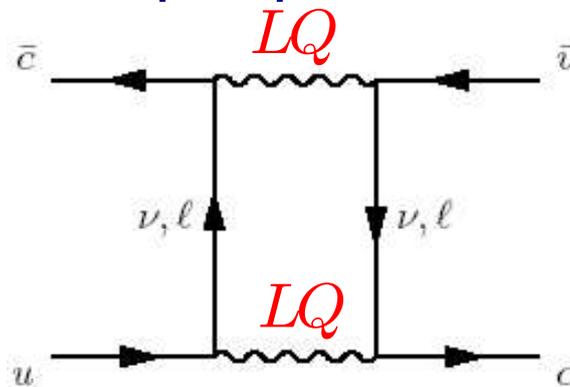
New Physics in Charm Mixing

Charm mixing could have New Physics contribution

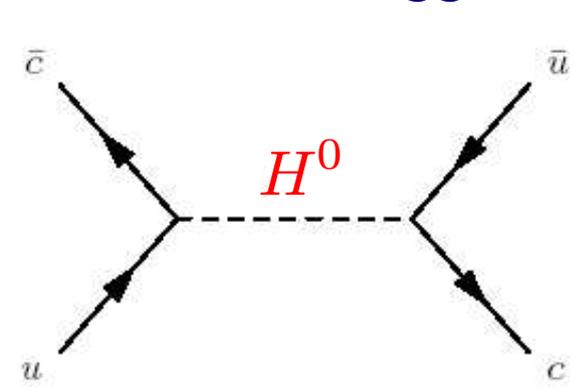
Supersymmetry:



Leptoquarks:



Extended Higgs:



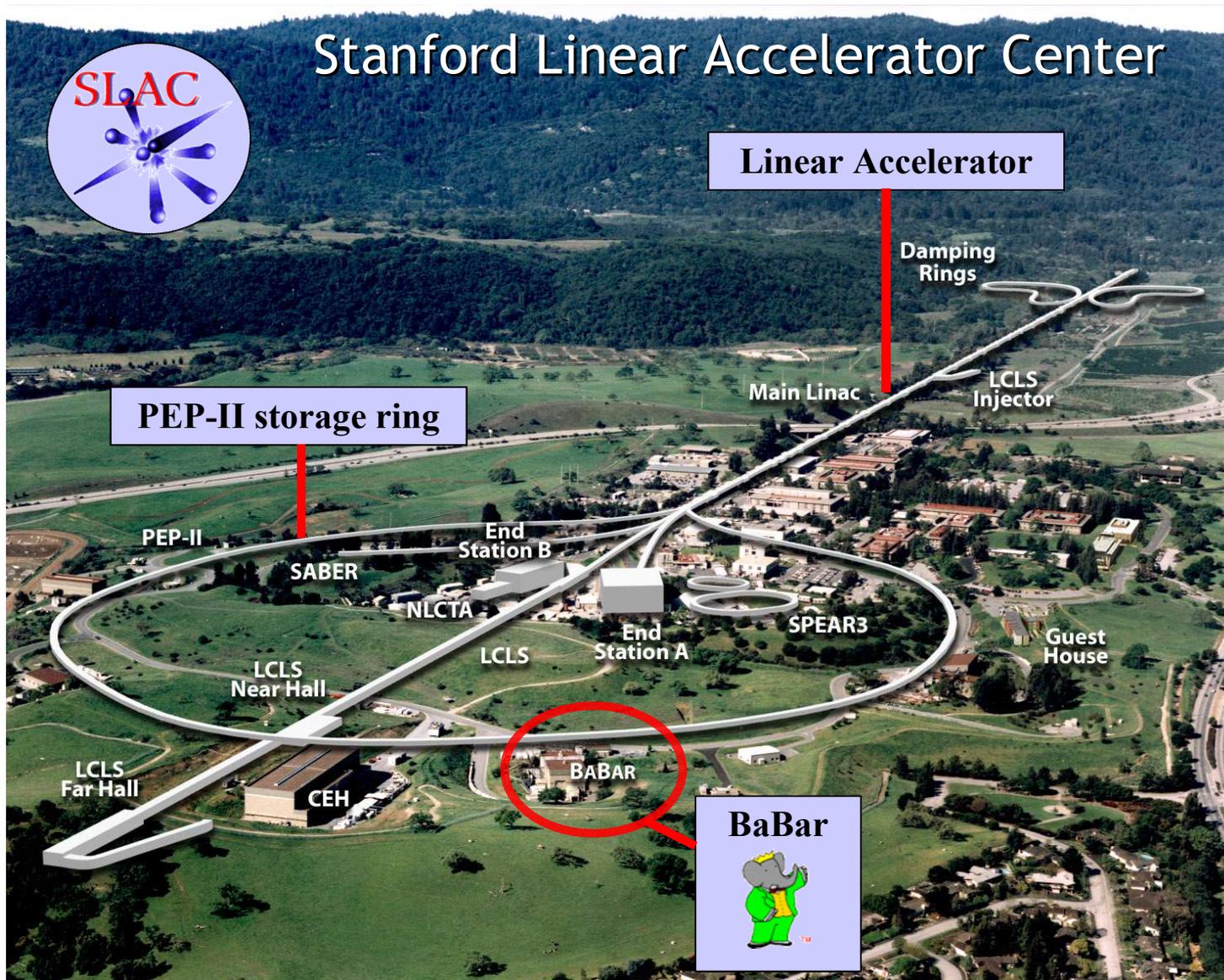
Charm mixing complements K^0 and B^0 mixing measurements

❖ Sensitive to up-type quarks instead of down-type quarks

Some models avoid K^0 and B^0 mixing signals by having large charm mixing

The BaBar Experiment

PEP-II, a B-Factory (and Charm)



High-luminosity
asymmetric energy
 e^+e^- collider
at $\Upsilon(4S)$ resonance

B-Factory built
for study of
CP-violation
and other CKM-
physics in
 B meson decays

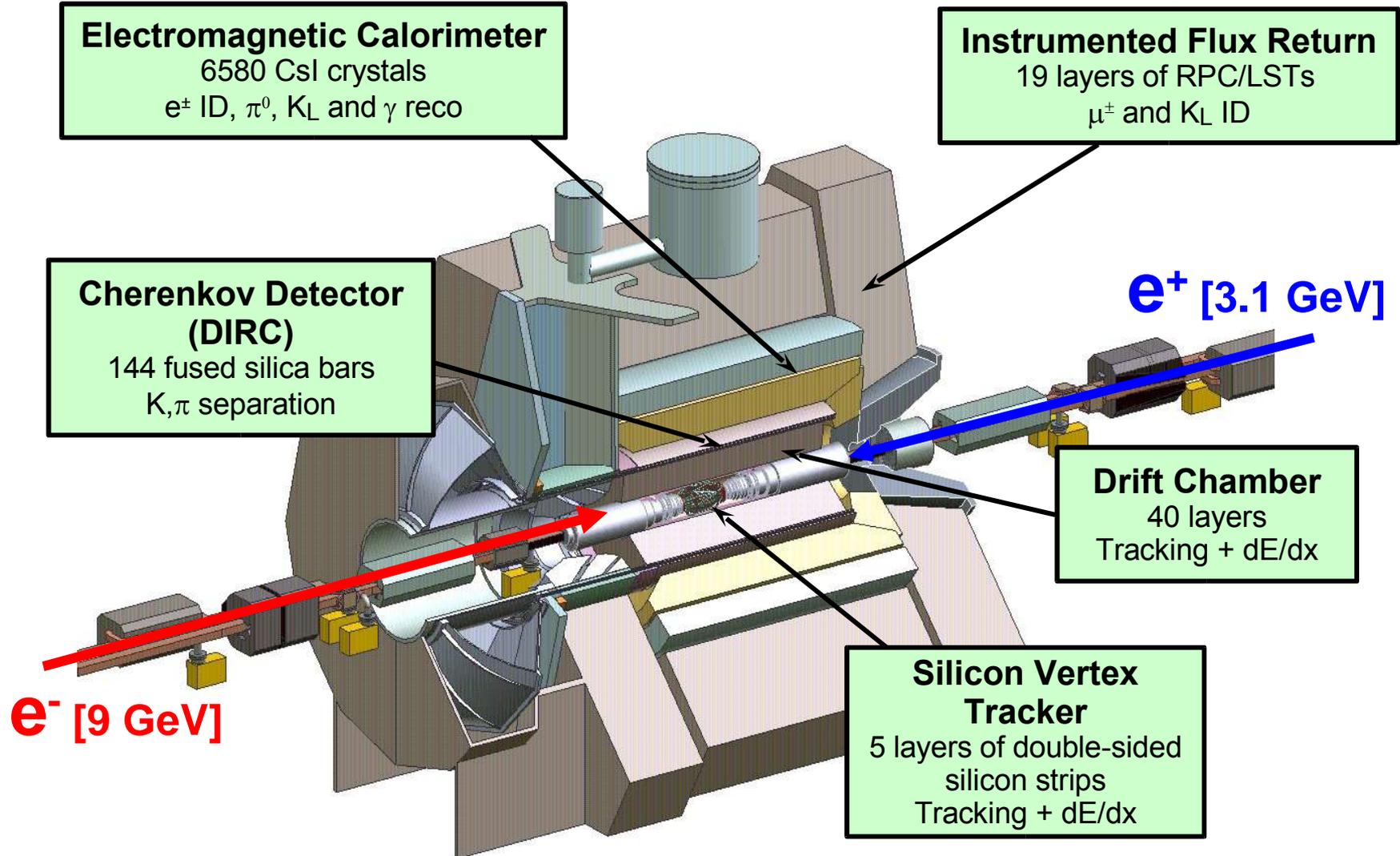
~ 10 Hz of $B\bar{B}$

The BaBar Experiment



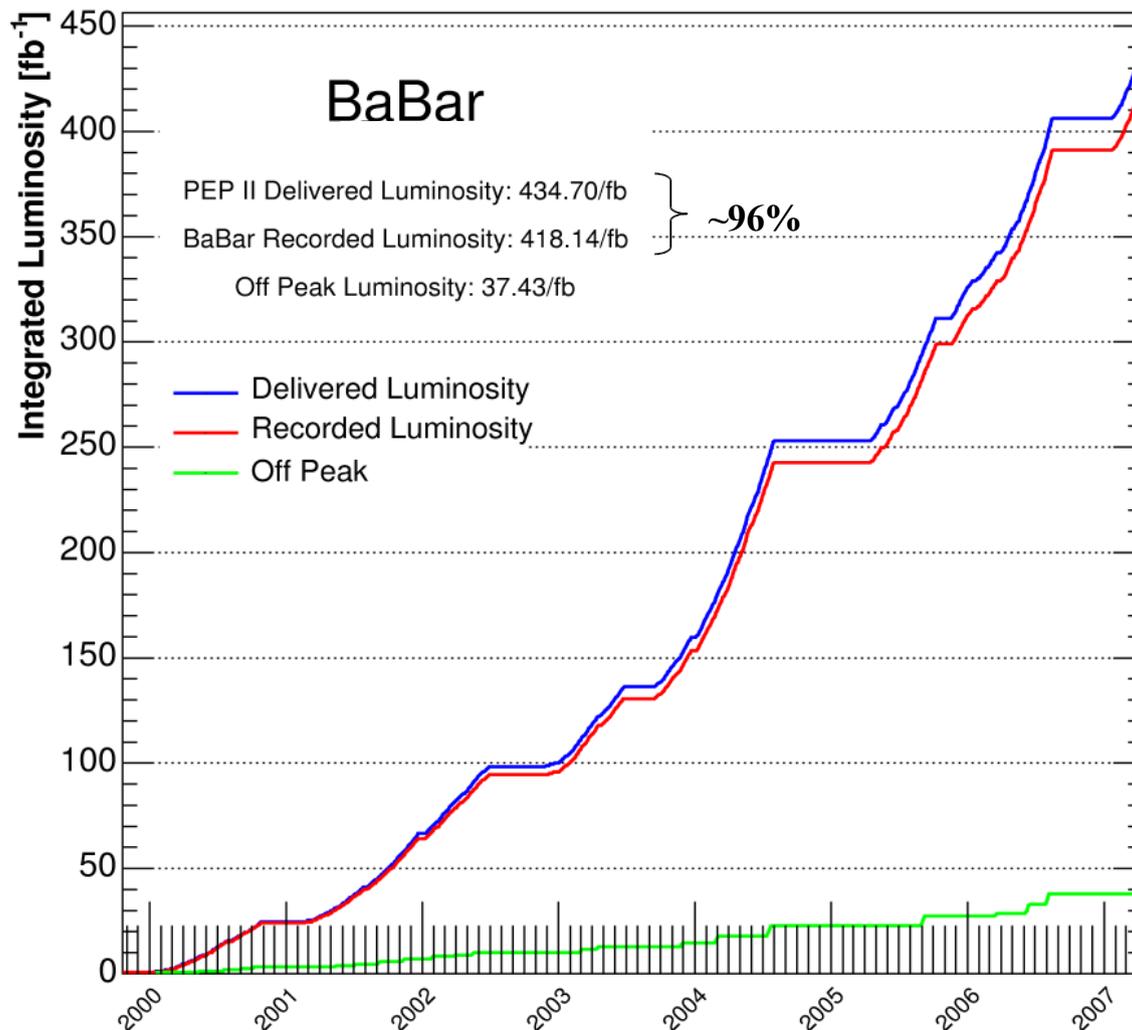
The BaBar Experiment

BaBar is a large acceptance experiment with excellent particle reconstruction and identification capability



B-Factory: High Luminosity

High luminosity recorded efficiently



$$\sigma_{\text{eff}}(b\bar{b}) = 1.1 \text{ nb}$$

$$\sigma(c\bar{c}) = 1.3 \text{ nb}$$

Recorded >400M $B\bar{B}$ events,
and >500M $c\bar{c}$ events

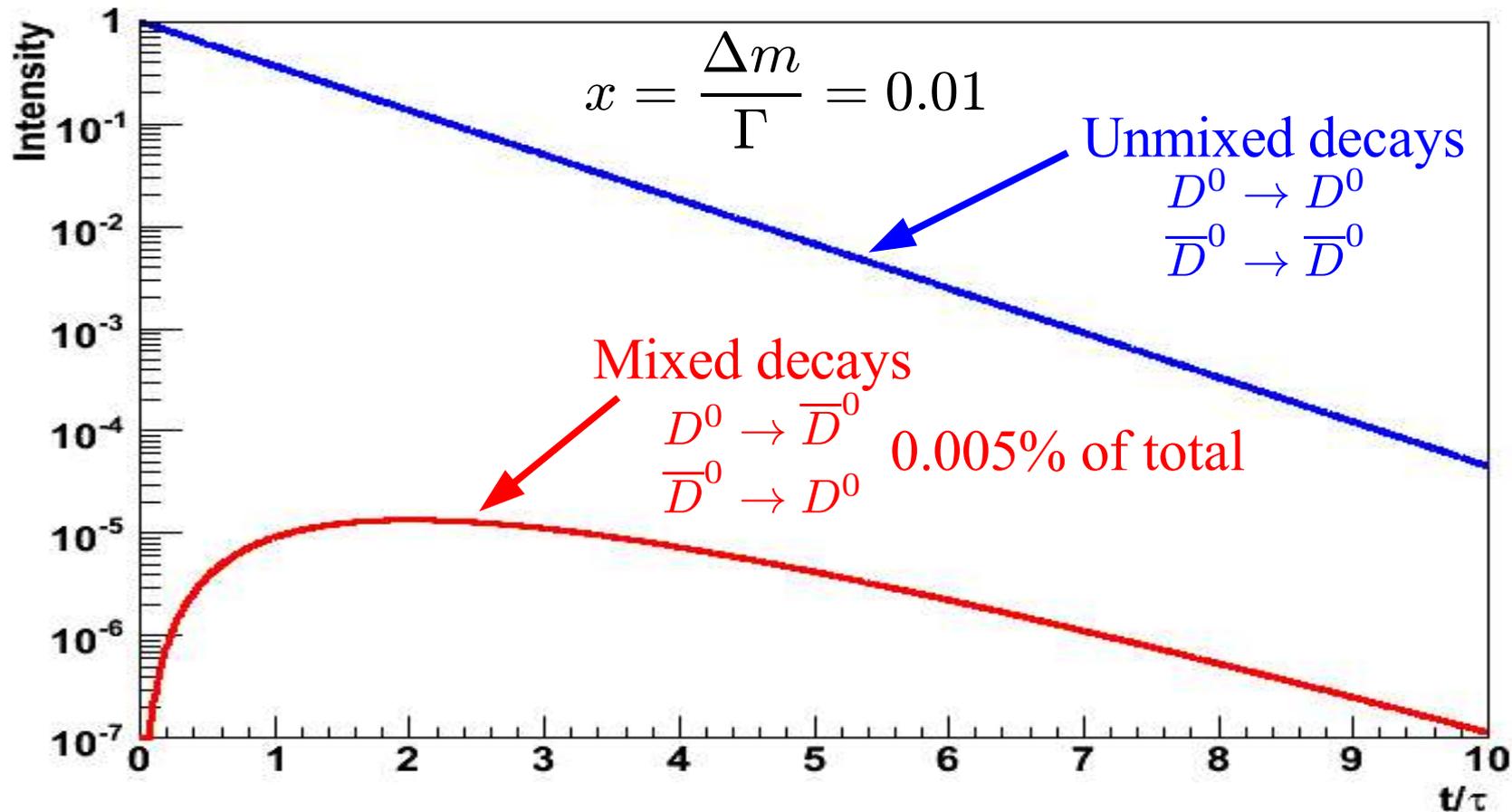
Add ~1M $c\bar{c}$ each day

Excellent sample to
search for charm mixing

Charm Mixing in
 $D^0 \rightarrow K\pi$ Decay at BaBar

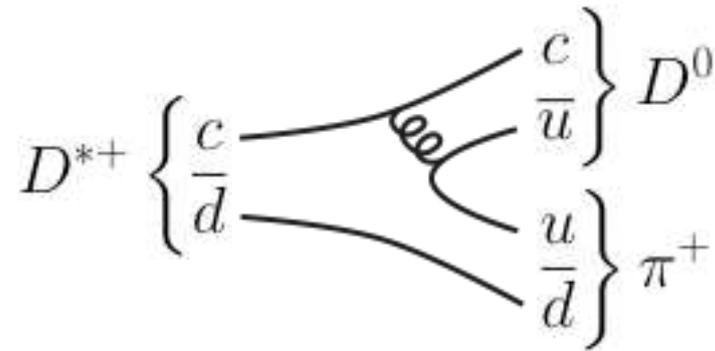
Principle of Mixing Measurement

- ❖ Produce clean sample of D^0 and \bar{D}^0
- ❖ Identify flavor (D^0 or \bar{D}^0 ?) at decay time
- ❖ Measure rate of mixed decays as function of time



Production Flavor

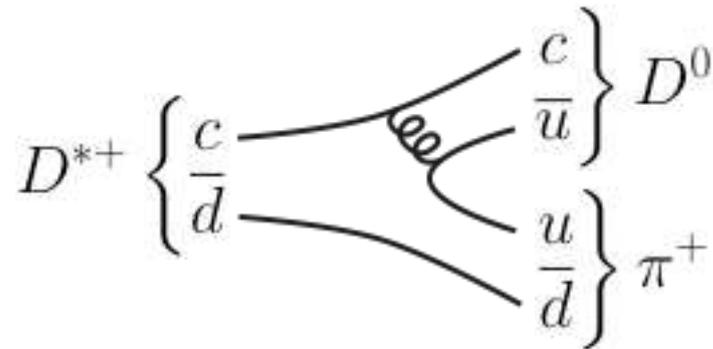
Use D^0 from $D^{*+} \rightarrow D^0 \pi^+$ decays:



Charge of pion "tags"
initial flavor as D^0 or \bar{D}^0

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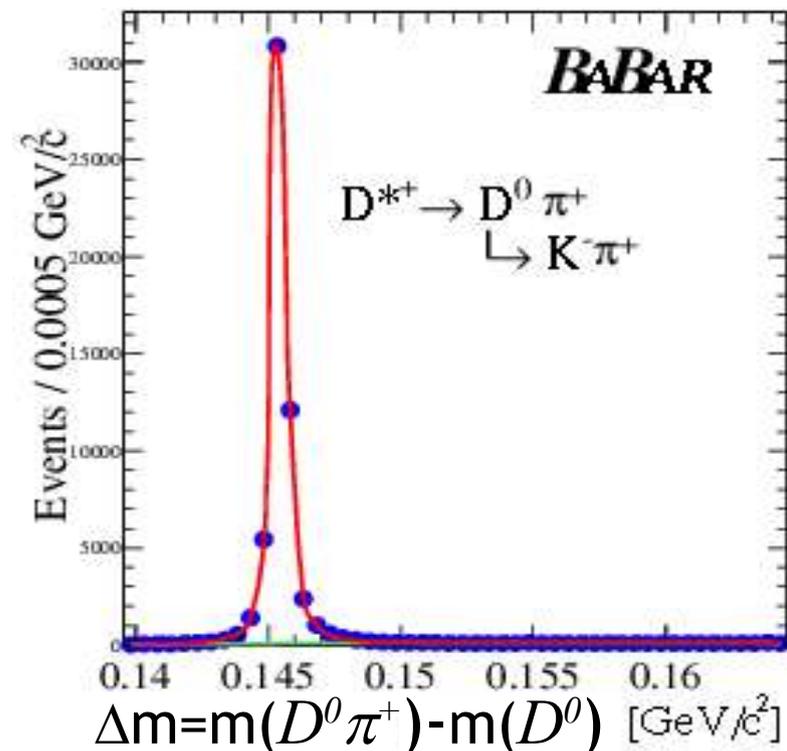
Charge of pion “tags”
initial flavor as D^0 or \bar{D}^0

Additional benefit: small Q

$$Q = m(D^{*+}) - m(D^0) - m(\pi^+) \approx 6 \text{ MeV}/c^2$$

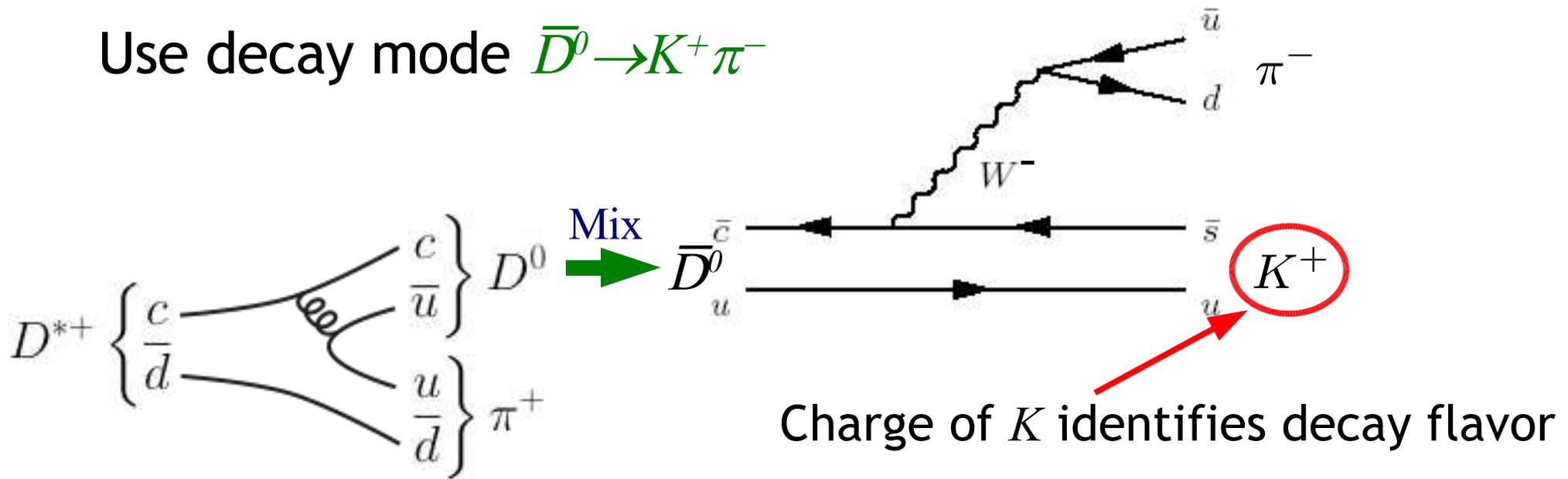
Gives narrow mass peak

Excellent background
suppression



Flavor at Decay

Use decay mode $\bar{D}^0 \rightarrow K^+ \pi^-$

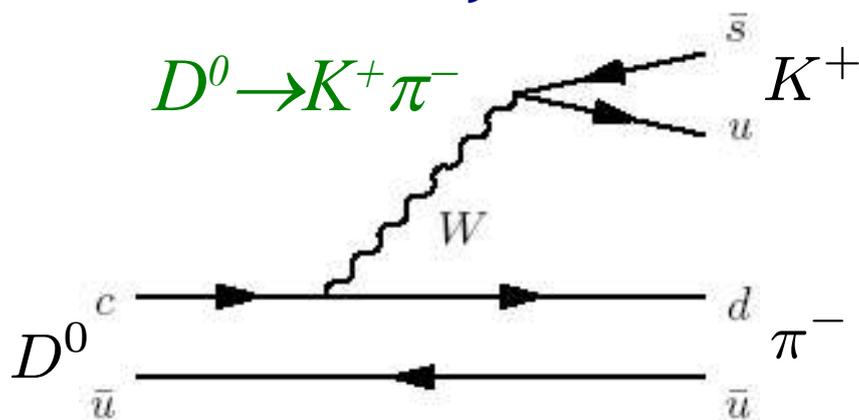


If opposite flavor: Wrong-sign (WS) event - mixing occurred
 If same flavor: Right-sign (RS) events - unmixed decay

Doubly-Cabibbo Suppressed Decays

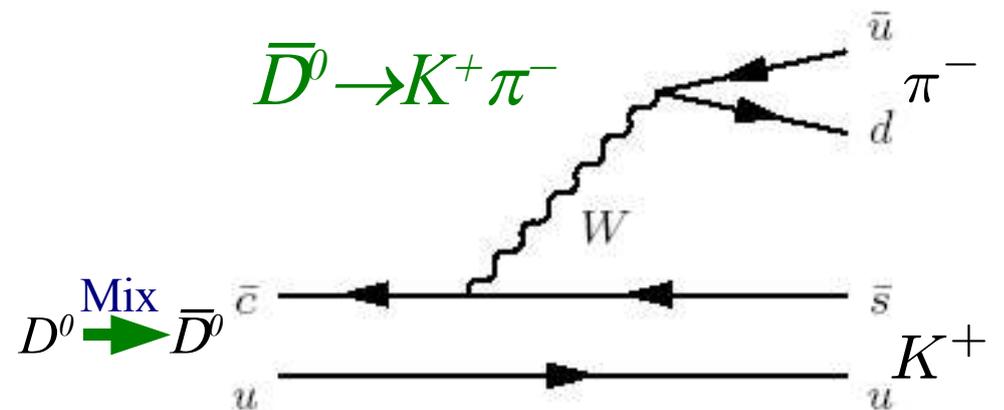
Hadronic decays do not uniquely identify decay flavor
 Get unmixed wrong-sign decays from DCS decays

DCS decay:



Relative rate $\sim 0.3\%$

Mixed decay:

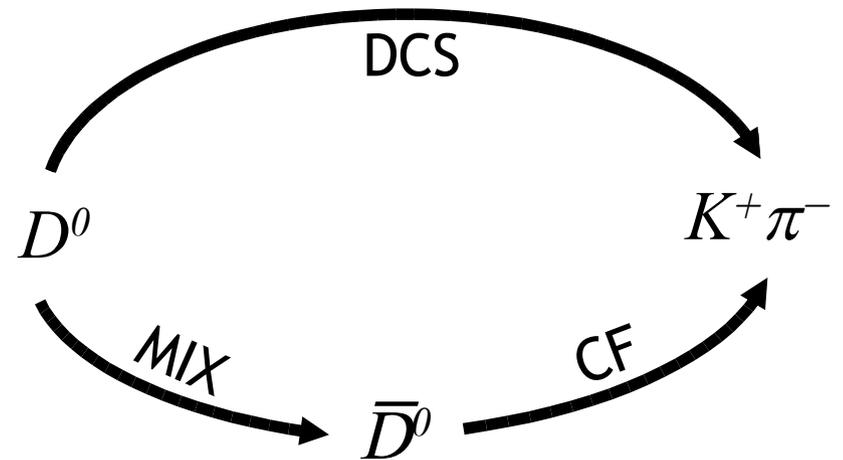


Relative rate: 0.005% (for $x=0.01$)

Time-Evolution of $D^0 \rightarrow K\pi$ Decays

Discriminate DCS and mixing by their different time evolution

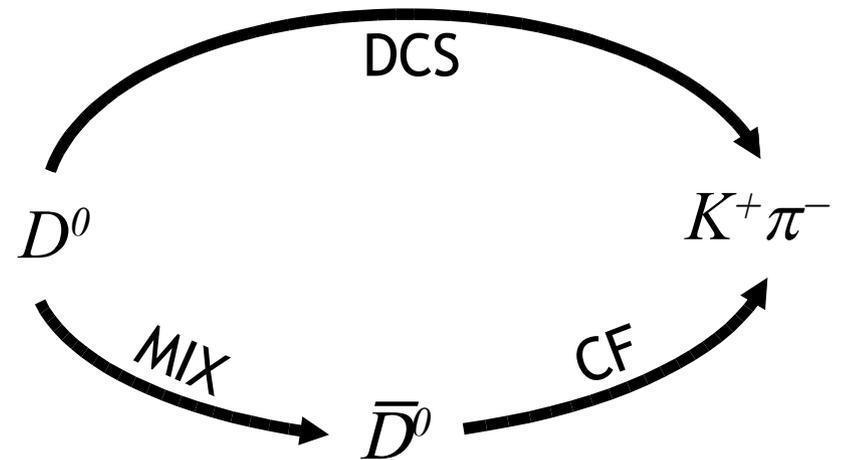
Also have interference effect:



Time-Evolution of $D^0 \rightarrow K\pi$ Decays

Discriminate DCS and mixing by their different time evolution

Also have interference effect:



Time evolution: ($|x| \ll 1, |y| \ll 1$)

$$\Gamma_{WS}(t) = e^{-\Gamma t} \left(\underbrace{R_D}_{\text{DCS}} + \underbrace{y' \sqrt{R_D}}_{\text{Interference}} (\Gamma t) + \underbrace{\frac{x'^2 + y'^2}{4}}_{\text{Mixing}} (\Gamma t)^2 \right)$$

$$y' = y \cos \delta - x \sin \delta$$

$$x' = y \cos \delta + x \sin \delta$$

Note: $x'^2 + y'^2 = x^2 + y^2$

δ is phase difference between DCS and CF decays

Event Selection

D^0 selection:

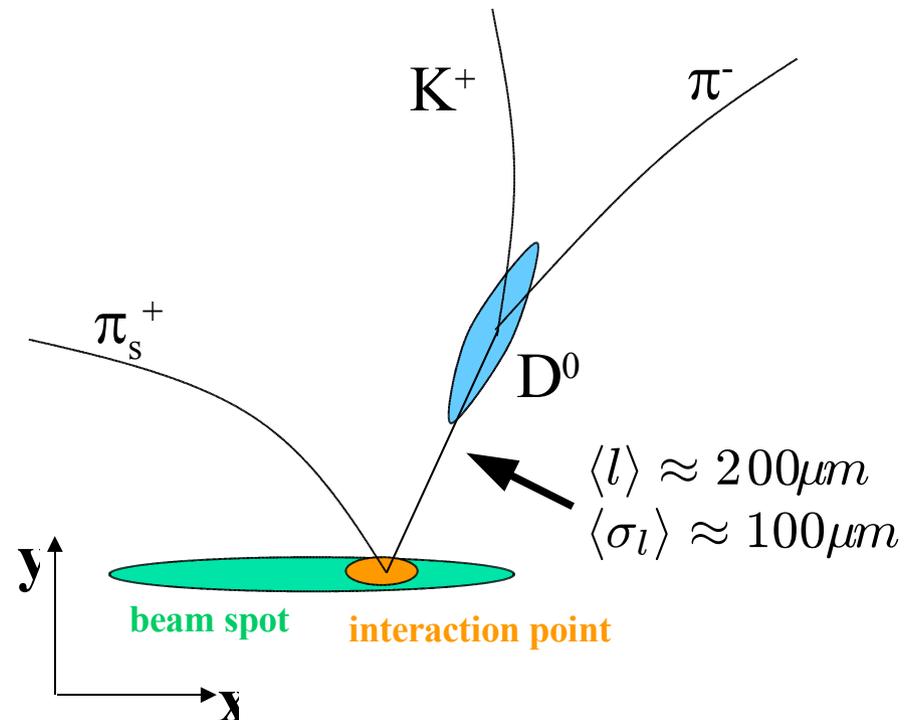
- ❖ Identified K and π
- ❖ $p^*(D^0) > 2.5 \text{ GeV}/c$
- ❖ $1.81 < m(K\pi) < 1.92 \text{ GeV}/c^2$

Slow π selection:

- ❖ $p^*(\pi_s) < 0.45 \text{ GeV}/c$
- ❖ $p_{\text{lab}}(\pi_s) > 0.1 \text{ GeV}/c$
- ❖ $0.14 < \Delta m < 0.16 \text{ GeV}/c^2$
 $\Delta m = m(K\pi\pi_s) - m(K\pi)$

Vertexing:

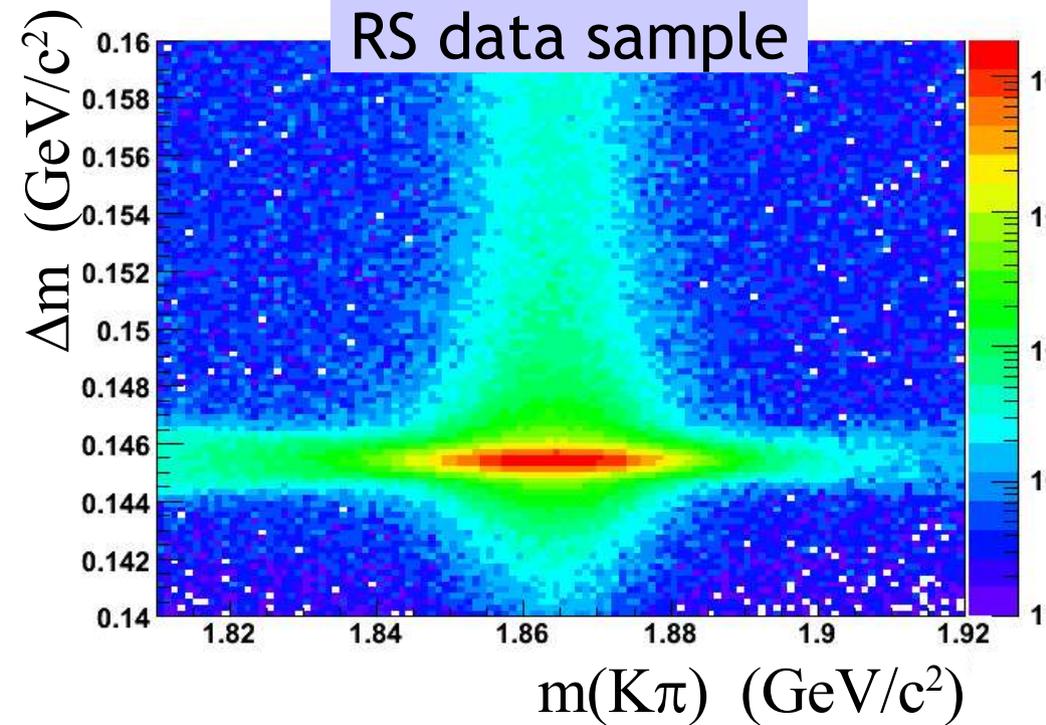
- ❖ D^0 and π_s constrained to luminous region
- ❖ Fit probability $> 0.1\%$
- ❖ Reconstructed decay time, t : $-2 < t < 4 \text{ ps}$
- ❖ Estimated decay time error, $\delta t < 0.5 \text{ ps}$



Selected Events

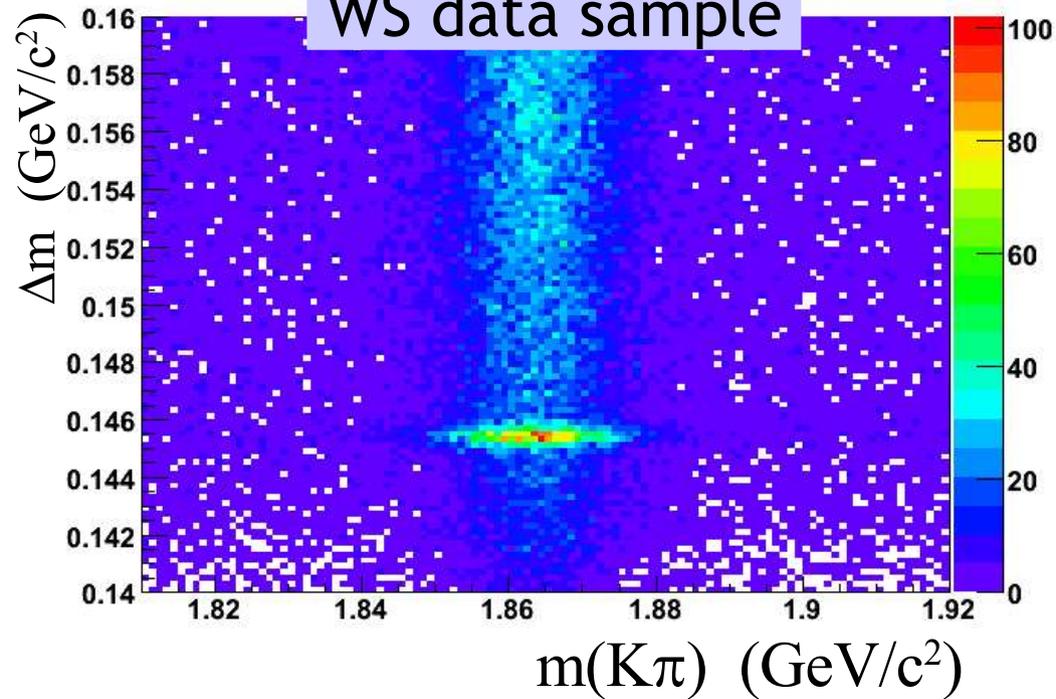
1,229,000 RS events

RS data sample



64,000 WS events

WS data sample



Separate signal from background using $m(K\pi)$ and Δm

Fit Procedure

Unbinned maximum likelihood fit in several steps
(fitting 1+ million events takes a long time)

Fit to $m(K\pi)$ and Δm distribution:

- ❖ RS and WS samples fit simultaneously
- ❖ Signal and some background parameters shared
- ❖ All parameters determined in fit to data, not MC

Fit RS decay time distribution:

- ❖ Determines D^0 lifetime and resolution function
- ❖ Include event-by-event decay time error δt in resolution
- ❖ Use $m(K\pi)$ and Δm to separate signal/bkgd (fixed shapes)

Fit WS decay time distribution:

- ❖ Use D^0 lifetime and resolution function from RS fit
- ❖ Compare fit with and without mixing (and CP violation)

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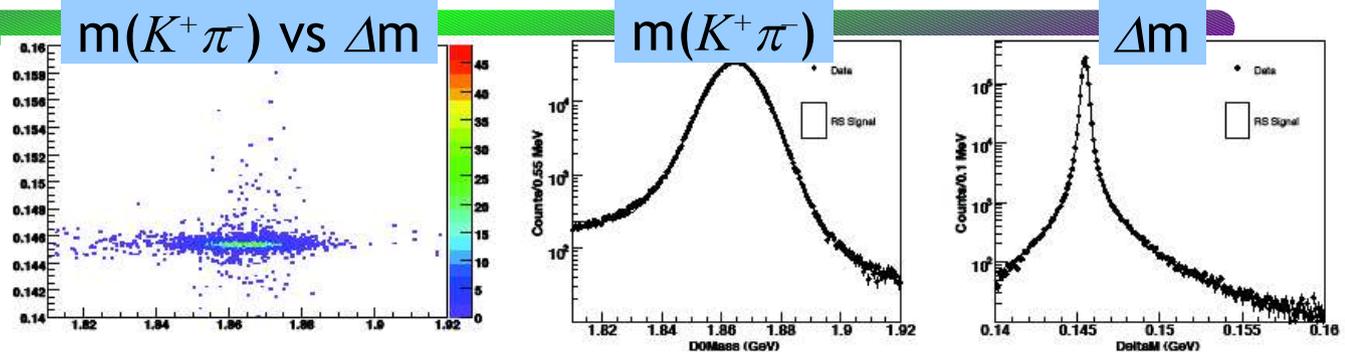
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Signal and Background Components

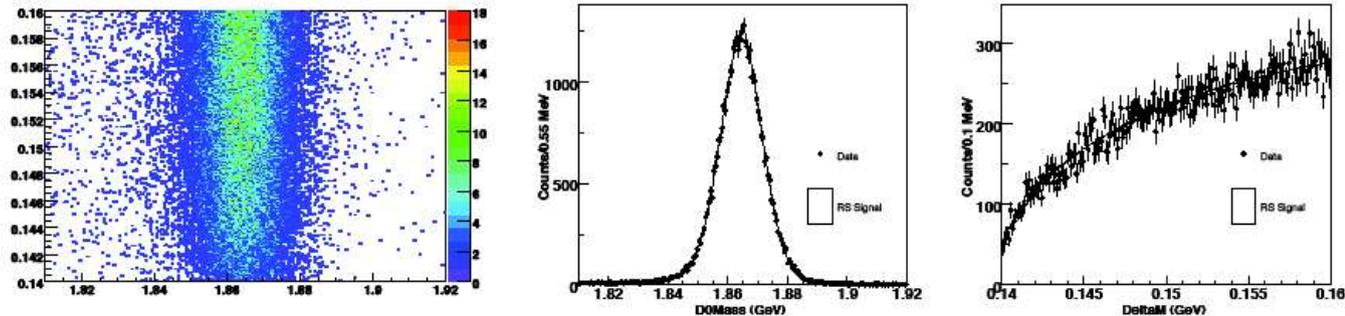
Signal:

- ❖ Correct $D^{*+} \rightarrow D^0 \pi^+$
- ❖ Peaks in $m(K\pi)$ and Δm



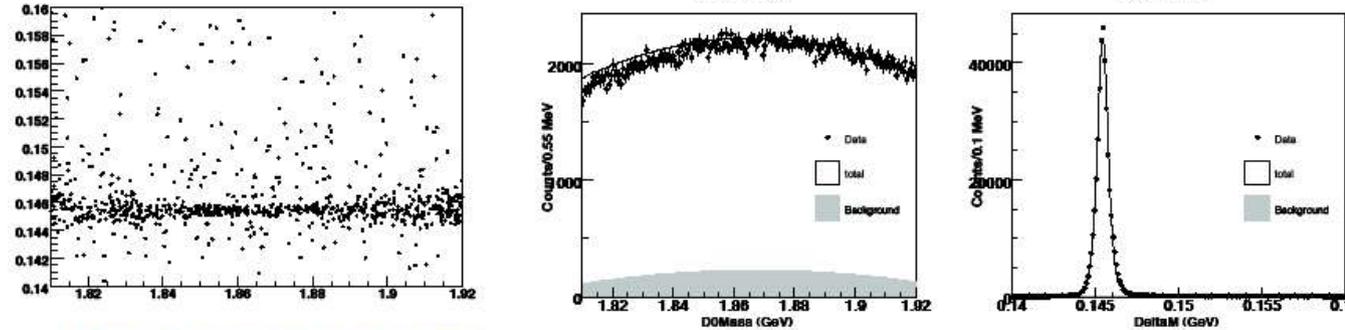
Random π_s :

- ❖ Correct D^0 , wrong π_s
- ❖ Peaks in $m(K\pi)$, not Δm



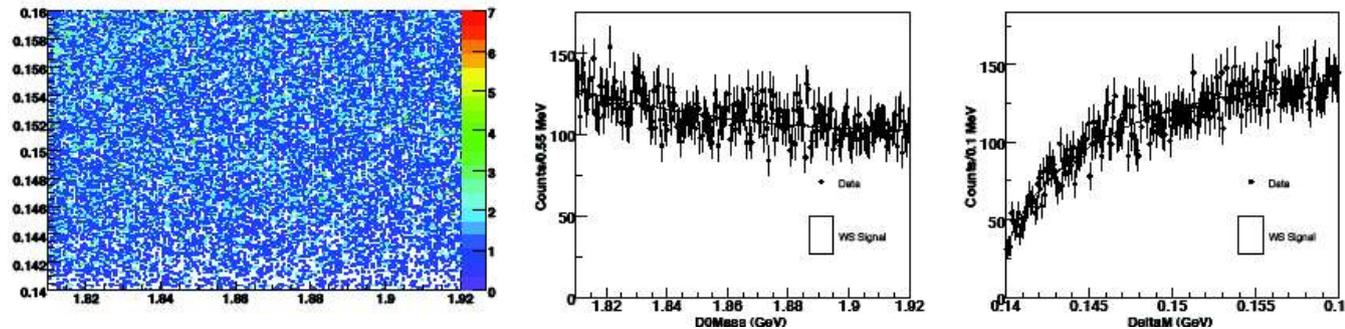
Misreconstructed D^0 :

- ❖ Real $D^{*+} \rightarrow D^0 \pi^+$
- ❖ $D^0 \rightarrow K^- \mu^+ \nu$
- ❖ Double misid $D^0 \rightarrow K^- \pi^+$ (WS events only)

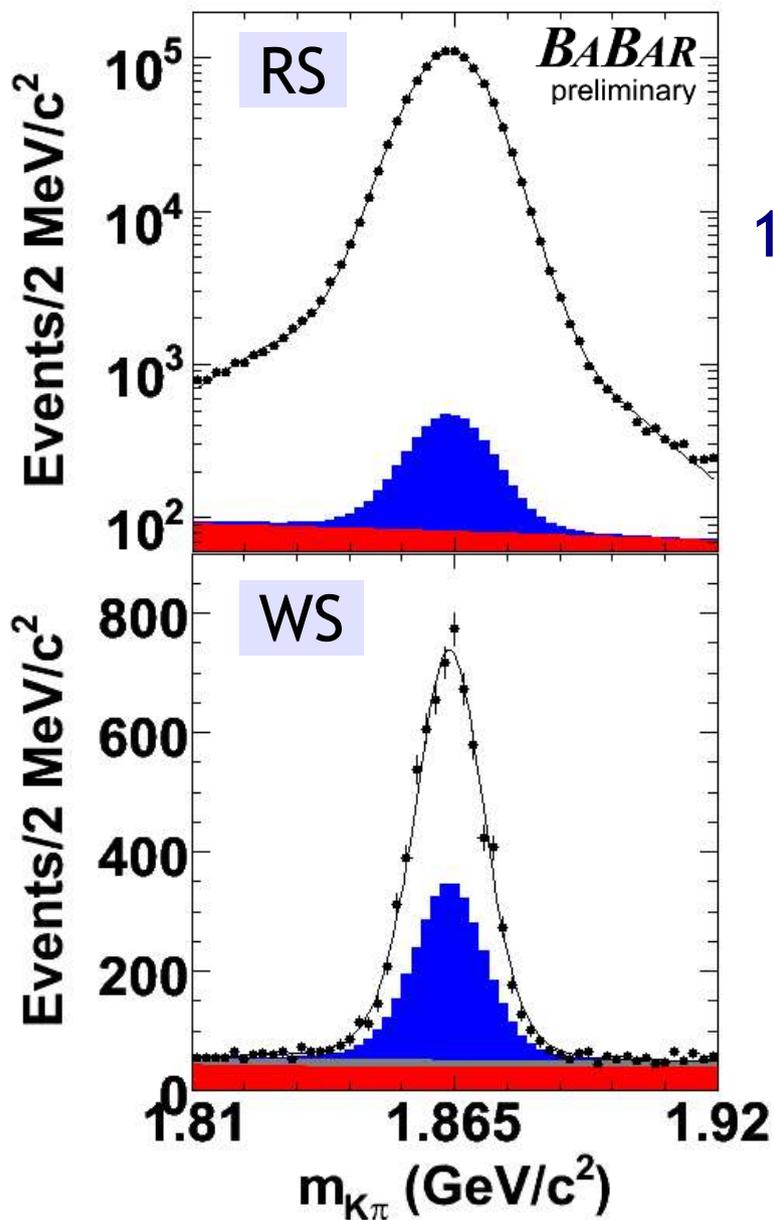


Combinatoric:

- ❖ Random tracks

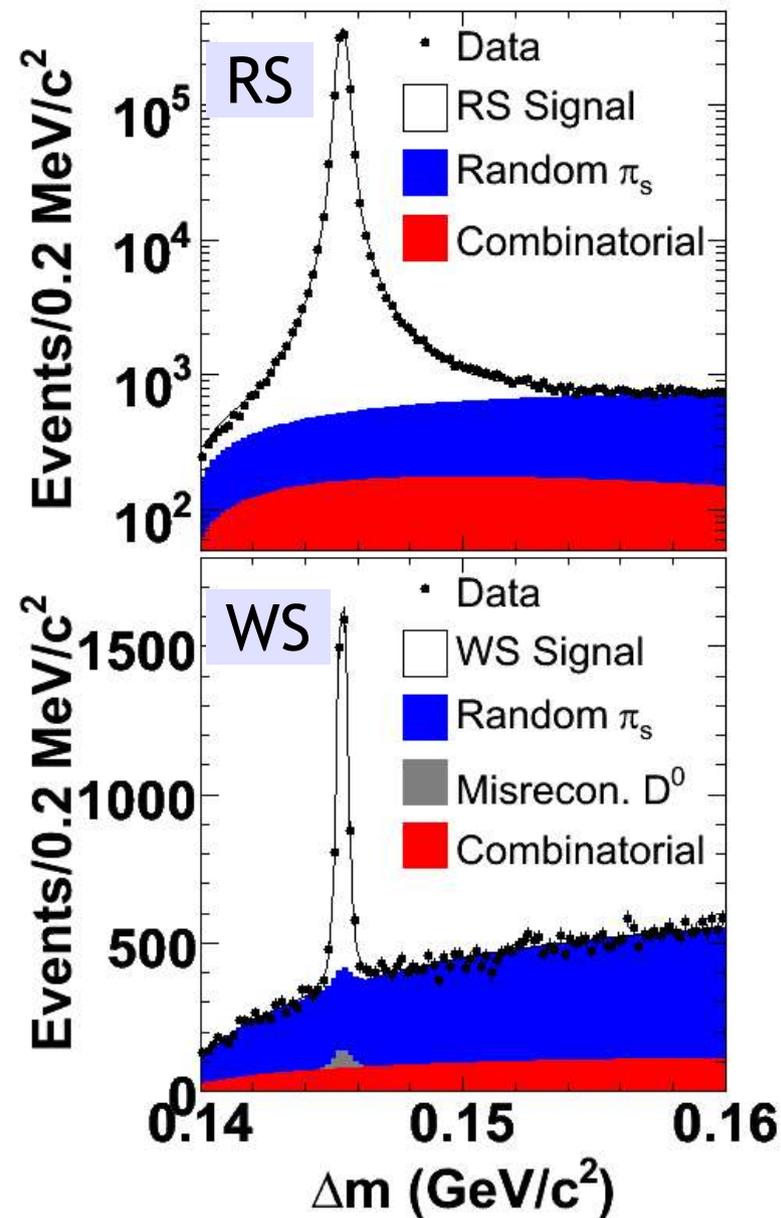


$m(K\pi)$ - Δm Fit Results



RS signal:
 $1,141,500 \pm 1200$
combinations

WS signal:
 $4,030 \pm 90$
combinations



Fit Procedure

Unbinned maximum likelihood fit in several steps
(fitting 1+ million events takes a long time)

Fit to $m(K\pi)$ and Δm distribution:

- ❖ RS and WS samples fit simultaneously
- ❖ Signal and some background parameters shared
- ❖ All parameters determined in fit to data, not MC

Fit RS decay time distribution:

- ❖ Determines D^0 lifetime and resolution function
- ❖ Include event-by-event decay time error δt in resolution
- ❖ Use $m(K\pi)$ and Δm to separate signal/bkgd (fixed shapes)

Fit WS decay time distribution:

- ❖ Use D^0 lifetime and resolution function from RS fit
- ❖ Compare fit with and without mixing (and CP violation)

Decay Time Resolution

Average D^0 flight length only twice average resolution

- ❖ Resolution function described by sum of 3 Gaussians
- ❖ Resolution widths scales with δt
- ❖ Mean of core Gaussian allowed to be non-zero

The diagram illustrates the convolution of an exponential decay function and a Gaussian resolution function. On the left, a graph shows the exponential decay function $e^{-t/\tau}$. This is followed by a convolution symbol \otimes and a graph of a Gaussian function $\frac{1}{\sqrt{2\pi}\sigma} e^{-t^2/2\sigma^2}$. An equals sign follows, leading to a graph of the resulting function $\frac{1}{\sqrt{2\pi}\sigma} \int_0^\infty e^{-t'/\tau} e^{-(t-t')^2/2\sigma^2} dt'$.

For combinatorial background, use Gaussians and power-law “tail” for small long-lived component

RS Decay Time Fit

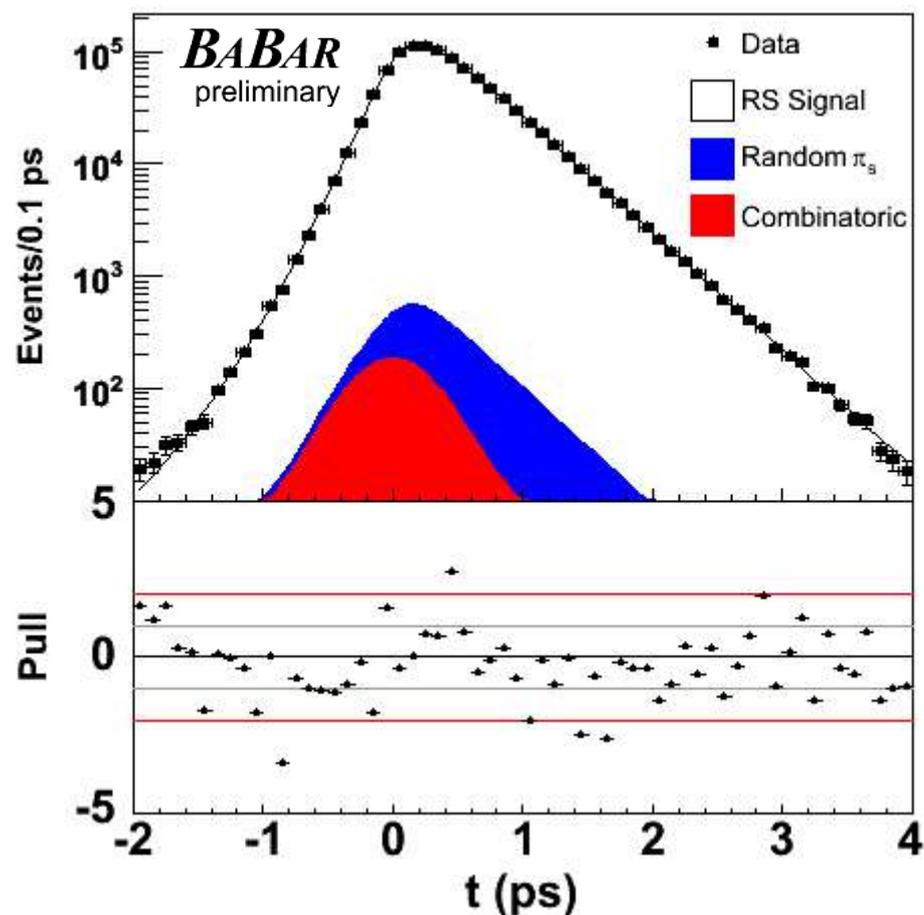
RS decay time, signal region

D^0 lifetime and resolution function fitted in RS sample

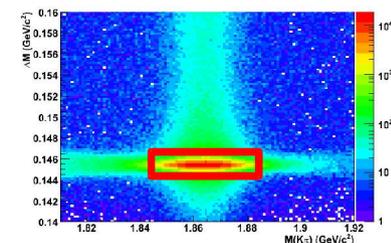
$$\tau = (410.3 \pm 0.6(\text{stat.})) \text{ fs}$$

Consistent with PDG
($410.1 \pm 1.5 \text{ fs}$)

Systematics dominated by resolution function



plot selection:
 $1.843 < m < 1.883 \text{ GeV}/c^2$
 $0.1445 < \Delta m < 0.1465 \text{ GeV}/c^2$



Fit Procedure

Unbinned maximum likelihood fit in several steps
(fitting 1+ million events takes a long time)

Fit to $m(K\pi)$ and Δm distribution:

- ❖ RS and WS samples fit simultaneously
- ❖ Signal and some background parameters shared
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- ❖ Determines D^0 lifetime and resolution function
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- ❖ Use $m(K\pi)$ and Δm to separate signal/bkgd (fixed shapes)

Fit WS decay time distribution:

- ❖ Use D^0 lifetime and resolution function from RS fit
- ❖ Compare fit with and without mixing (and CP violation)

WS Fit with no Mixing

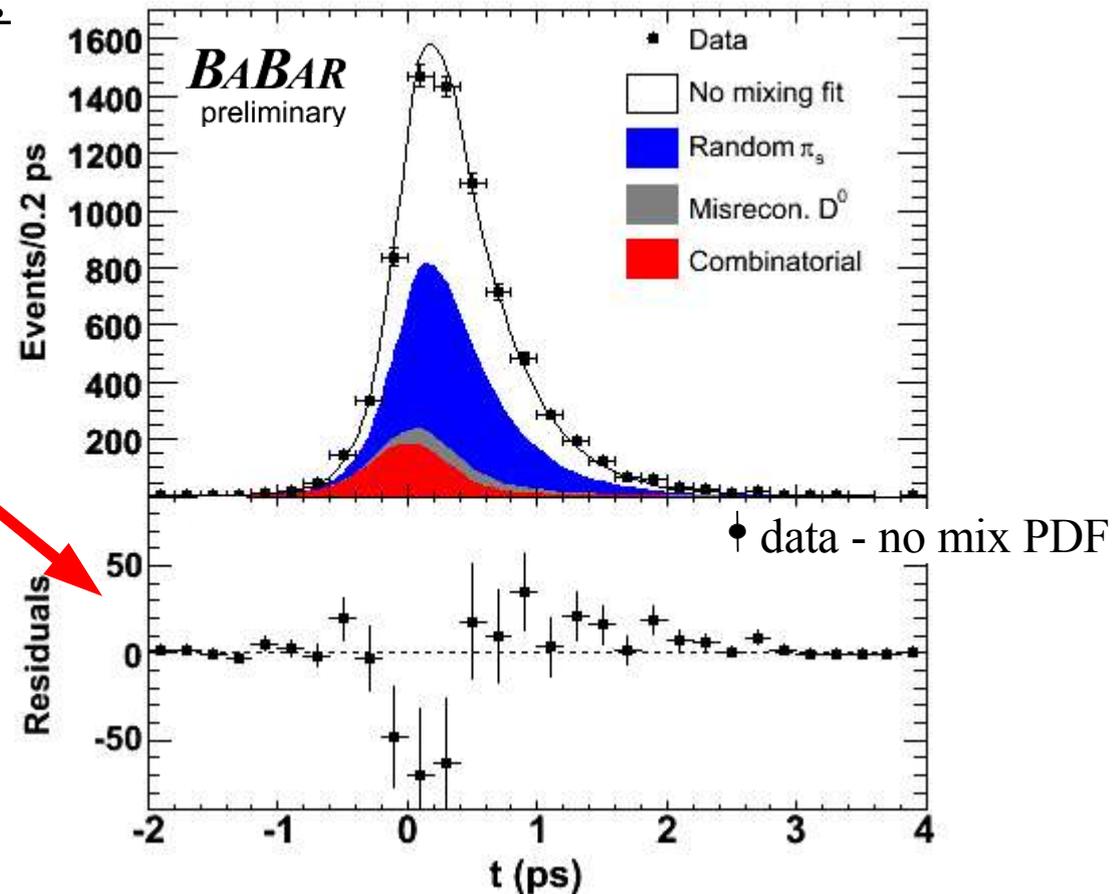
Fit results assuming no mixing:

$$R_D: (3.53 \pm 0.08 \pm 0.04) \times 10^{-3}$$

However, residuals in signal region are not good

$$\chi^2 / bin = 49.7 / 28$$

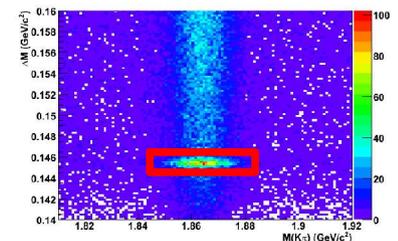
WS decay time, signal region



plot signal region:

$$1.843 < m < 1.883 \text{ GeV}/c^2$$

$$0.1445 < \Delta m < 0.1465 \text{ GeV}/c^2$$



WS Fit with Mixing

Fit results allowing mixing:

$$R_D: (3.03 \pm 0.16 \pm 0.10) \times 10^{-3}$$

$$x'^2: (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3}$$

$$y': (9.7 \pm 4.4 \pm 3.1) \times 10^{-3}$$

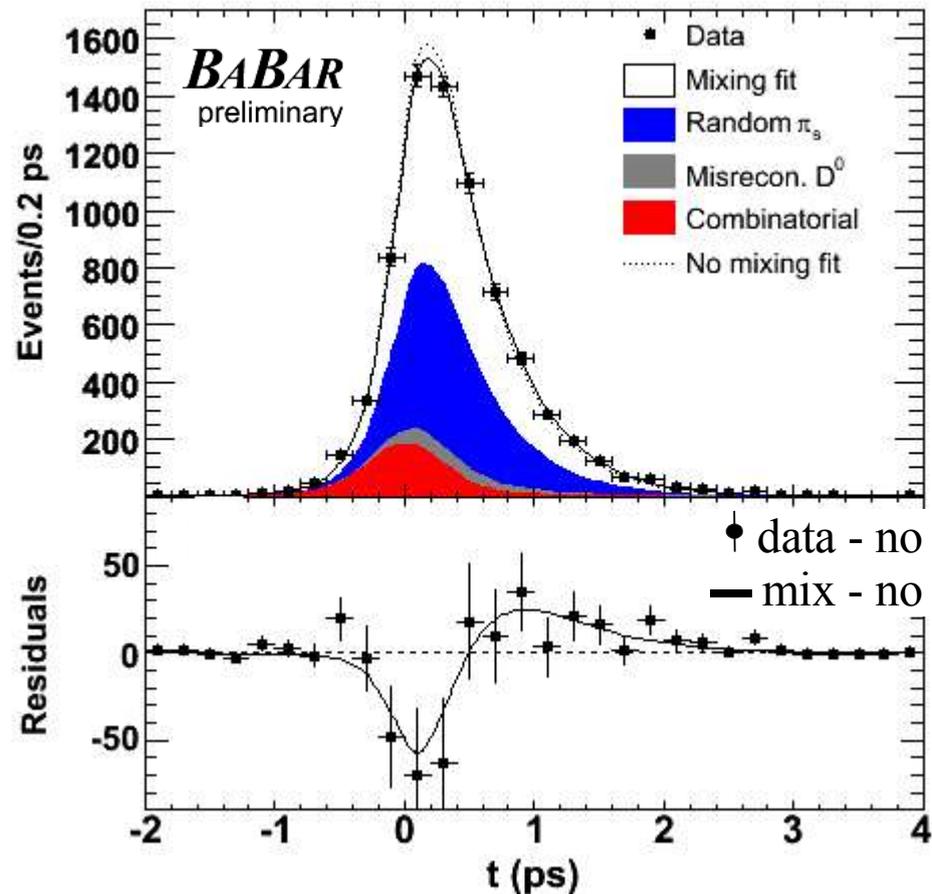
$$x'^2, y' \text{ correlation: } -0.94$$

Fit with gives better description of data

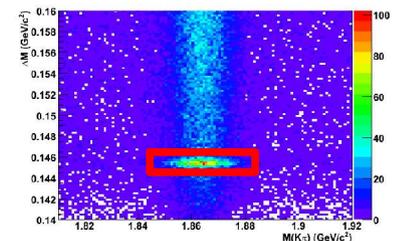
$$\chi^2 / bin = 31/28$$

How significant?

WS decay time, signal region

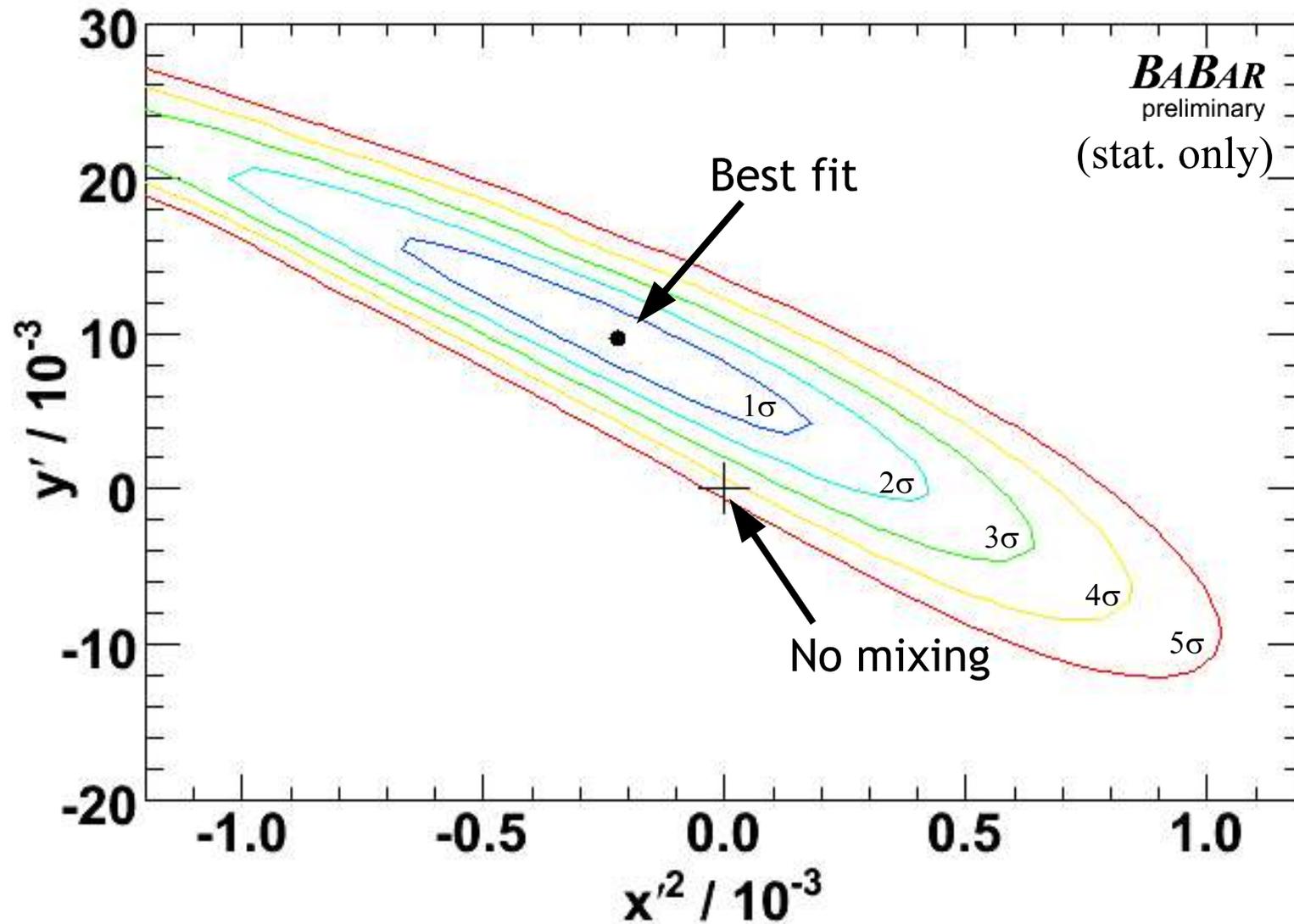


plot signal region:
 $1.843 < m < 1.883 \text{ GeV}/c^2$
 $0.1445 < \Delta m < 0.1465 \text{ GeV}/c^2$



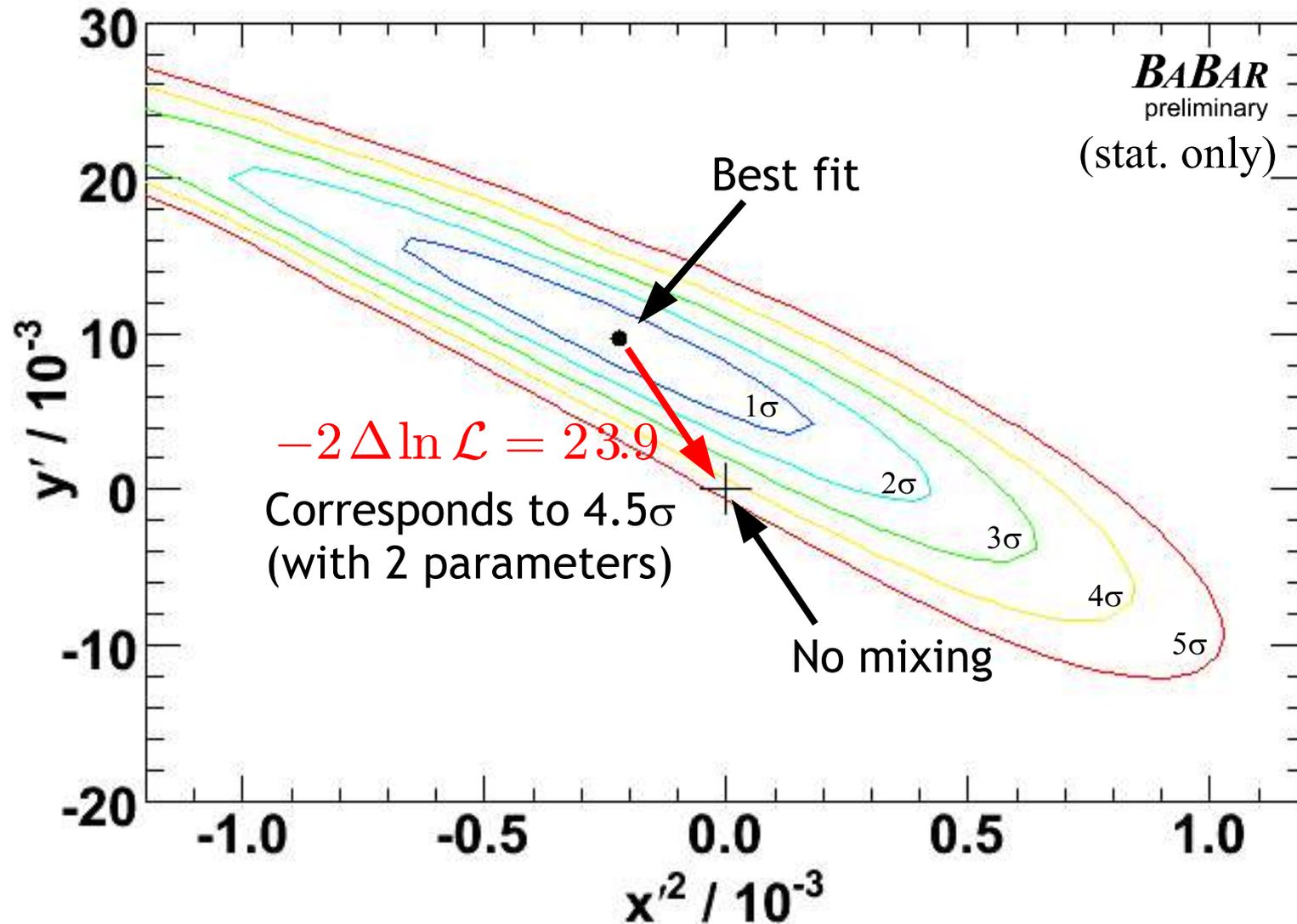
Signal Significance

Significance calculated from change in log likelihood:



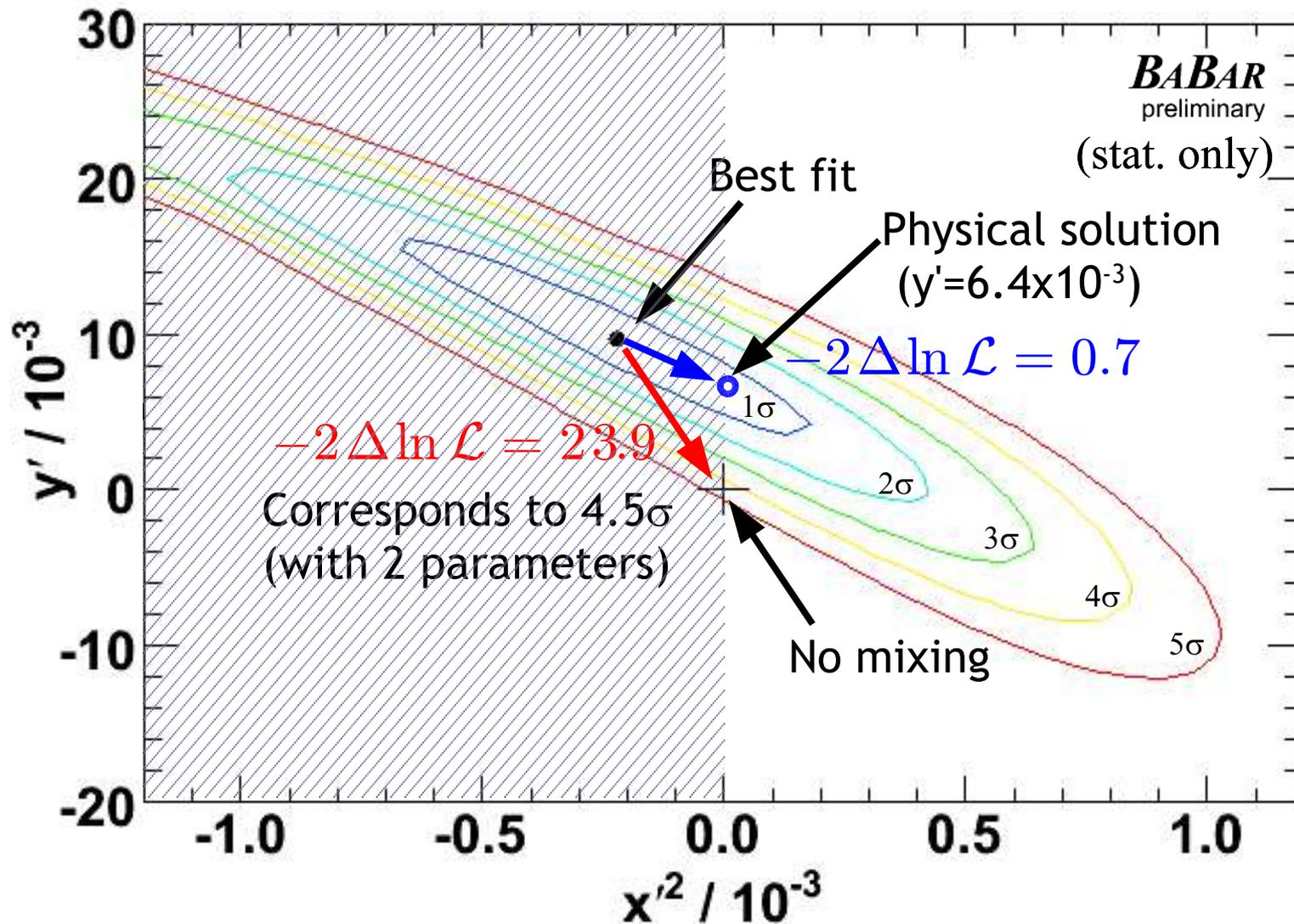
Signal Significance

Significance calculated from change in log likelihood:



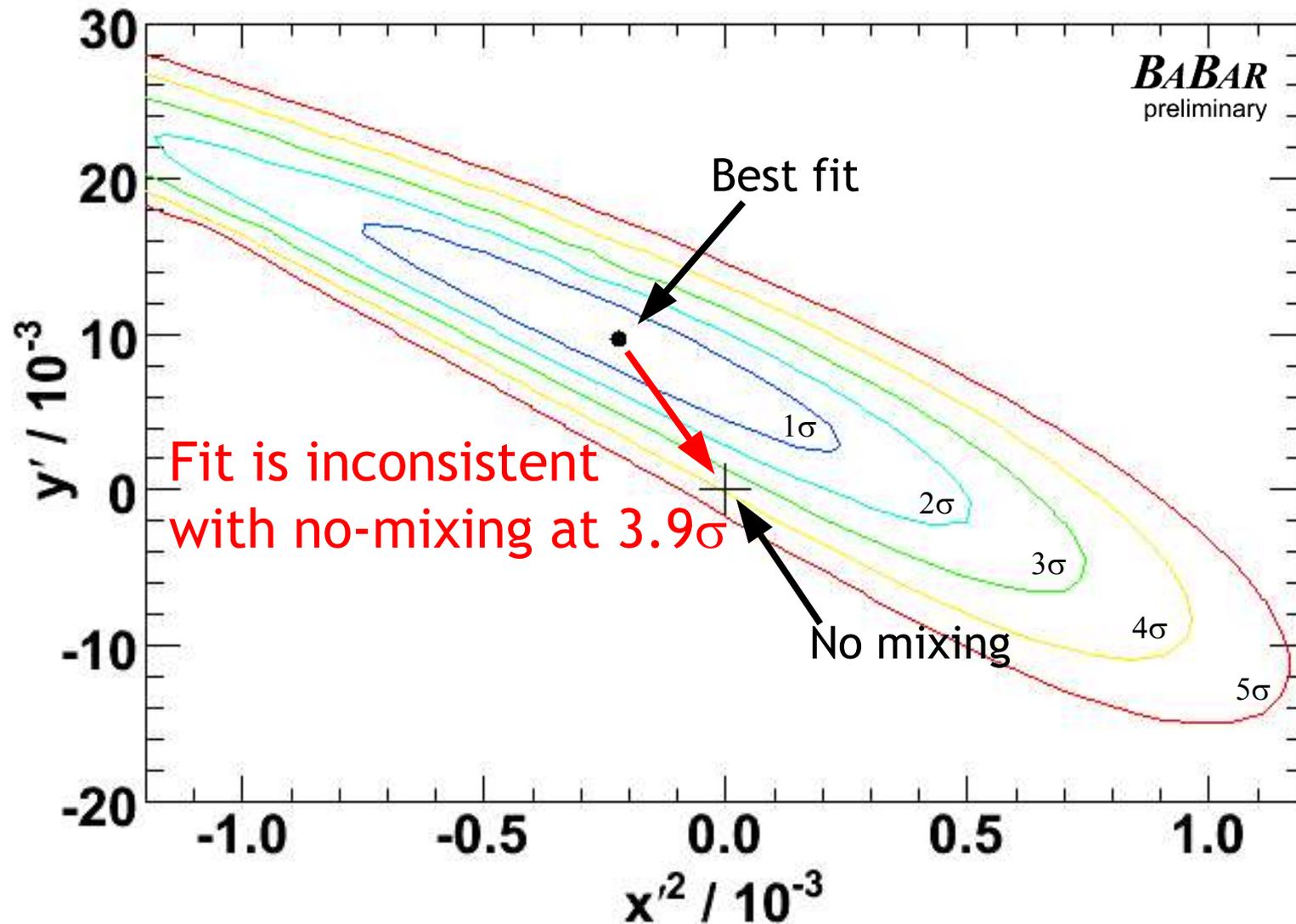
Signal Significance

Best fit is in unphysical region ($x'^2 < 0$)



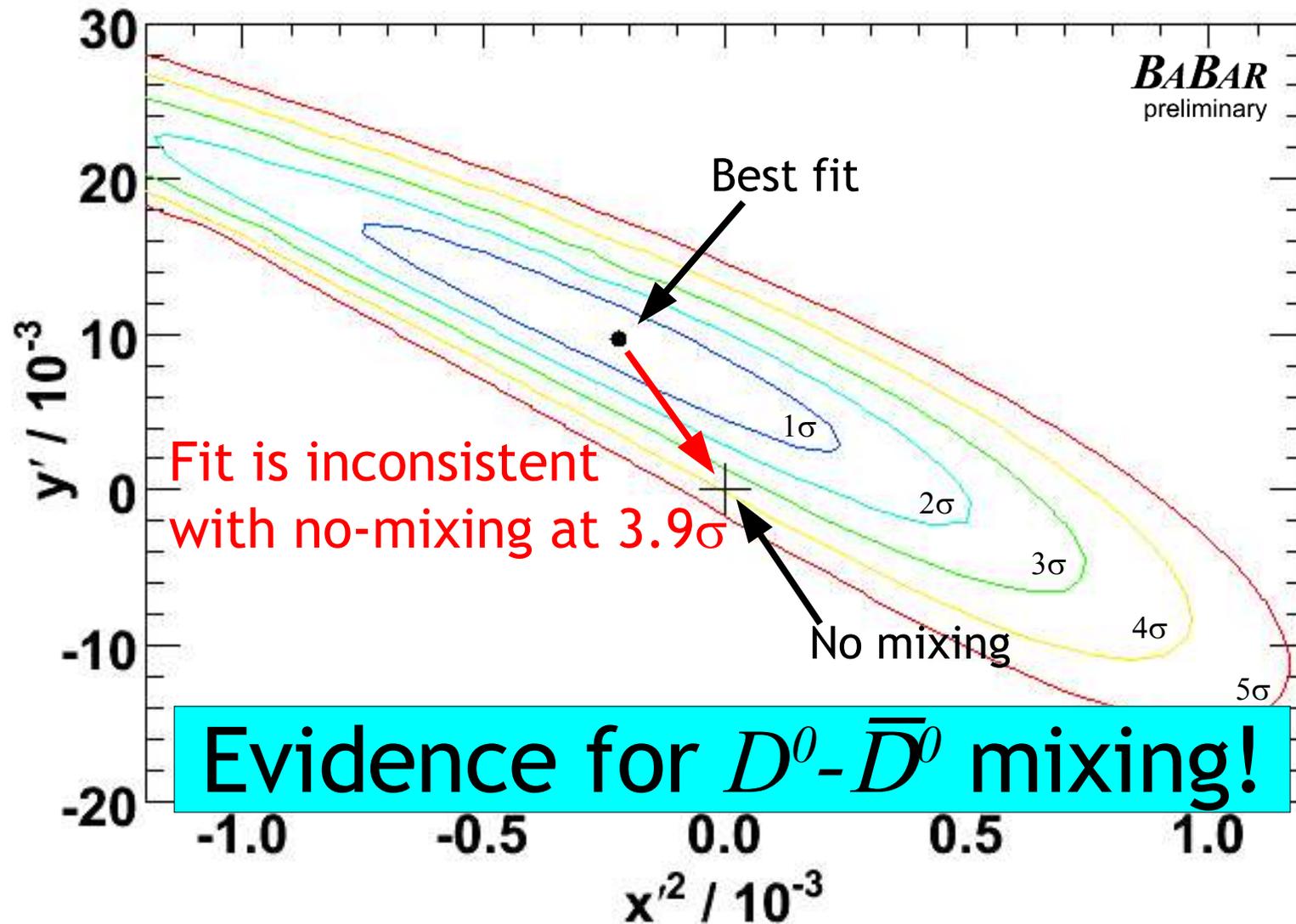
Signal Significance with Systematics

Including systematics decreases signal significance



Signal Significance with Systematics

Including systematics decreases signal significance



Validation Studies

Performed extensive checks of mixing signal:

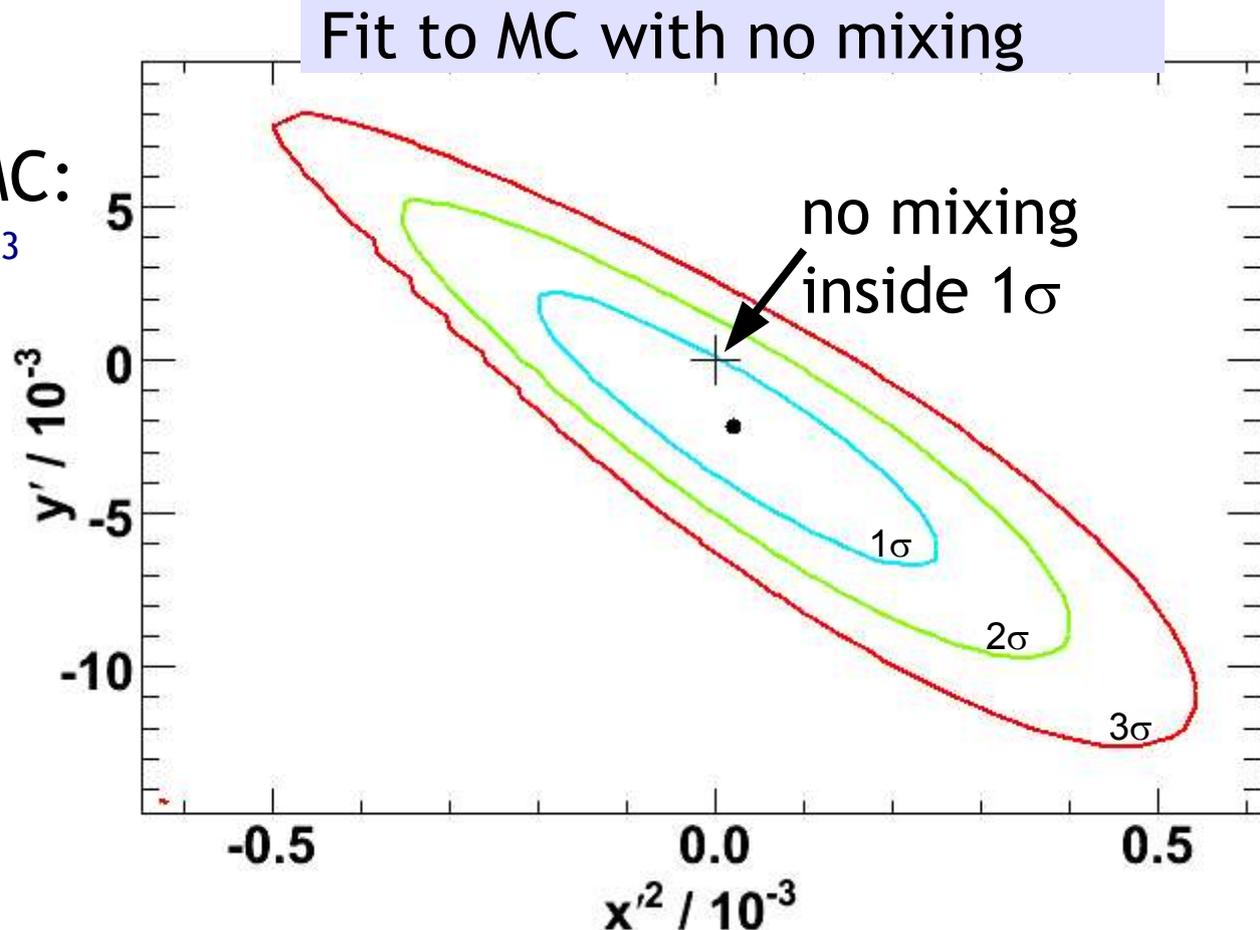
- ❖ Could something fake signal?
- ❖ Is significance estimated correctly?
- ❖ Are mixing parameters unbiased?

No signal found in MC:

$$x'^2: (-0.02 \pm 0.18) \times 10^{-3}$$

$$y': (-2.2 \pm 3.0) \times 10^{-3}$$

In MC with signal,
fit reproduces signal
- no intrinsic bias

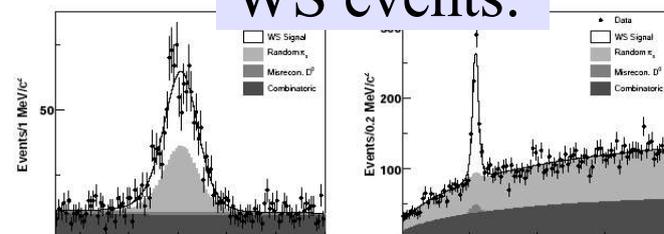
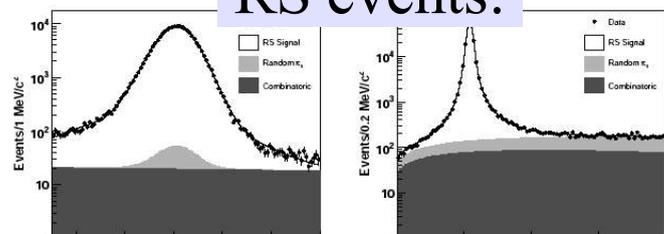


Validation: WS/RS Yield vs Time

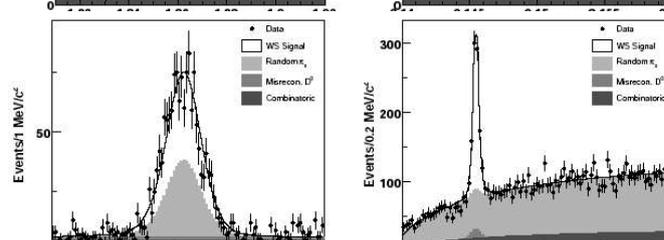
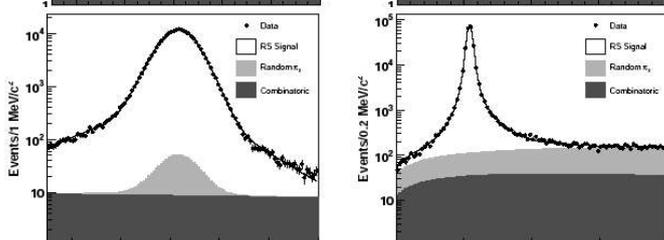
RS events:

WS events:

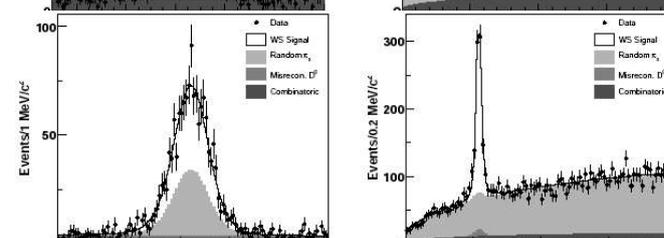
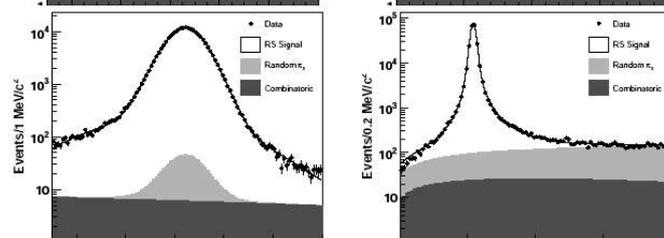
$-2 < t < 0$ ps



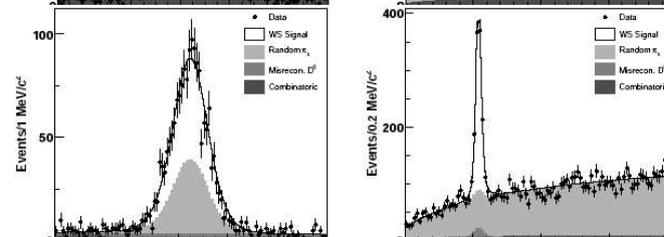
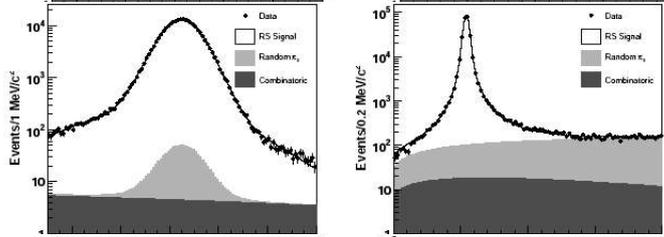
$0 < t < 0.2$ ps



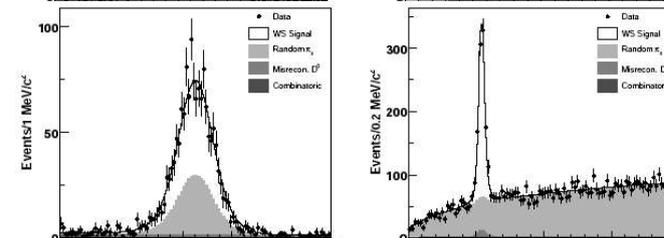
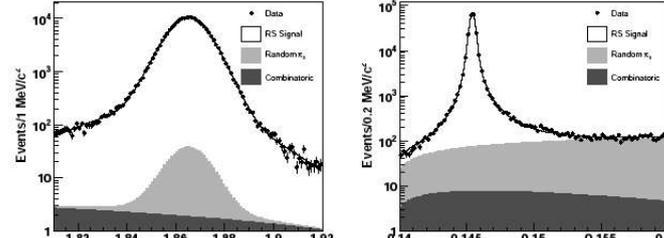
$0.2 < t < 0.4$ ps



$0.4 < t < 0.75$ ps

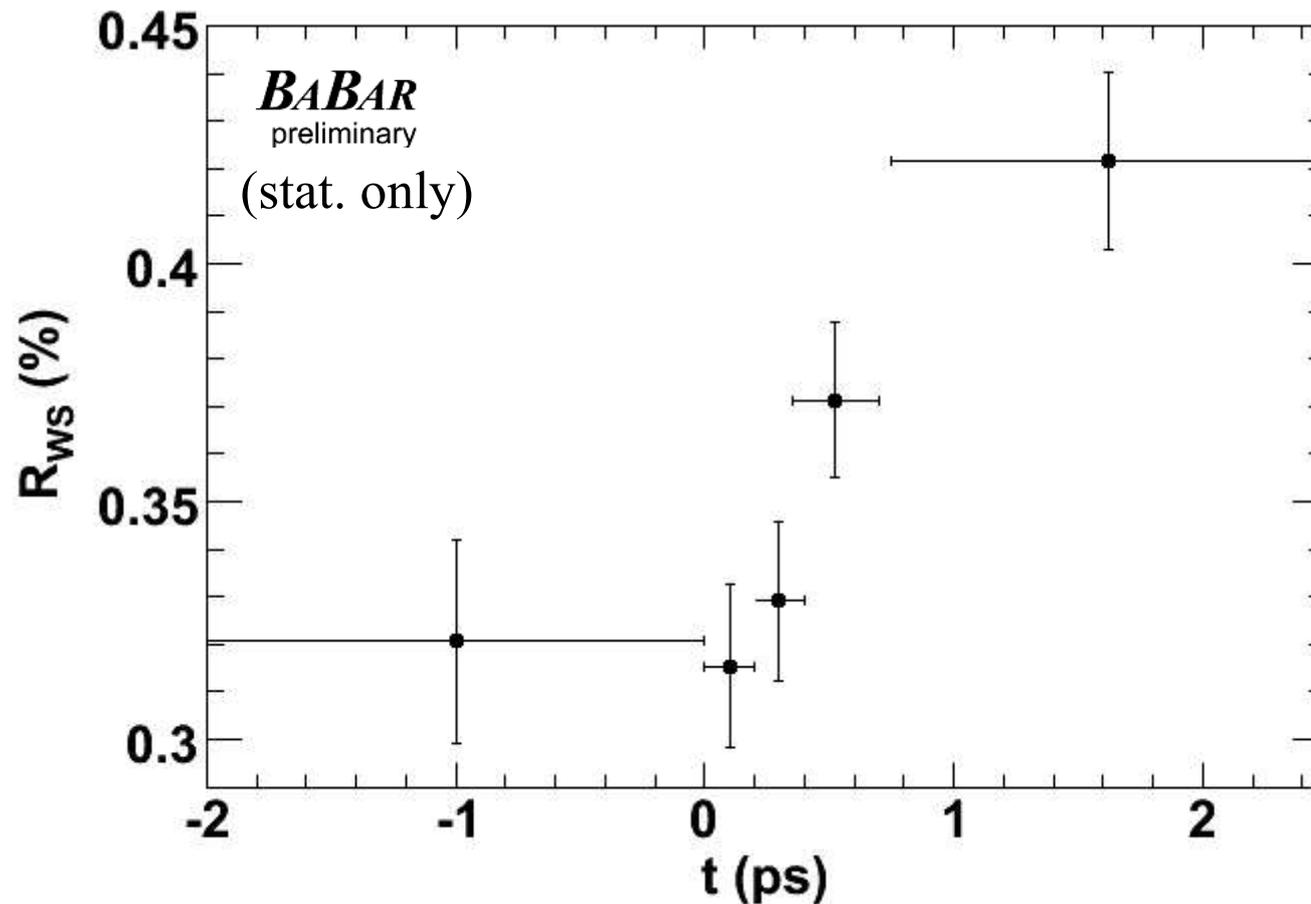


$0.75 < t < 2.5$ ps



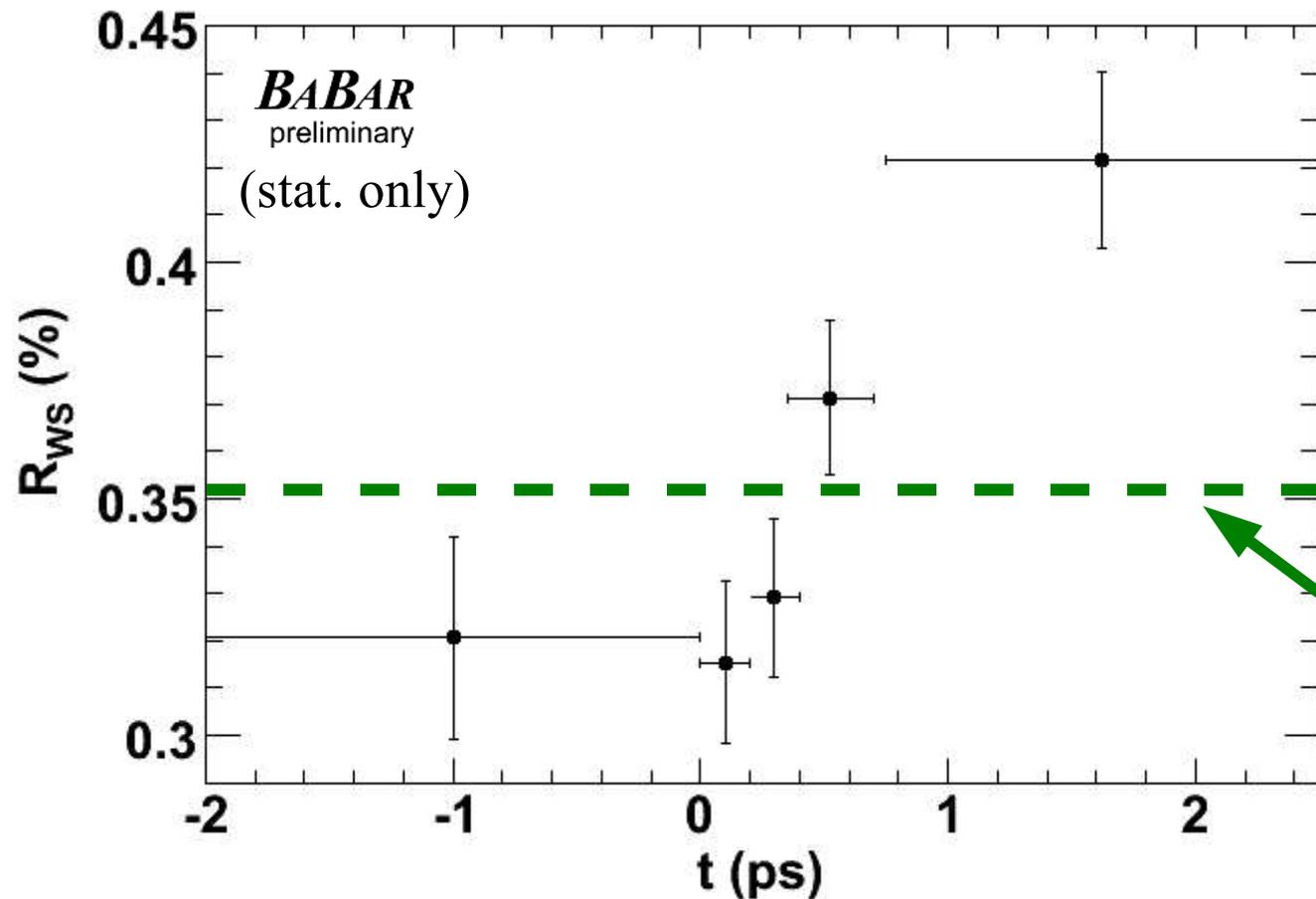
Validation: WS/RS Yield vs Time

Rate of WS events clearly increase with time:



Validation: WS/RS Yield vs Time

Rate of WS events clearly increase with time:

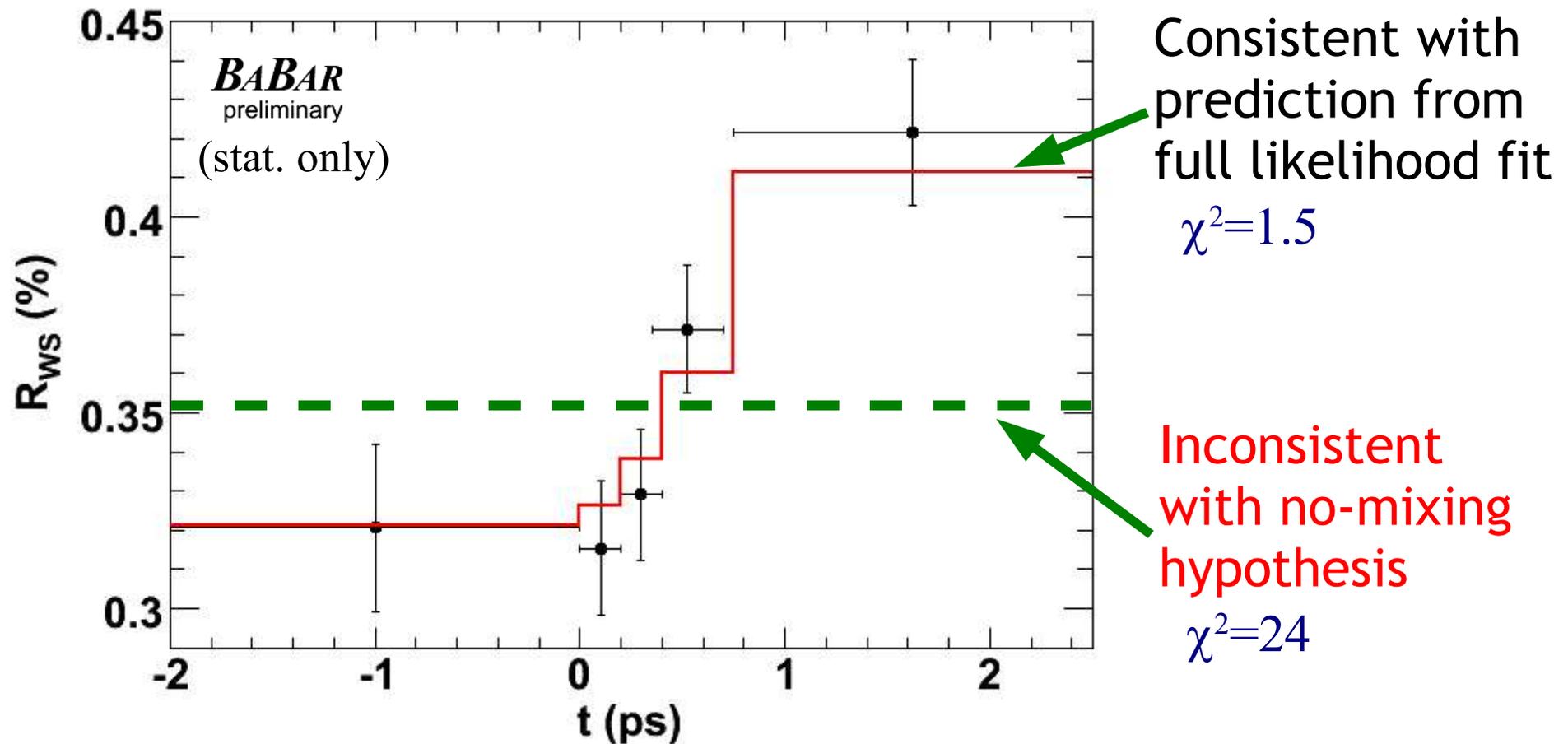


Inconsistent
with no-mixing
hypothesis

$$\chi^2=24$$

Validation: WS/RS Yield vs Time

Rate of WS events clearly increase with time:



Validation: Fit RS Data for Mixing

Fit RS data with PDF allowing mixing

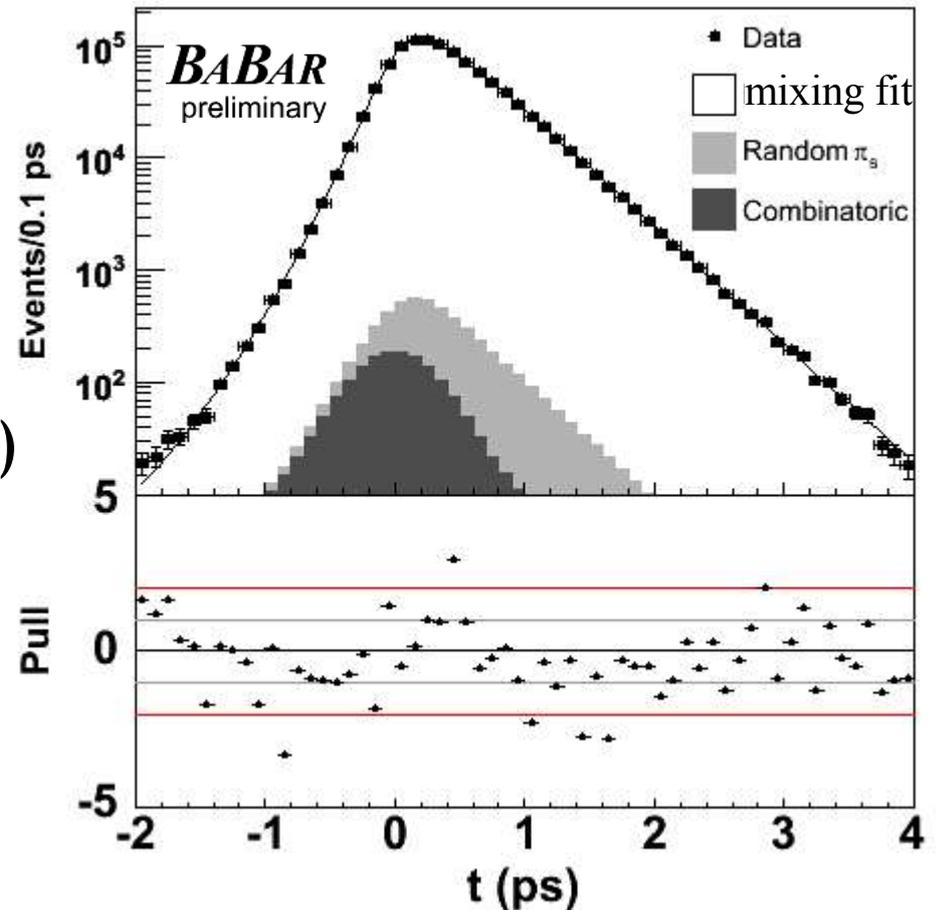
$$x'^2: (-0.01 \pm 0.01) \times 10^{-3}$$

$$y': (0.26 \pm 0.24) \times 10^{-3}$$

$$-2\Delta \ln \mathcal{L} = 1.4 \quad (\text{w.r.t. no mixing})$$

D^0 decay time distribution is described properly

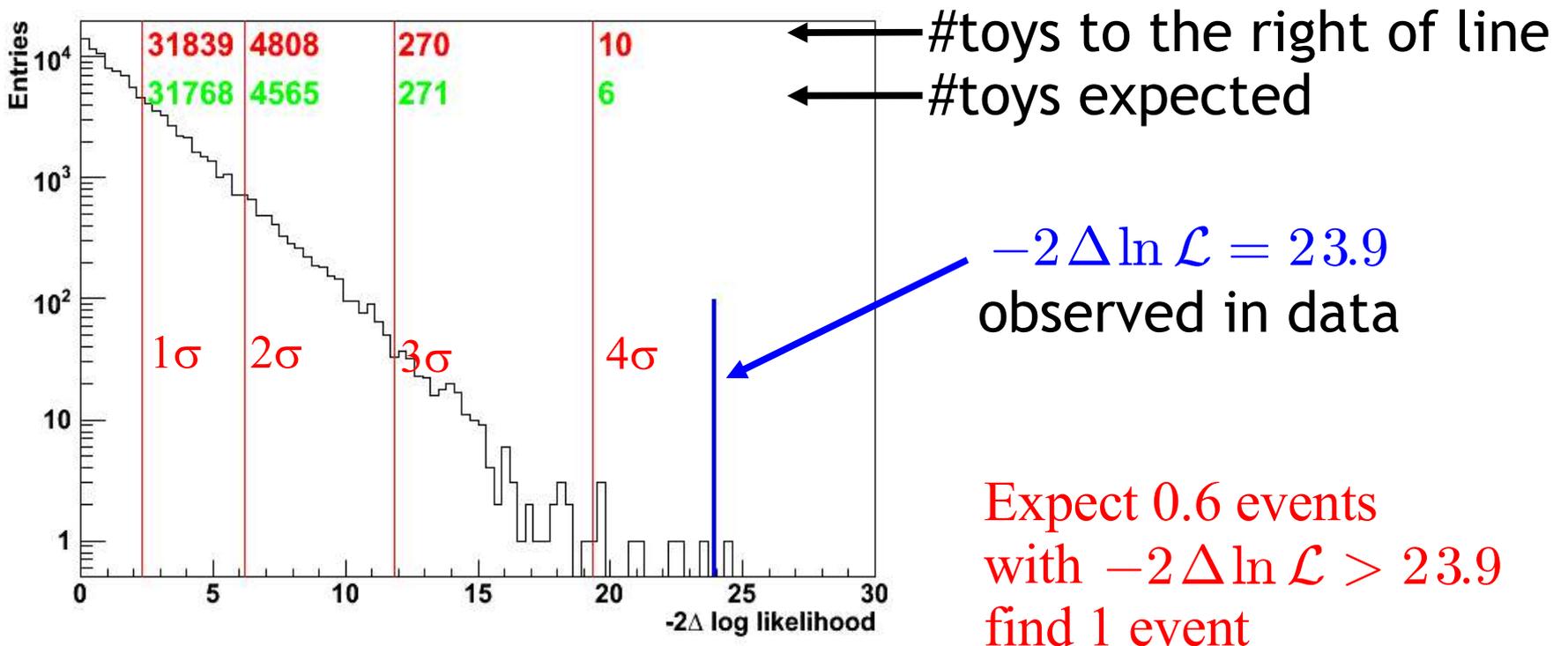
RS decay time, signal region



Validation: Coverage of $-2\Delta\text{Log}\mathcal{L}$

Significance of signal is calculated as change in log likelihood with respect to no-mixing hypothesis

Generated >100000 toys without mixing to test $-2\Delta\ln\mathcal{L}$ gives correct frequentist coverage



Systematic Uncertainties

Two types of systematic uncertainties considered:

Fit model variations:

- ❖ Change signal and background models used in fit, to test assumptions made

Selection criteria:

- ❖ Mainly decay time (error) ranges used in fit

Systematic:	R_D	χ^2	y'
Fit Model	0.59σ	0.40σ	0.45σ
Selection Criteria	0.24σ	0.57σ	0.55σ
Total	0.63σ	0.70σ	0.71σ

Fraction of statistical uncertainty



χ^2 - y' correlation also present in systematics

Effectively the (χ^2, y') contours increase by ~15%

Allowing for CP Violation

CP violation could introduce different time dependence for D^0 (+) and \bar{D}^0 (-):

$$\frac{T_{WS}^{\pm}(t)}{e^{-\Gamma t}} = \sqrt{\frac{1 \pm A_D}{1 \mp A_D}} R_D + \sqrt{R_D} \sqrt{\frac{(1 \pm A_D)(1 \pm A_M)}{(1 \mp A_D)(1 \mp A_M)}} (y' \cos \varphi \mp x' \sin \varphi) \Gamma t + \sqrt{\frac{1 \pm A_M}{1 \mp A_M}} \frac{x'^2 + y'^2}{4} (\Gamma t)^2$$

Three possible types of CP violation:

- ❖ Direct CP violation in DCS decay $A_D \neq 0$
- ❖ CP violation in mixing $A_M \neq 0$
- ❖ CP violation in interference between mixing and decay $\cos \varphi \neq 1$

Simpler to fit D^0 (+) and \bar{D}^0 (-) separately:

$$\Gamma_{WS}^{\pm}(t) = e^{-\Gamma t} \left(R_D^{\pm} + y'^{\pm} \sqrt{R_D^{\pm}} (\Gamma t) + \frac{(x'^{\pm})^2 + (y'^{\pm})^2}{4} (\Gamma t)^2 \right)$$

CP violation if one or more “±” parameters are different

CPV Allowed Contours

Results of fitting D^0 and \bar{D}^0 separately:

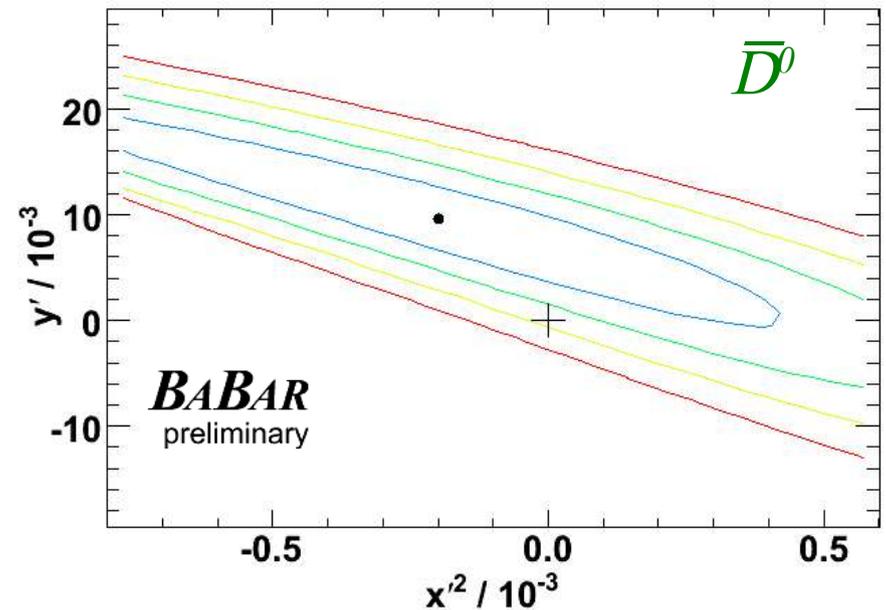
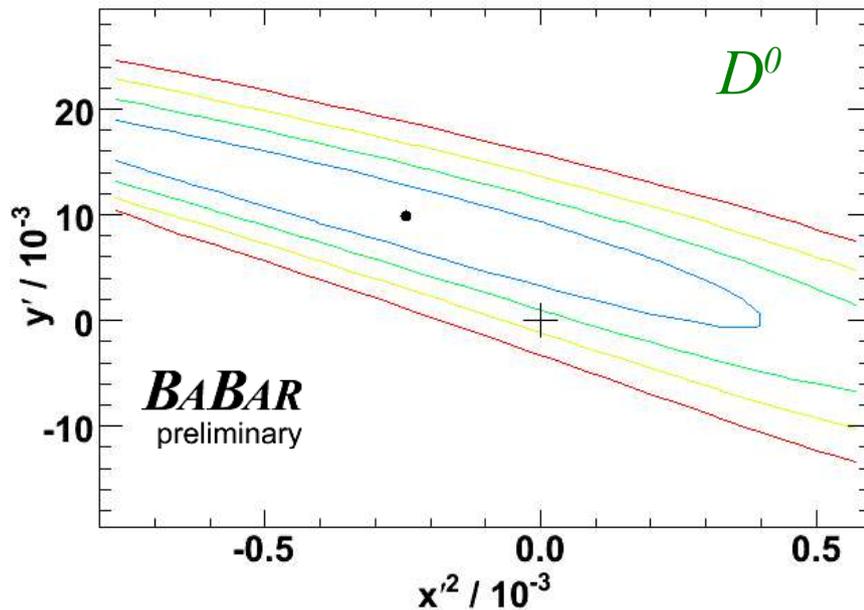
$$x'^{+2}: (-0.24 \pm 0.43 \pm 0.30) \times 10^{-3}$$

$$y'^{+}: (9.8 \pm 6.4 \pm 4.5) \times 10^{-3}$$

$$x'^{-2}: (-0.20 \pm 0.41 \pm 0.29) \times 10^{-3}$$

$$y'^{-}: (9.6 \pm 6.1 \pm 4.3) \times 10^{-3}$$

$$A_D = (-2.1 \pm 5.2 \pm 1.5)\%$$

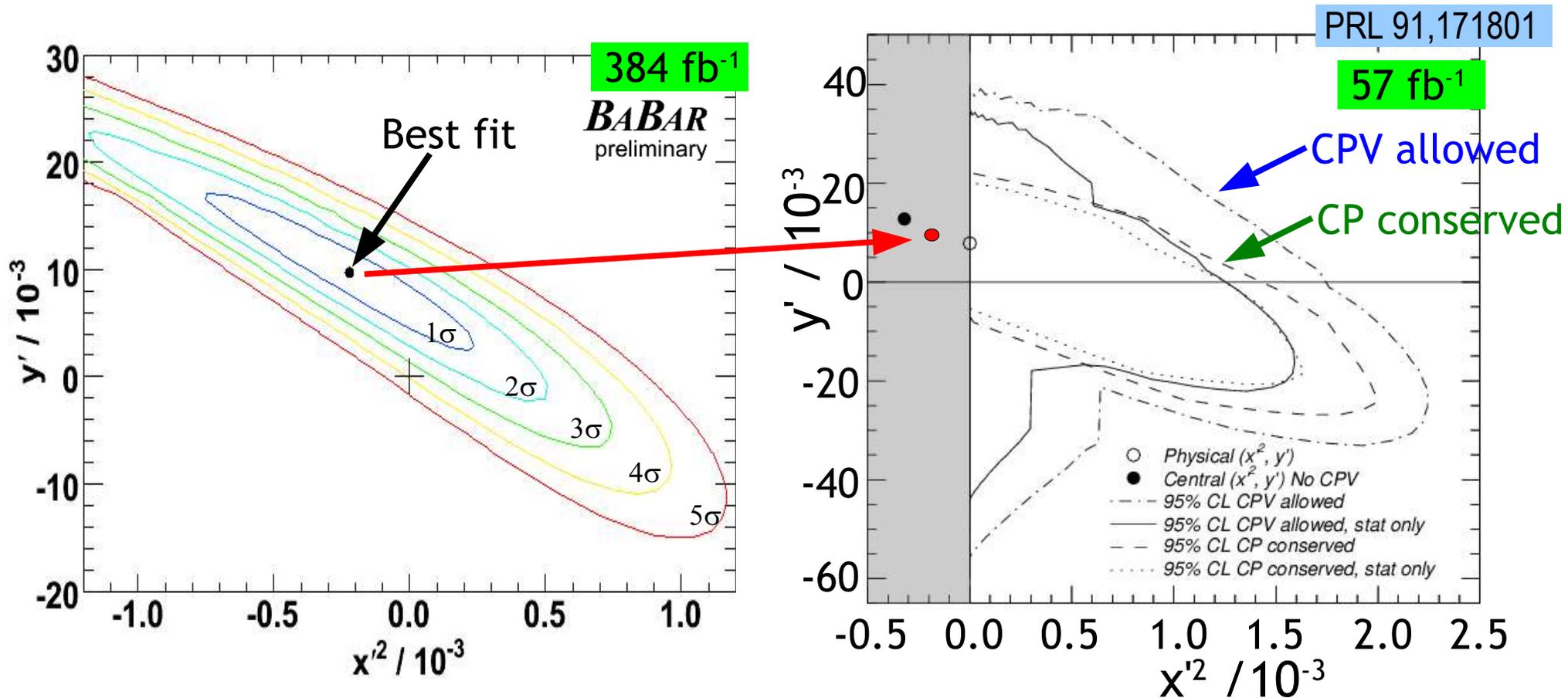


No evidence for CP violation found

Comparisons with other Charm Mixing Results

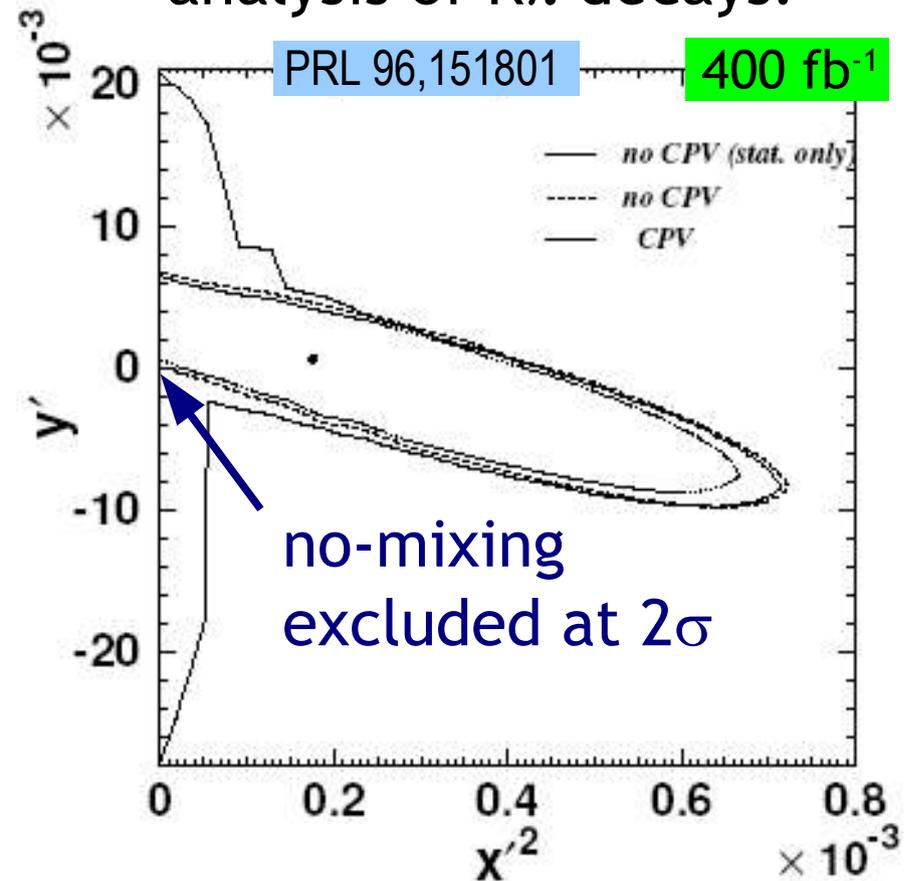
Previous BaBar $K\pi$ Analysis

Fully consistent with previous BaBar analysis:



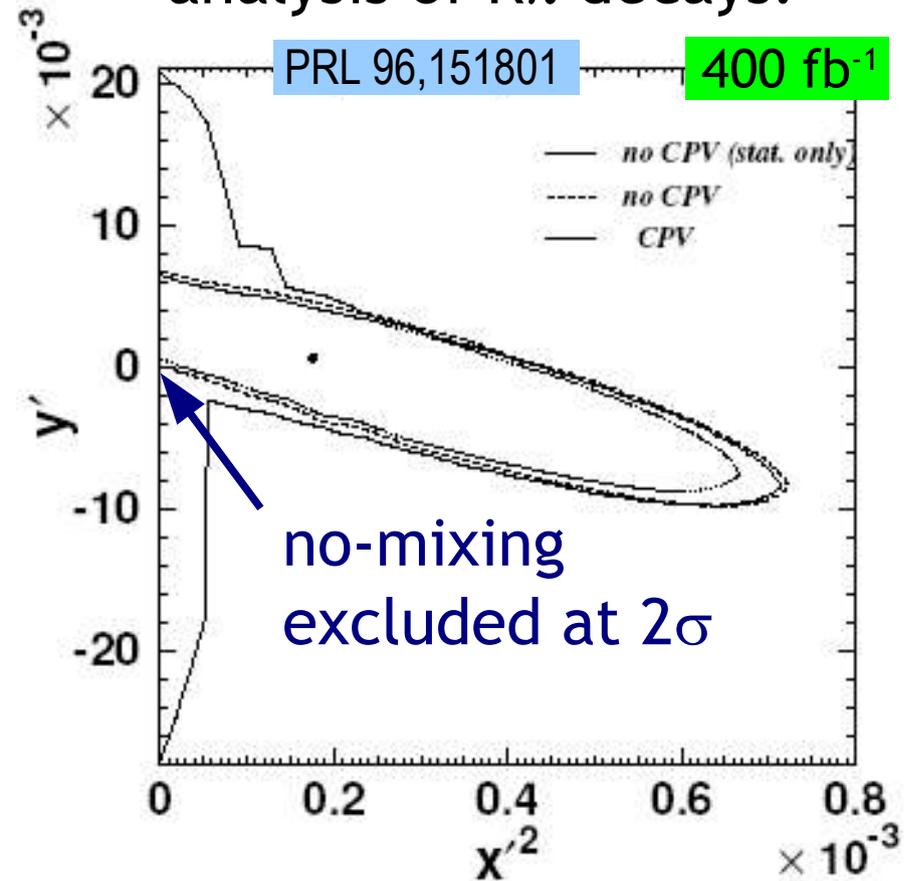
$K\pi$ Analysis from Belle

Last year Belle published analysis of $K\pi$ decays:

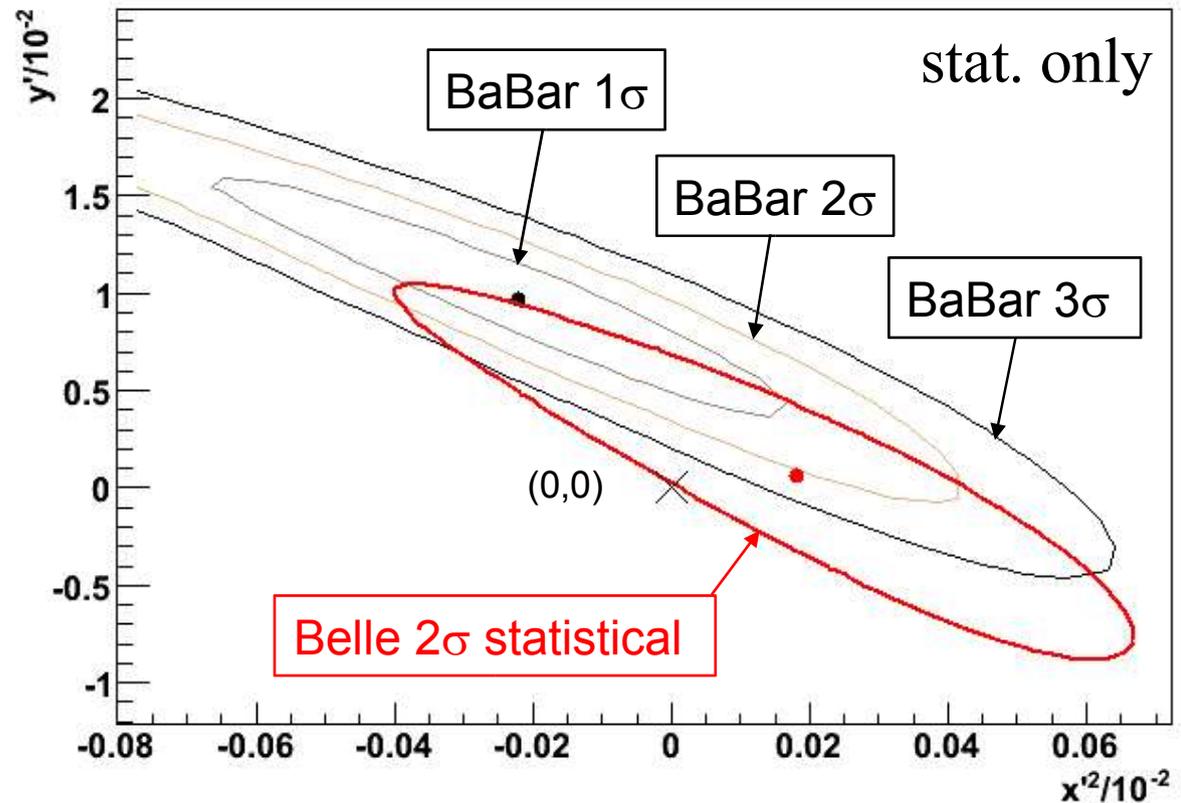


$K\pi$ Analysis from Belle

Last year Belle published analysis of $K\pi$ decays:



Results consistent within 2σ :



Average $K\pi$ Mixing Results

Heavy flavor averaging group (HFAG)
working on providing official averages

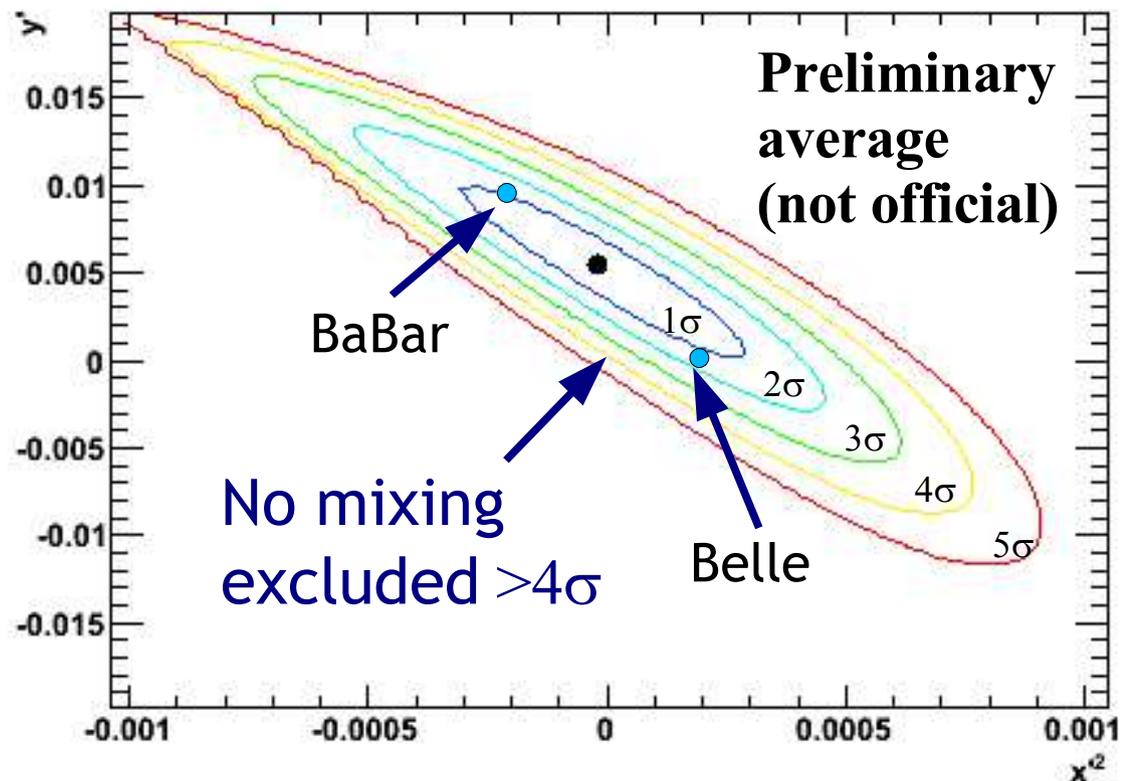
Combine BaBar and Belle likelihoods in 3 dimensions (R_D, x'^2, y')

Preliminary average:

$$R_D: (3.31 \pm 0.13) \times 10^{-3}$$

$$x'^2: (-0.01 \pm 0.20) \times 10^{-3}$$

$$y': (5.1 \pm 3.2) \times 10^{-3}$$

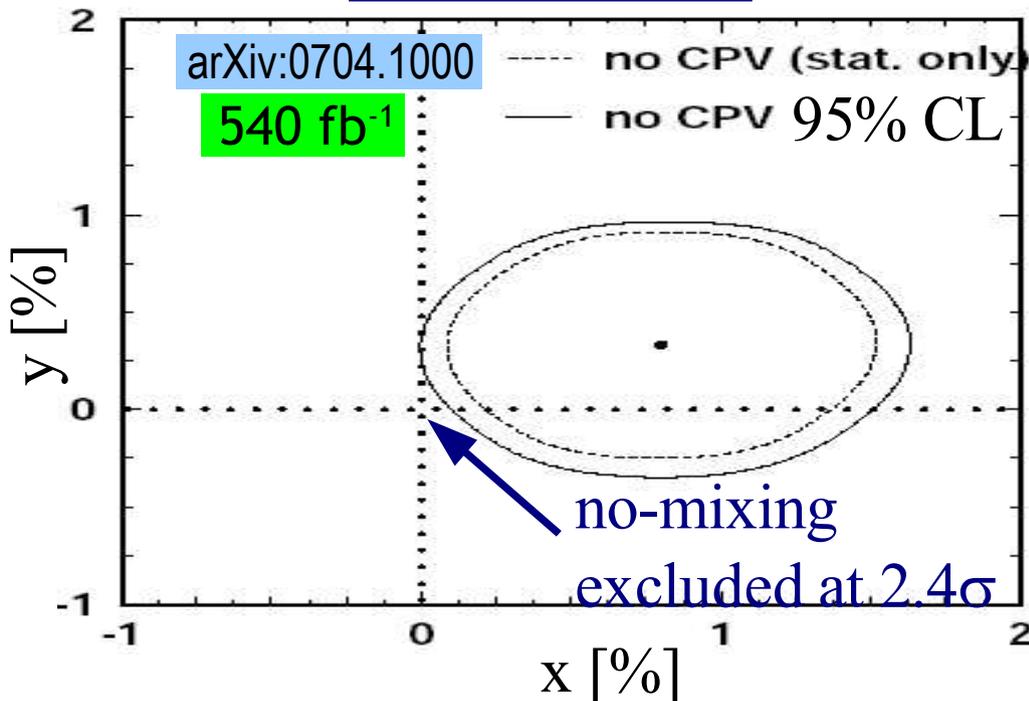


Belle Dalitz Analysis of $D^0 \rightarrow K_S \pi \pi$

Time-dependent Dalitz analysis
of $D^0 \rightarrow K_S \pi \pi$ measures x and y
without unknown phase

(First done by CLEO, PRD 72, 012001)

Belle result:



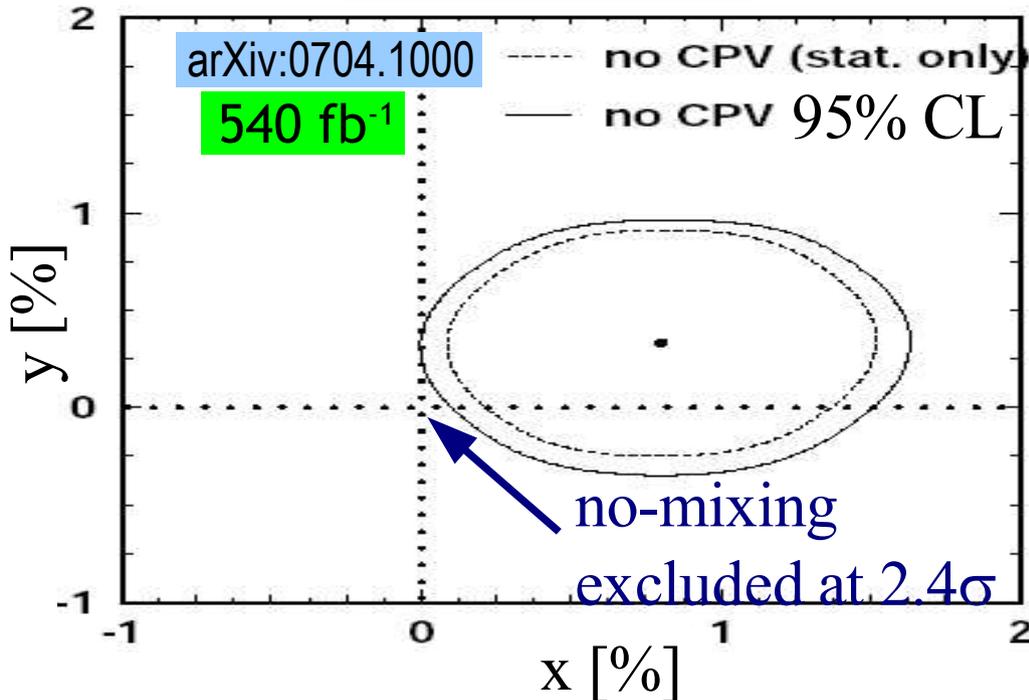
$$x: (8.0 \pm 2.9 \pm 1.7) \times 10^{-3}$$

$$y: (3.3 \pm 2.4 \pm 1.5) \times 10^{-3}$$

Belle Dalitz Analysis of $D^0 \rightarrow K_S \pi \pi$

Time-dependent Dalitz analysis of $D^0 \rightarrow K_S \pi \pi$ measures x and y without unknown phase
 (First done by CLEO, PRD 72, 012001)

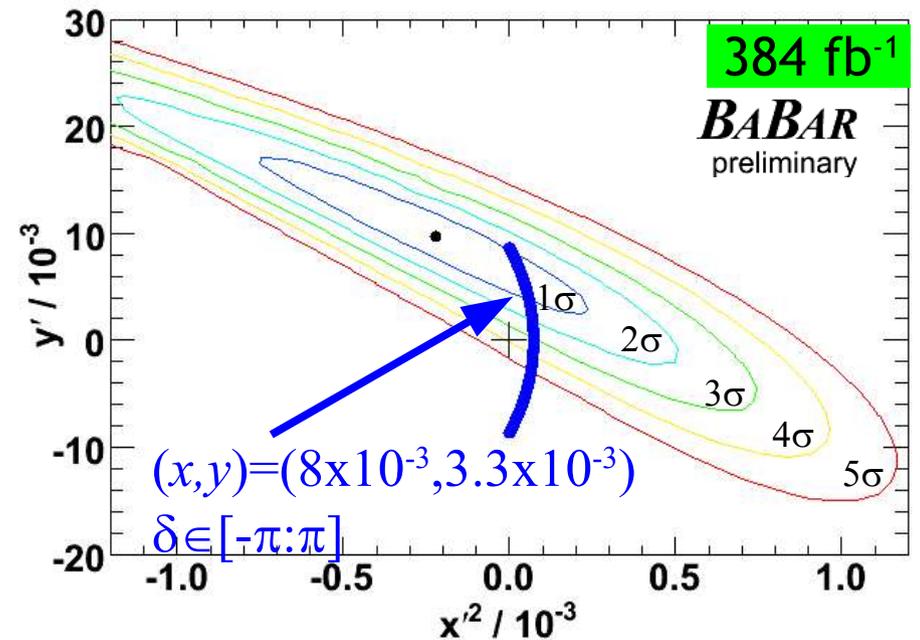
Belle result:



$$x: (8.0 \pm 2.9 \pm 1.7) \times 10^{-3}$$

$$y: (3.3 \pm 2.4 \pm 1.5) \times 10^{-3}$$

BaBar-Belle comparison:



Within 1σ for certain values of the phase δ

Belle Lifetime Ratio Measurement

Belle measure lifetime difference directly using CP eigenstates:

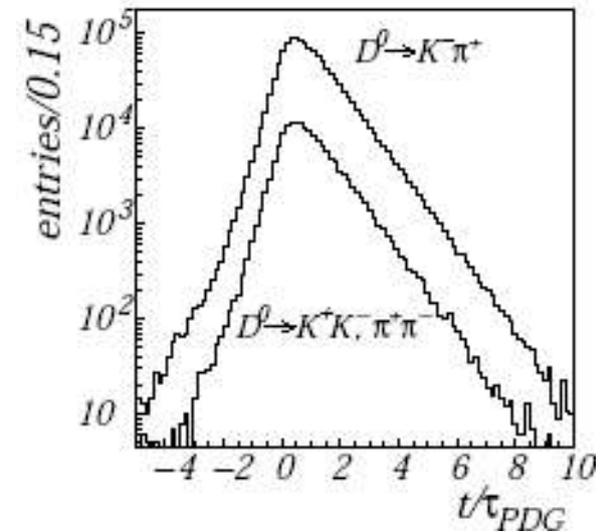
$$\tau(D^0 \rightarrow f_{CP+}) = \frac{\tau(D^0 \rightarrow K^- \pi^+)}{1 + y_{CP}}$$

$y_{CP} = y \cos \phi$ ($=y$, if no CP violation)
(CP and mass eigenstates the same!)

Belle use two CP-even eigenstates:

$D^0 \rightarrow K^+ K^-$ and $D^0 \rightarrow \pi^+ \pi^-$

Decay time distributions:



Belle Lifetime Ratio Measurement

Belle measure lifetime difference directly using CP eigenstates:

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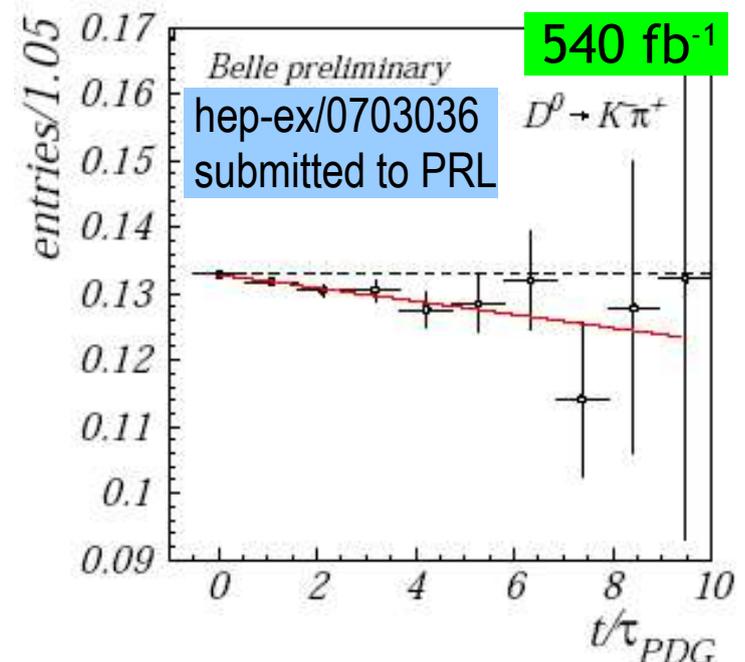
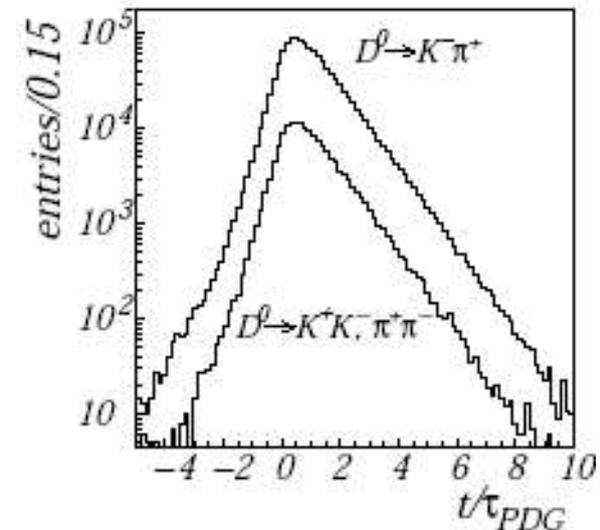
Belle result:

$y_{CP}: (13.1 \pm 3.2 \pm 2.5) \times 10^{-3}$

>3 σ above zero
(4.1 σ stat. only)

Also evidence
of D^0 mixing!

Decay time distributions:



More BaBar-Belle Comparisons

Belle measurement is consistent with old BaBar lifetime ratio measurement

Belle: 540 fb^{-1}
 $y_{CP}: (13.1 \pm 3.2 \pm 2.5) \times 10^{-3}$

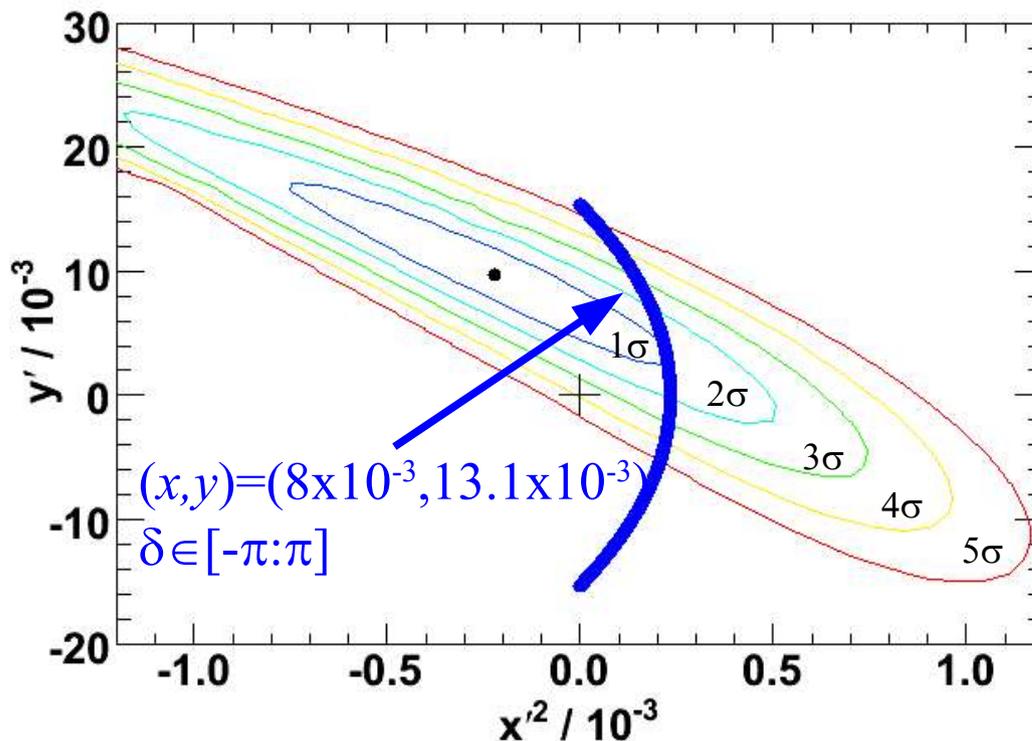
hep-ex/0703036
submitted to PRL

BaBar: 91 fb^{-1}
 $y_{CP}: (8.0 \pm 4.0 \pm 5.0) \times 10^{-3}$ PRL 91, 121801

Comparison to BaBar $K\pi$ analysis:

Assume $y=y_{CP}$
Use $x=8 \times 10^{-3}$ from Belle's $K_S \pi \pi$ analysis

Results consistent within 1σ for certain values of phase δ

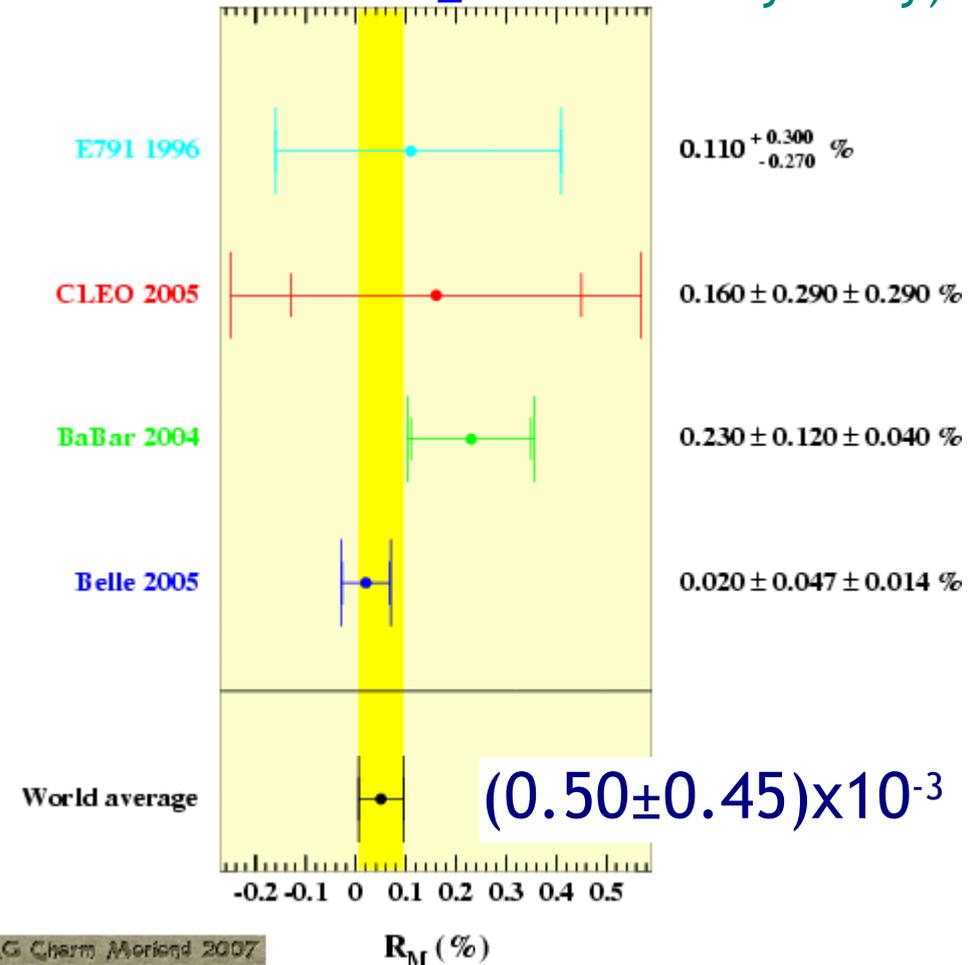
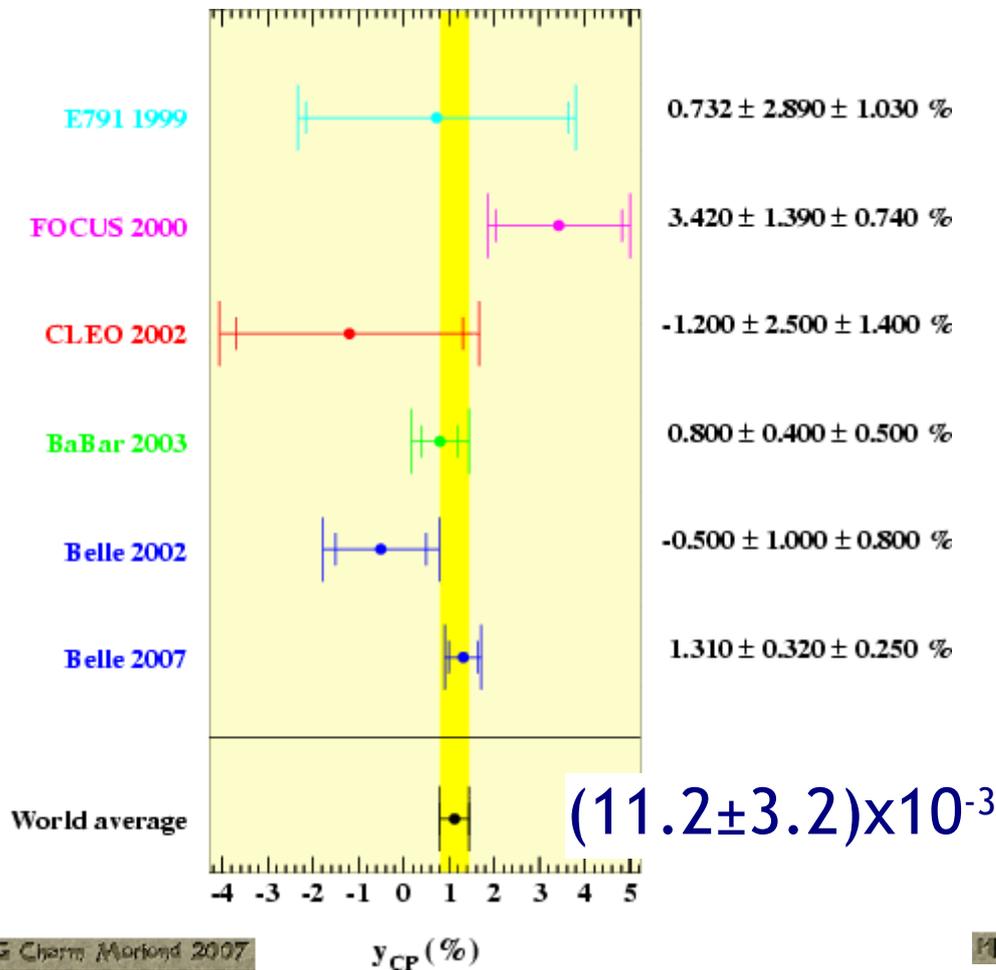


Combining Mixing Results

HFAG has first preliminary averages for some measurements:

$$y_{CP} = y \cos \phi$$

$$R_M = \frac{x^2 + y^2}{2} \quad (\text{Semileptonic decays only})$$

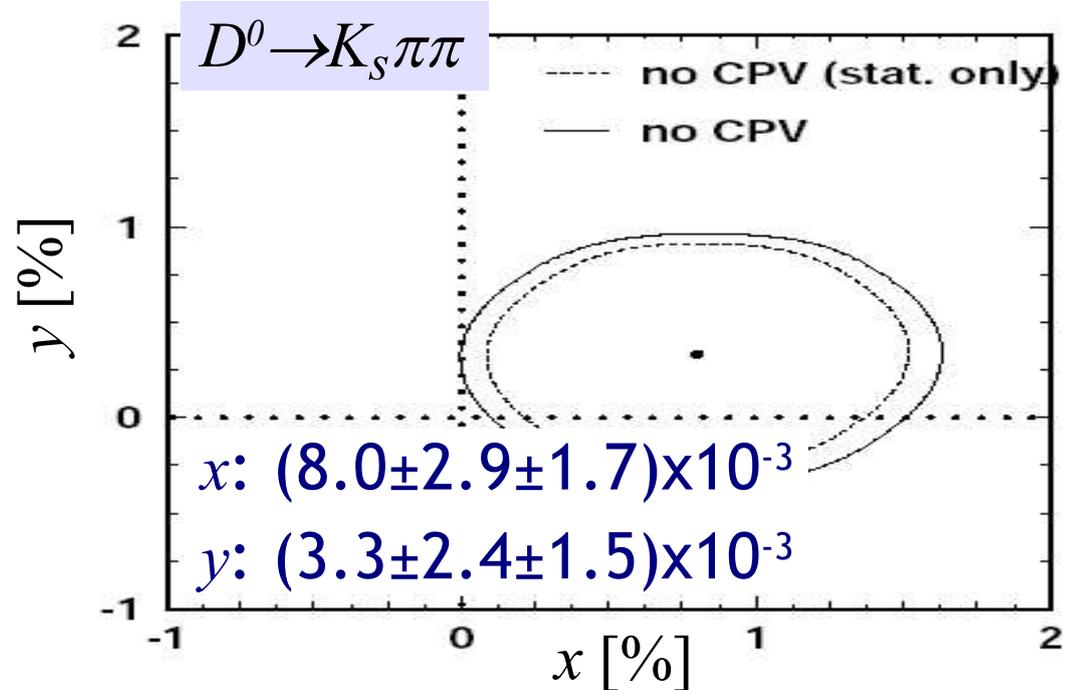
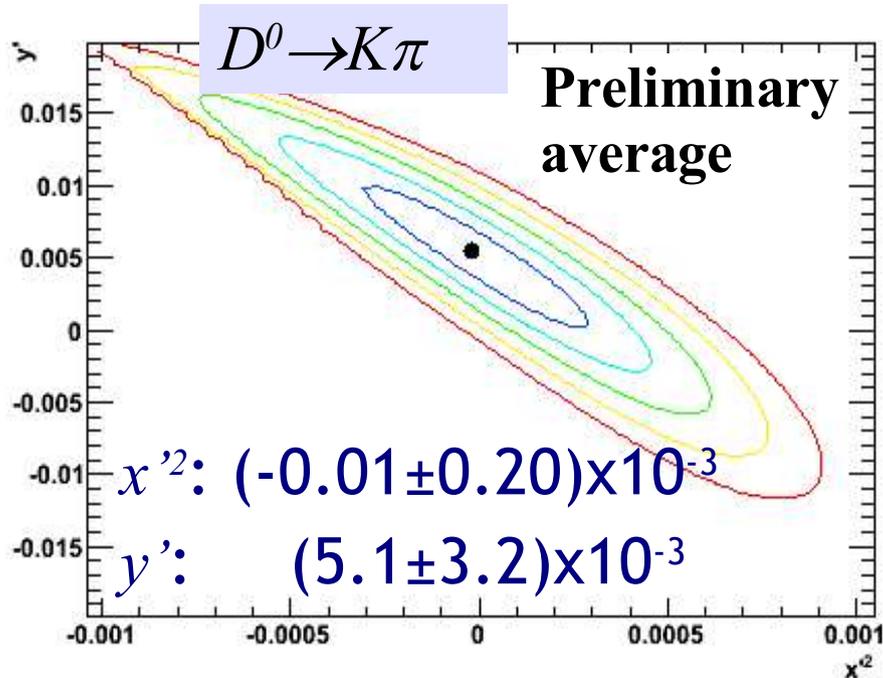


Combining all D^0 Mixing Results

Next step for HFAG: combine all measurements:

$D^0 \rightarrow KK/\pi\pi$ $y_{CP} = (11.2 \pm 3.2) \times 10^{-3}$

$D^0 \rightarrow K^{(*)}e\nu$ $R_M = (0.50 \pm 0.45) \times 10^{-3}$



HFAG will provide average for x , y and δ (available soon)

We plan to also provide averages allowing for CP violation

Implications of Charm Mixing

BaBar and Belle mixing results first presented at Moriond electroweak conference on March 17

8 new hep-ph preprints on charm mixing since then

Five use D^0 mixing results to evaluate limits on:

- ❖ Certain SUSY models (flavor suppression by “alignment”)
- ❖ Several little Higgs models
- ❖ Non-universal Z' model

hep-ph/0703254, arXiv:0704.0601

hep-ph/0703270

hep-ph/0703204
hep-ph/0703235

Models are further constrained, but constraints are limited by lack of precise SM value

Light non-degenerate squarks unlikely to be observed at LHC

Currently only observation of CP violation would be a clear sign of New Physics

Summary

Summary and Outlook

BaBar studied $D^0 \rightarrow K\pi$ decays

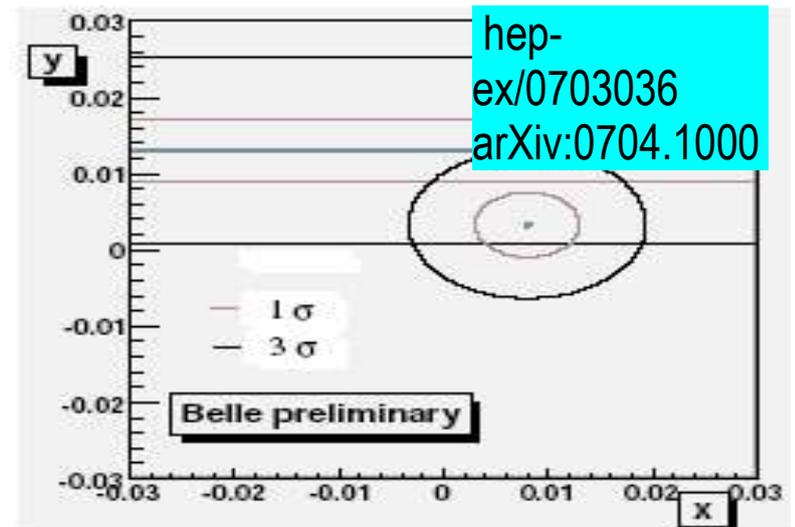
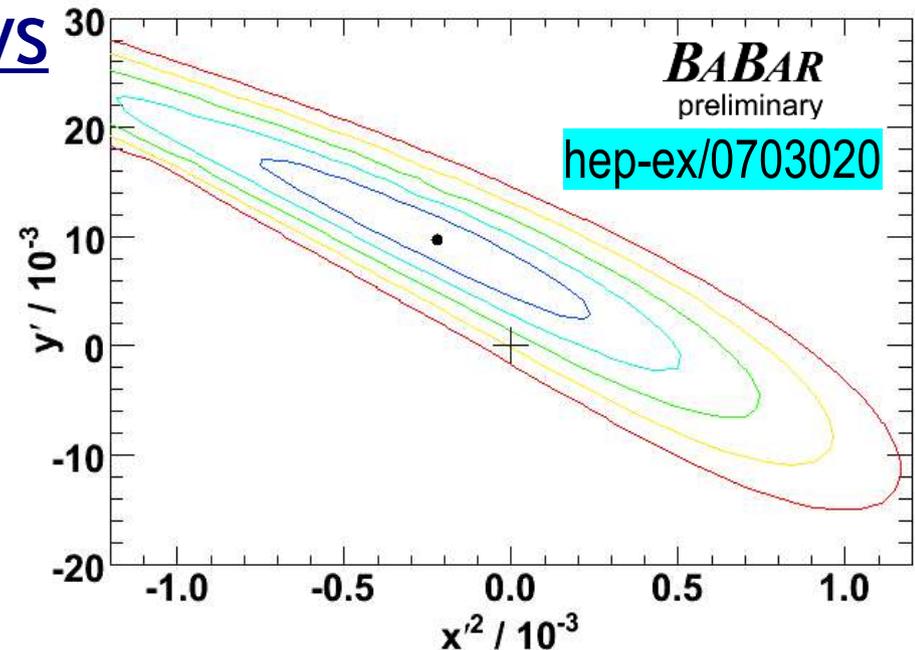
- ❖ Evidence for mixing (3.9σ)
- ❖ No sign of CP violation
- ❖ Consistent with other measurements and SM

New results from Belle

- ❖ Evidence for mixing (3.2σ)
- ❖ Measurement of x and y
- ❖ No sign of CP violation

Next steps

- ❖ Combine measurements (HFAG)
- ❖ Try to pin-down x , y and δ
- ❖ More searches for CP violation



Backup Slides

Belle $D^0 \rightarrow K_S \pi \pi$ Analysis

- ◆ 3-body decay modes:

amplitudes $A(D^0 \rightarrow f)$ and $\bar{A}(\bar{D}^0 \rightarrow \bar{f})$ depend on Dalitz variables.

- ◆ Dalitz space dependent matrix element is for negligible CPV

$$M(m_-^2, m_+^2, t) = A(m_-^2, m_+^2) \frac{e_1(t) + e_2(t)}{2} + A(m_+^2, m_-^2) \frac{e_1(t) - e_2(t)}{2}$$

where m_{\pm} is defined with the D^* tag

$$m_{\pm} = \begin{cases} m(K_S, \pi^{\pm}) & D^{*+} \rightarrow D^0 \pi^+ \\ m(K_S, \pi^{\mp}) & D^{*-} \rightarrow \bar{D}^0 \pi^- \end{cases}$$

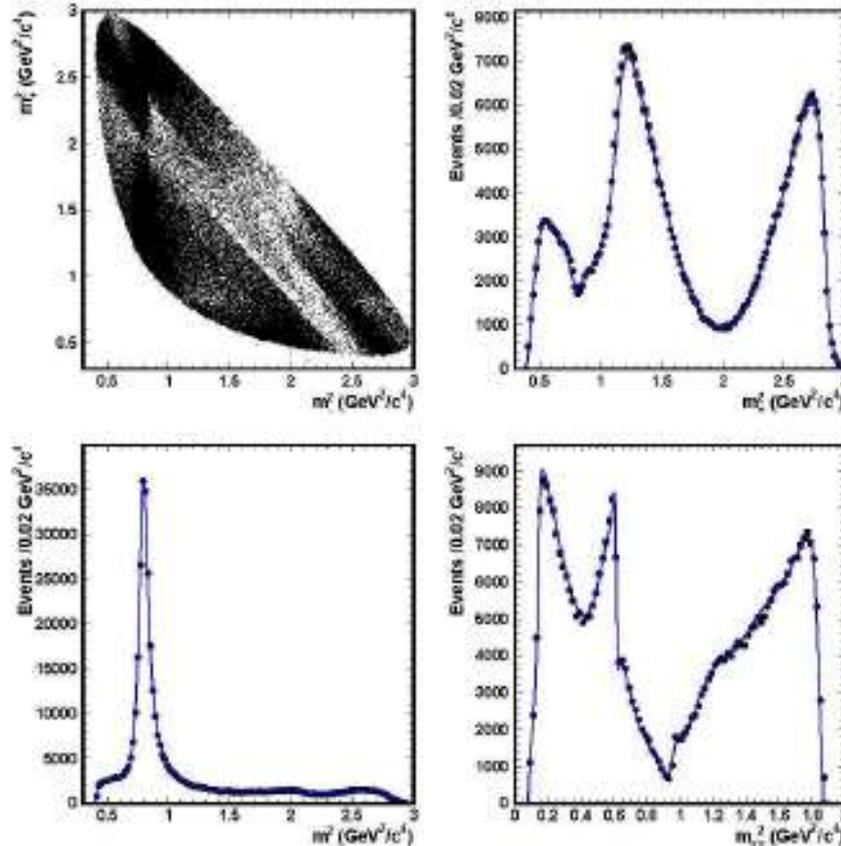
and time dependent functions with

$$e_{1,2}(t) = e^{-i(m_{1,2} - i\Gamma_{1,2}/2)t}$$

- ◆ $|M(m_-^2, m_+^2, t)|^2$ thus includes x and y
- ◆ The only measurement sensitive directly to x

Belle $D^0 \rightarrow K_S \pi \pi$ Analysis

Dalitz fit

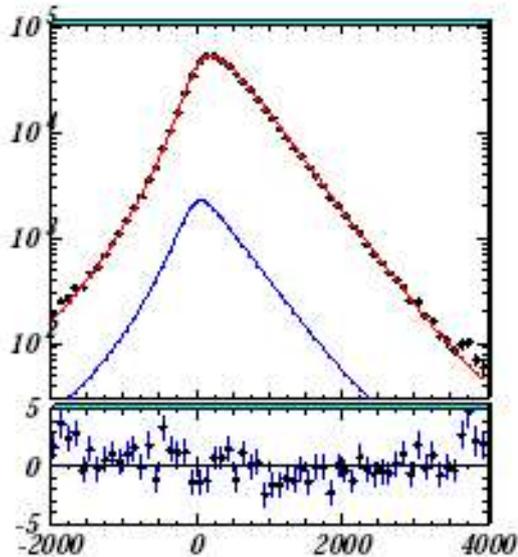


Resonance	Amplitude	Phase (deg)	Fit fraction
$K^*(892)^-$	1.629 ± 0.005	134.3 ± 0.3	0.6227
$K_0^*(1430)^-$	2.12 ± 0.02	-0.9 ± 0.5	0.0724
$K_2^*(1430)^-$	0.87 ± 0.01	-47.3 ± 0.7	0.0133
$K^*(1410)^-$	0.65 ± 0.02	111 ± 2	0.0048
$K^*(1680)^-$	0.60 ± 0.05	147 ± 5	0.0002
$K^*(892)^+$	0.152 ± 0.003	-37.5 ± 1.1	0.0054
$K_0^*(1430)^+$	0.541 ± 0.013	91.8 ± 1.5	0.0047
$K_2^*(1430)^+$	0.276 ± 0.010	-106 ± 3	0.0013
$K^*(1410)^+$	0.333 ± 0.016	-102 ± 2	0.0013
$K^*(1680)^+$	0.73 ± 0.10	103 ± 6	0.0004
$\rho(770)$	1 (fixed)	0 (fixed)	0.2111
$\omega(782)$	0.0380 ± 0.0006	115.1 ± 0.9	0.0063
$f_0(980)$	0.380 ± 0.002	-147.1 ± 0.9	0.0452
$f_0(1370)$	1.46 ± 0.04	98.6 ± 1.4	0.0162
$f_2(1270)$	1.43 ± 0.02	-13.6 ± 1.1	0.0180
$\rho(1450)$	0.72 ± 0.02	40.9 ± 1.9	0.0024
σ_1	1.387 ± 0.018	-147 ± 1	0.0914
σ_2	0.267 ± 0.009	-157 ± 3	0.0088
NR	2.36 ± 0.05	155 ± 2	0.0615

- ◆ Dalitz model: 13 different (BW) resonances and a non-resonant contribution
- ◆ Results with this refined model consistent with the analysis performed for the Belle ϕ_3 measurement, PRD73, 112009 (2006)
- ◆ To test the scalar $\pi\pi$ contributions, K-matrix formalism is also used

Belle $D^0 \rightarrow K_S \pi \pi$ Analysis

Time fit (in projection)



Systematics

Largest contributions ($\times 10^{-4}$)

x	y	
+14.6	+7.8	Model dependence
-13.6	-8.8	
+8.5	+6.6	Time fit
-6.8	-11.6	

Total ($\times 10^{-4}$)

x	y
+16.9	+10.2
-15.2	-14.6

Results (preliminary)

$$x = 0.80 \pm 0.29 \pm 0.17 \%$$

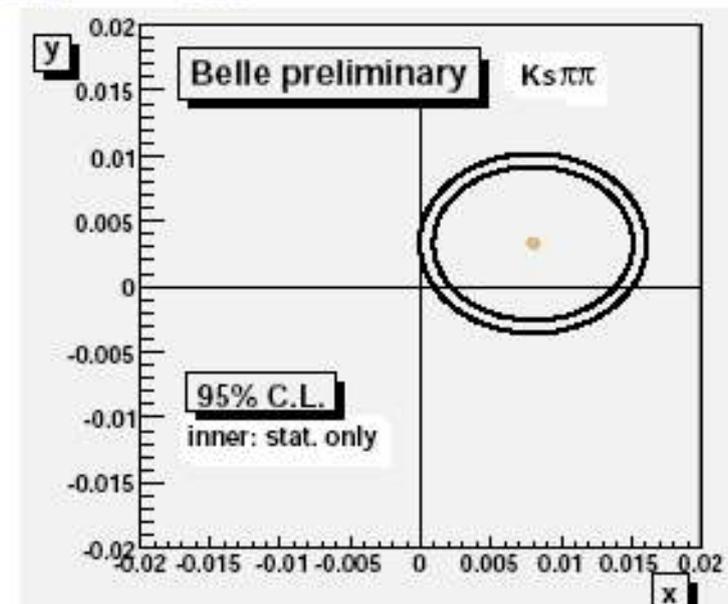
$$y = 0.33 \pm 0.24 \pm 0.15 \%$$

most stringent limits on x up to now

Cleo, PRD 72, 012001 (2005):

$$x = 1.8 \pm 3.4 \pm 0.6\%$$

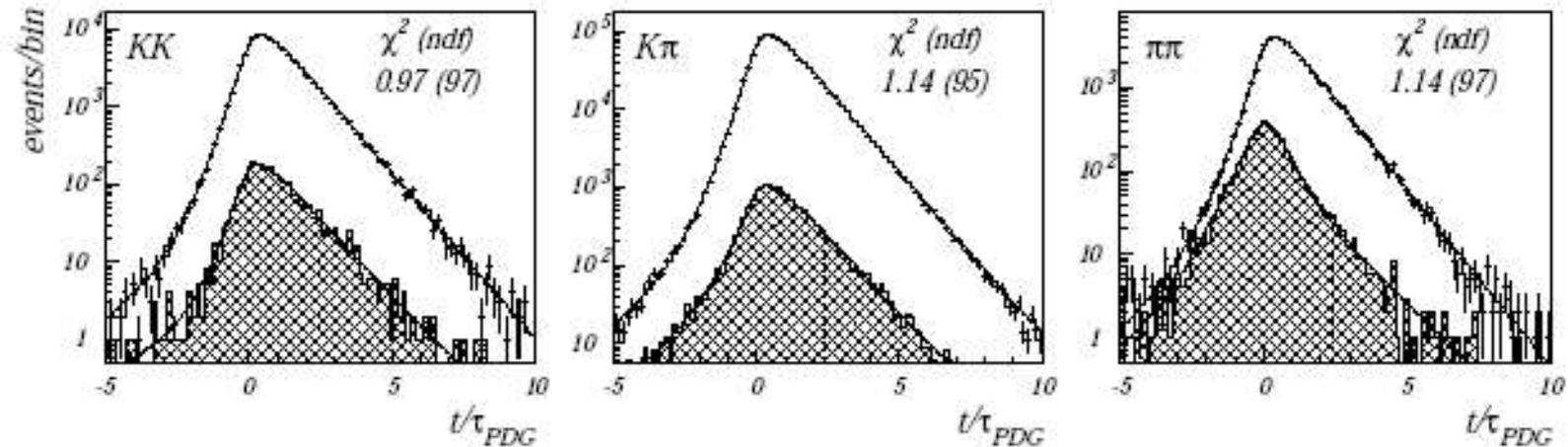
$$y = -1.4 \pm 2.5 \pm 0.9\%$$



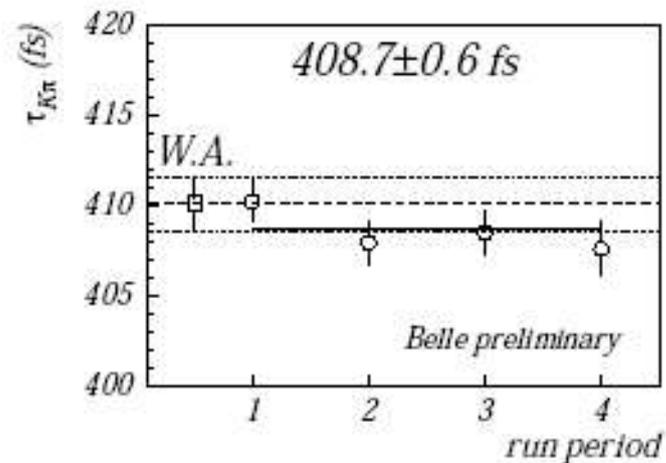
Belle $D^0 \rightarrow KK/\pi\pi$ Analysis

Simultaneous $KK/\pi\pi/K\pi$ binned likelihood fit

quality of fit: $\tilde{\chi}^2 = 1.084$ (289)



$D^0 \rightarrow K\pi$ lifetime very stable in slightly different running periods



Belle $D^0 \rightarrow KK/\pi\pi$ Analysis

Results (preliminary)

	y_{CP} (%)	A_Γ (%)
KK	$1.25 \pm 0.39 \pm 0.28$	$0.15 \pm 0.34 \pm 0.16$
$\pi\pi$	$1.44 \pm 0.57 \pm 0.42$	$-0.28 \pm 0.52 \pm 0.30$
$KK + \pi\pi$	$1.31 \pm 0.32 \pm 0.25$	$0.01 \pm 0.30 \pm 0.15$

Belle preliminary (540 fb⁻¹)

$$y_{CP} = 1.31 \pm 0.32 \pm 0.25 \%$$

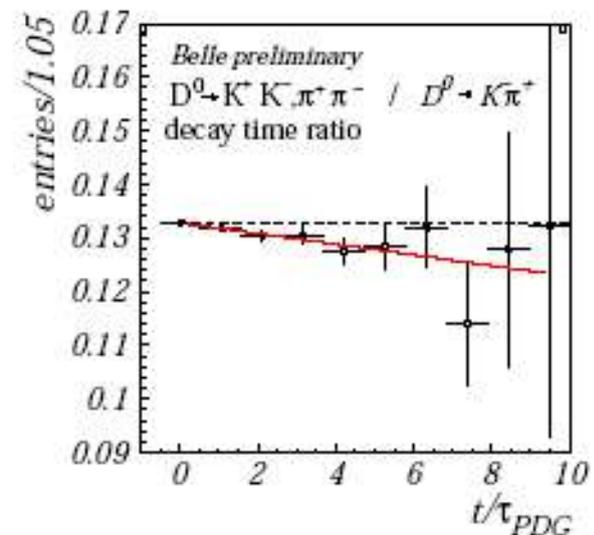
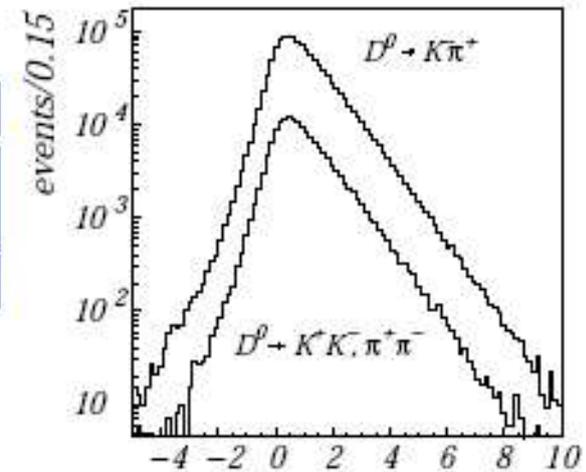
> 3 σ above zero

(4.1 σ stat. only)

first evidence for $D^0 - \bar{D}^0$ mixing

$$A_\Gamma = 0.01 \pm 0.30 \pm 0.15 \%$$

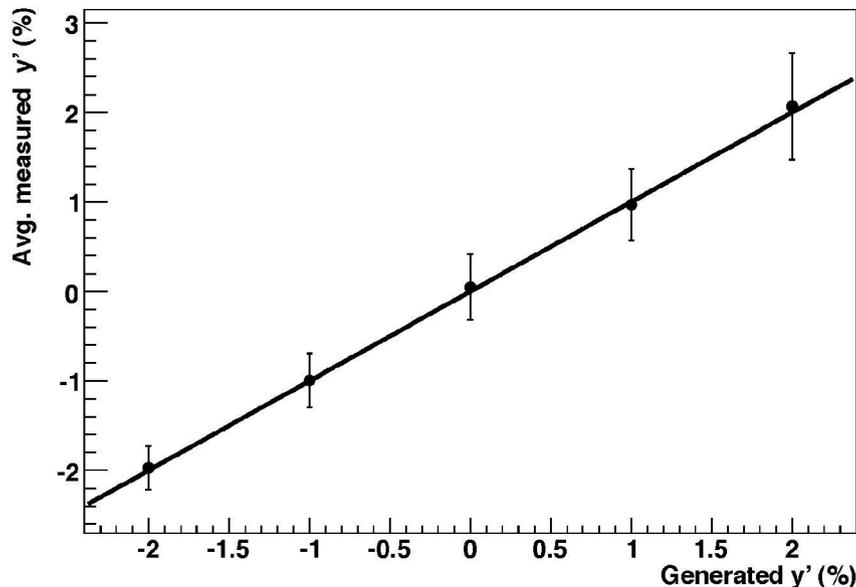
no evidence for CP violation



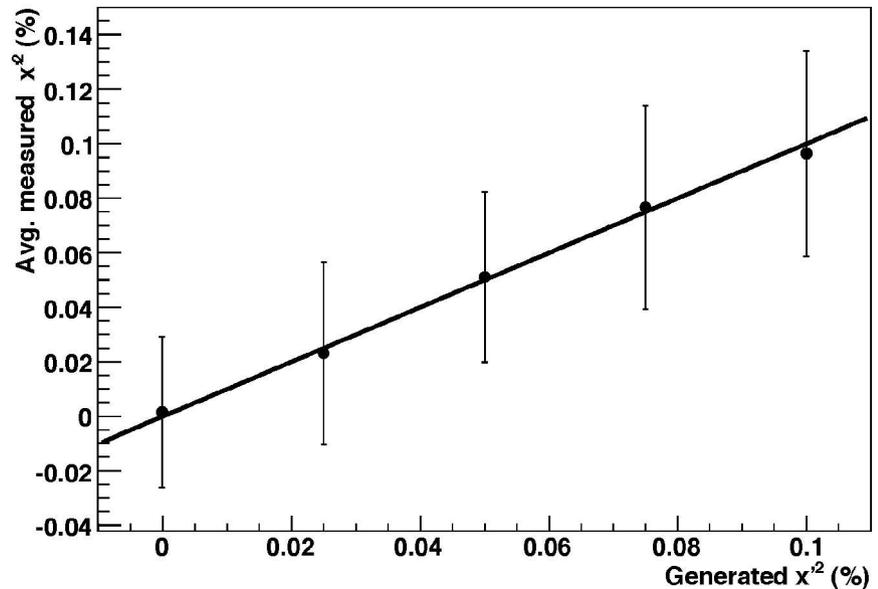
Toy MC Tests

Test for unbiasedness:

$x'^2 = 0.000\%$



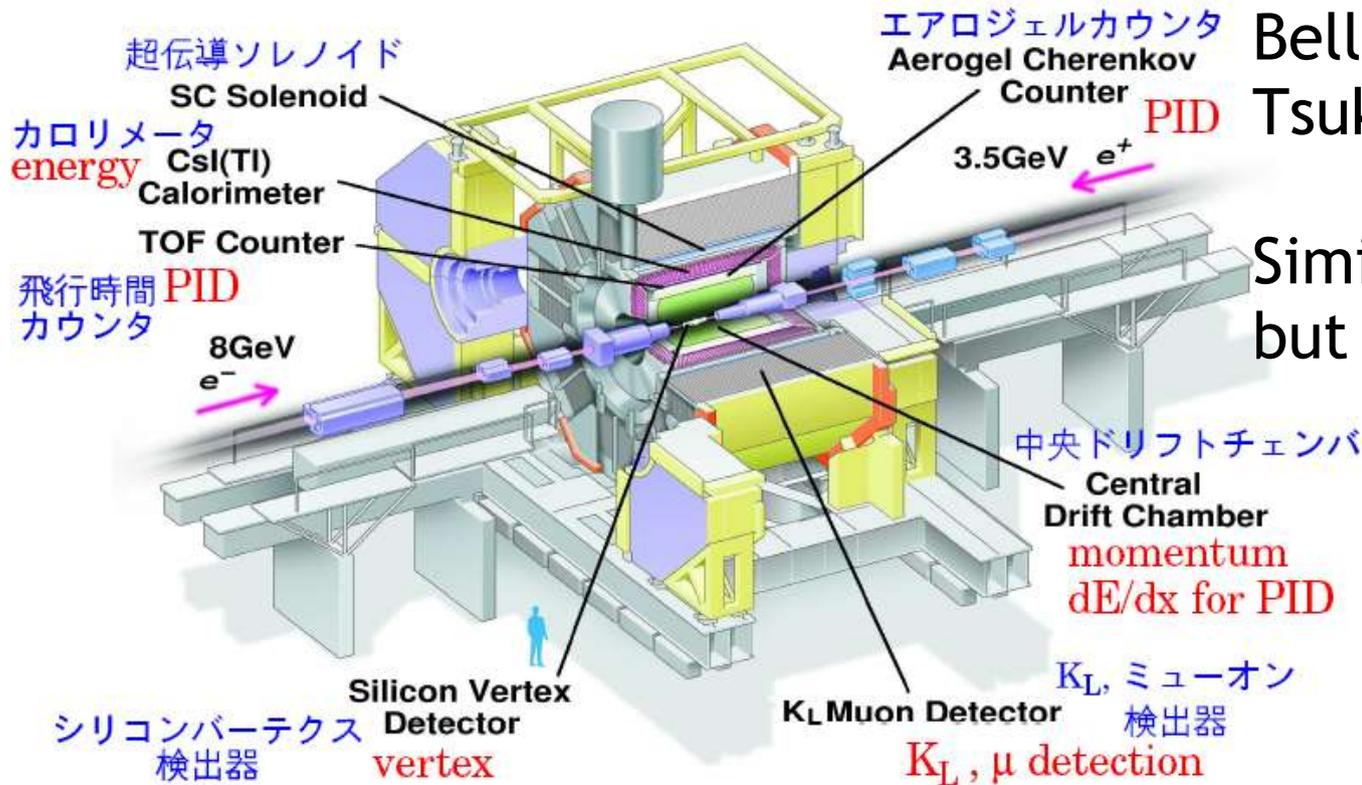
$y' = 1\%$



average fitted value of mixing parameter versus generated value.
Error bars: RMS of fitted values: expected parameter errors
Straight line has unit slope, 0 intercept.

Results indicate no bias in
estimating mixing parameters

Other Charm Experiments



Belle is B-factory at Tsukuba, Japan

Similar to BaBar, but has a larger dataset

Older charm experiments:

CLEO (e^+e^- collisions at Cornell)
FOCUS, E791 (fixed target at Fermilab)

K^0 Mixing

Neutral meson mixing first observed in $K^0-\bar{K}^0$ system

Short-lived " K_S " seen in 1947: $\tau=89$ ps

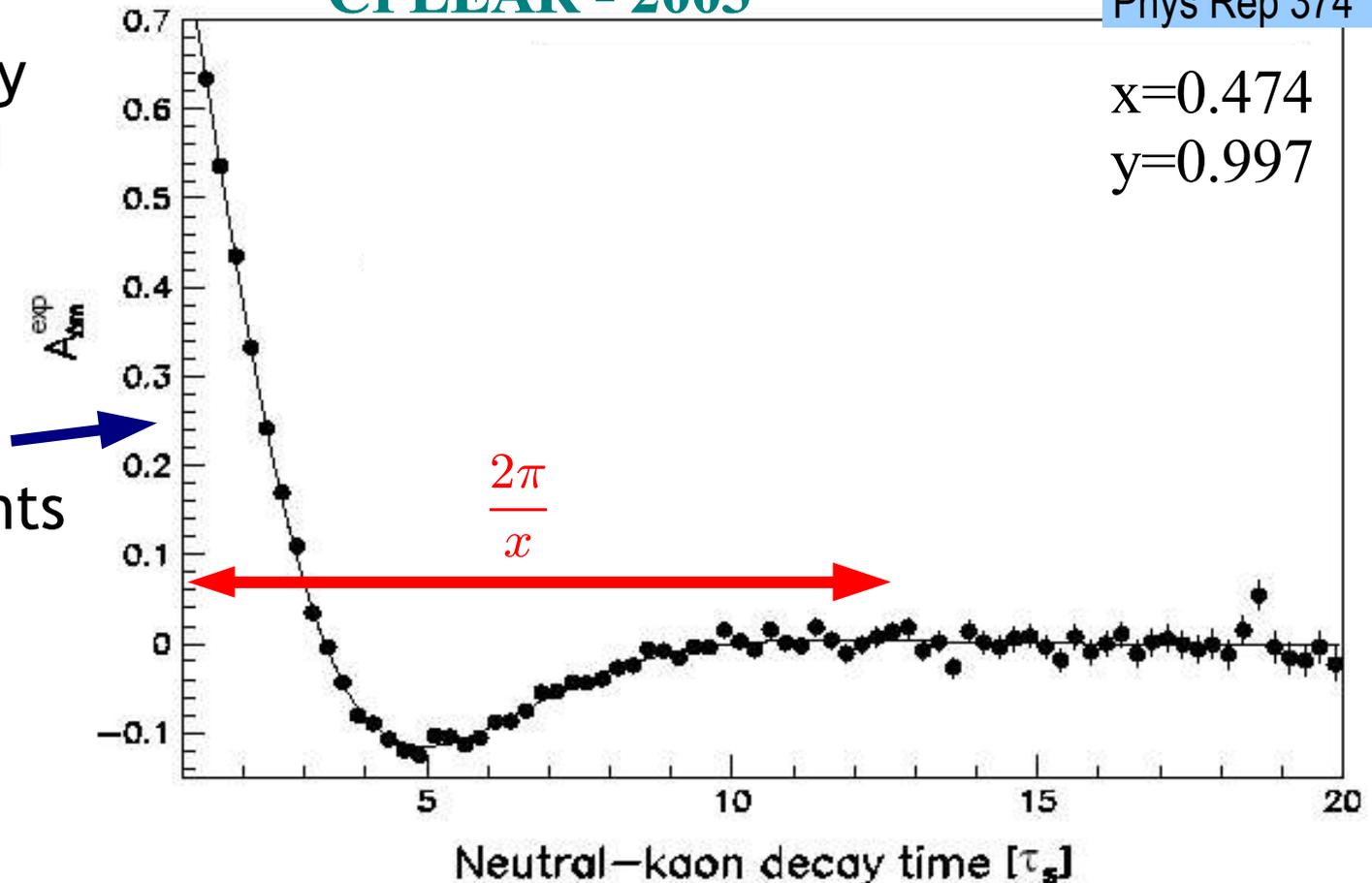
Long-lived " K_L " seen in 1956: $\tau=51$ ns

CPLEAR - 2003

Phys Rep 374 165

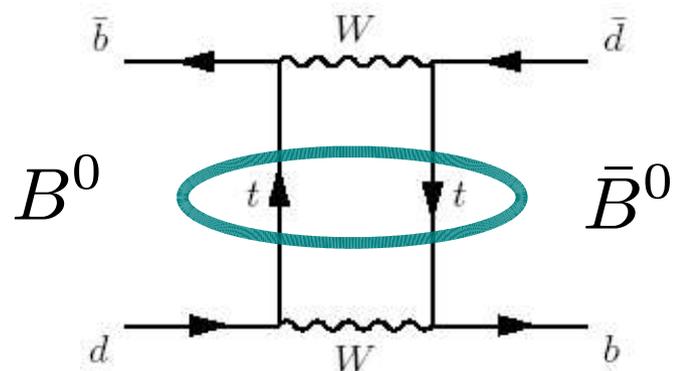
Mixing frequency x first measured in 1958

Now measured precisely in many experiments



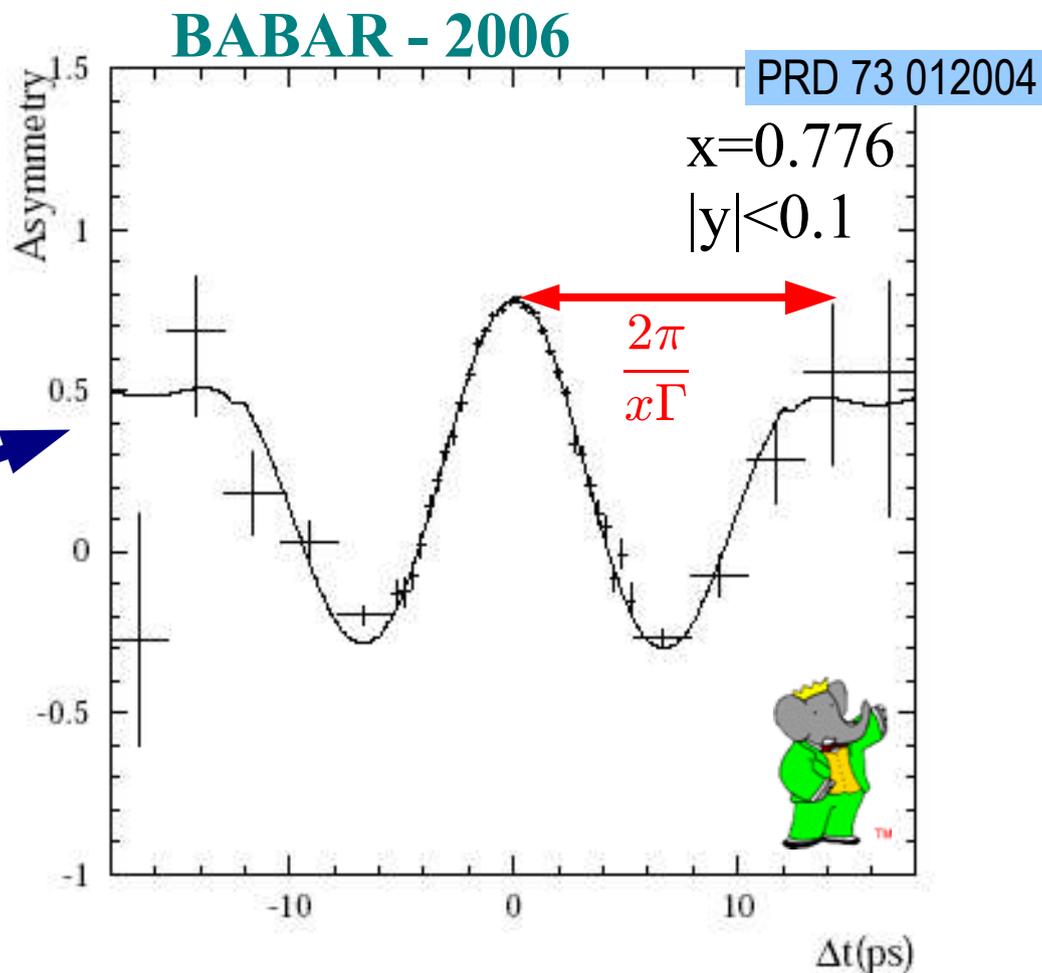
B^0 Mixing

B^0 mixing first observed by ARGUS experiment in 1987
Large mixing frequency implied t quark was heavy ($m_t > 50 \text{ GeV}/c^2$)



Mixing is now precisely measured at B-factories

Lifetime difference has not been measured
Expected to be small

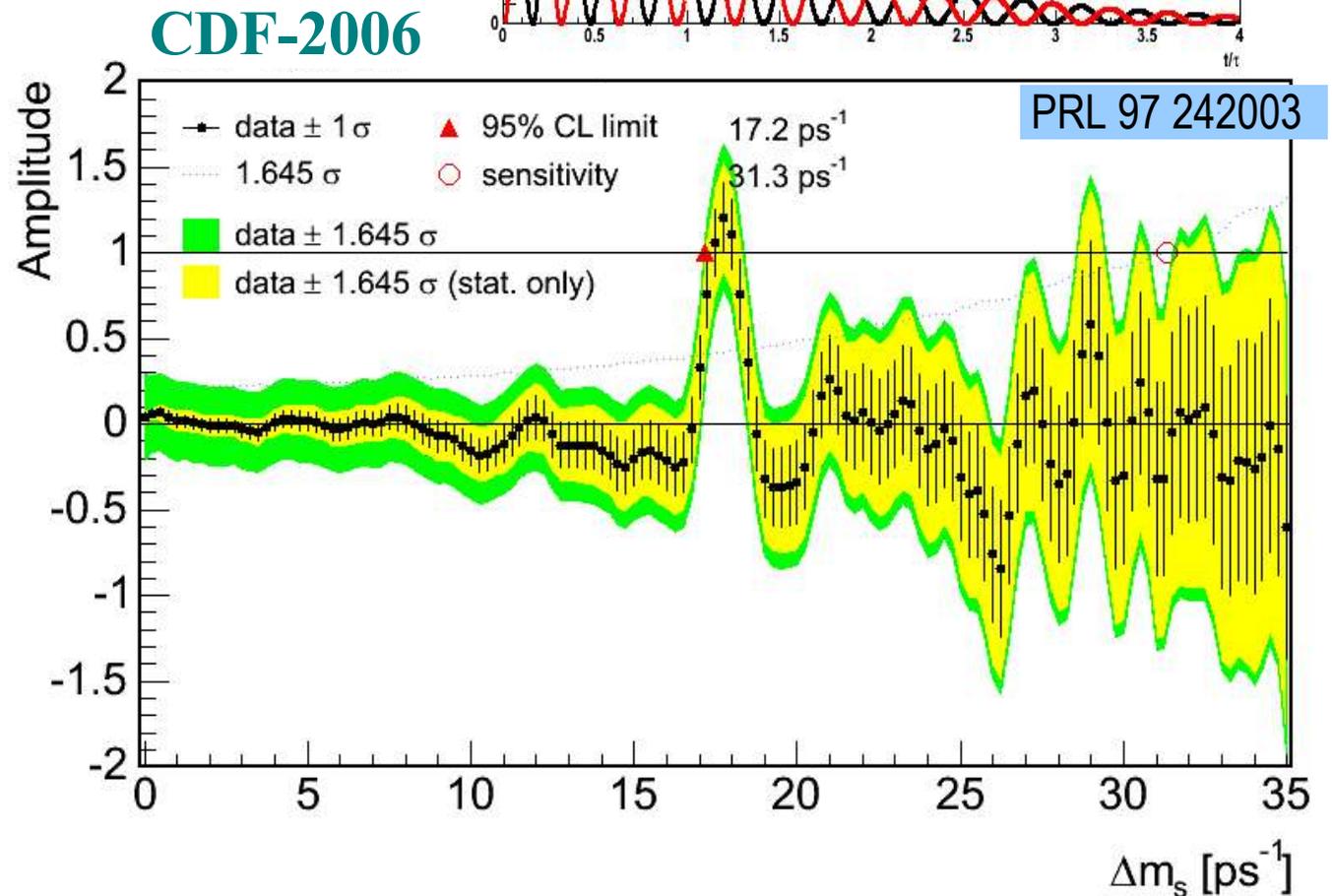
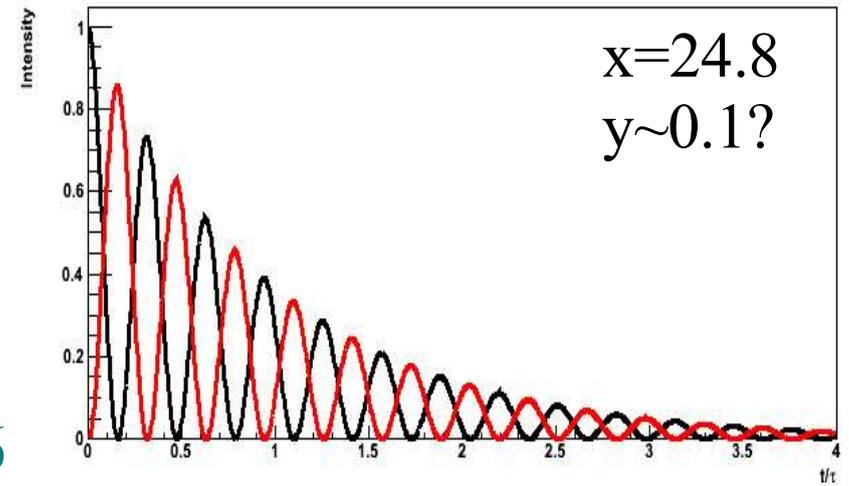


B_s^0 Mixing

B_s^0 oscillate very rapidly

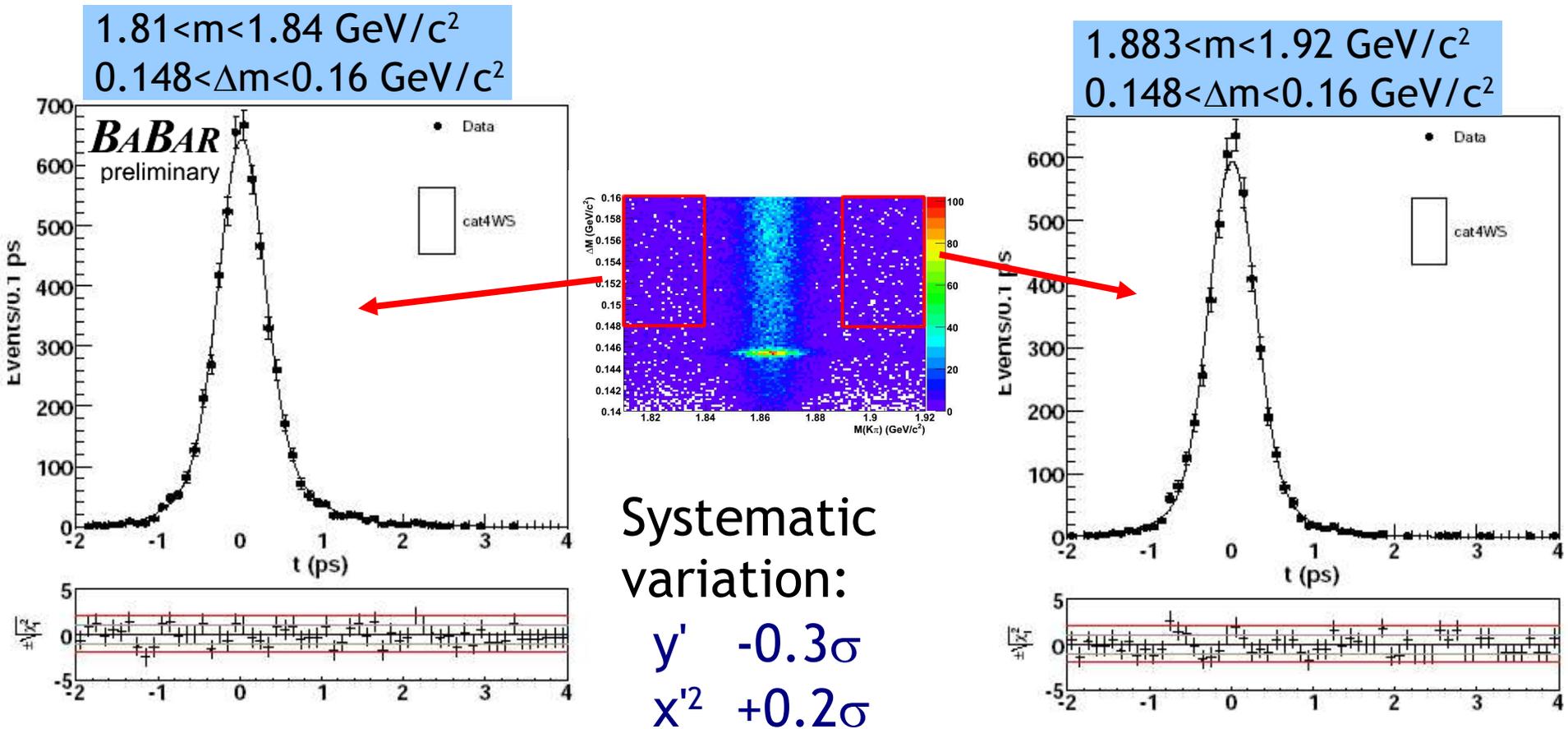
Rate first measured in 2006 by CDF and D0

One of the main HEP discoveries in 2006



Systematic: Combinatorial Decay Time

Decay time in combinatorial bkgd not independent of $m(K\pi)$
 Fix PDF parameters to fits in different background sidebands:



Systematic: Decay Time Resolution

Decay time resolution function in data has non-zero mean

Core Gaussian shifted $3.6 \pm 0.6 \text{ fs}$

Effect is not seen in MC
- probably due to misalignment

For systematics set mean to 0:

$$\text{Variation: } \begin{array}{l} y' \quad 0.3\sigma \\ \chi'^2 \quad -0.3\sigma \end{array}$$

No reason why resolution should be different for RS and WS decays

RS decay time, resolution mean fixed to zero

